BARNES GROUP INC

Form 4 June 02, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB

3235-0287 Number:

OMB APPROVAL

January 31, Expires: 2005

0.5

Estimated average burden hours per response...

5. Relationship of Reporting Person(s) to

if no longer subject to Section 16. Form 4 or Form 5

Check this box

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

may continue.

See Instruction

| EDWARD | Bast) (First) (Middle) 3. | | Symbol BARNES GROUP INC [B] | | | | | Issuer (Check all applicable) | | | | |
|---|--------------------------------------|---|-----------------------------|--|---|--|---------------|--|--|---|--|--|
| (Last) | | | | of Earliest '/ /Day/Year) | Transaction | n | | Director 10% Owner X Officer (give title Other (specify | | | | |
| BARNES GROUP INC., 123 MAIN STREET | | | 05/29/2015 | | | | | below) below) SVP, Human Resources | | | | |
| | (Street) | | | nendment, I Ionth/Day/Ye | | nal | | 6. Individual or Jo Applicable Line) _X_ Form filed by O | • | | | |
| BRISTOL | | | | | | Form filed by More than One Reporting Person | | | | | | |
| (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deeme Execution any (Month/Da | Date, if | 3. Transactio Code (Instr. 8) | 4. Securit or Dispos (Instr. 3, 4 | ed of | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| Common Stock | 05/29/2015 | | | M M | 10,833 | A | \$ 15.265 | 76,960.8844 (1) | D | | | |
| Common Stock | 05/29/2015 | | | S | 10,833 | D | \$ 40.2974 | 66,127.8844 (1) | D | | | |
| Common Stock | | | | | | | | 13,563.508 | I | by Company's 401(k) Plan | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form

Edgar Filing: BARNES GROUP INC - Form 4

displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount Underlying Securitie (Instr. 3 and 4) | |
|---|---|---|---|--|---|--------|--|--------------------|---|---------------------------------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amour or Number of Sha |
| Stock Option-Right to Buy | \$ 15.265 | 05/29/2015 | | M | | 10,833 | (2) | 02/08/2020 | Common Stock | 10,83 |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

EDWARDS DAWN N BARNES GROUP INC. 123 MAIN STREET BRISTOL, CT 06010

SVP, Human Resources

Signatures

Monique B. Marchetti, pursuant to a Power of Attorney

06/02/2015

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes balances of 1598 Restricted Stock Units granted 2/9/2011, 1133 granted 2/8/2012, 2599 granted 2/12/2013, 2300 granted 1) 2/12/2014, 1400 granted 2/12/2014 and 2400 granted 2/11/2015, and 5600 Performance Share Awards granted 2/8/2012, 6600 granted 1) 2/12/2014, 1400 granted 2/12/2014, and 2400 granted 2/11/2015, and 5600 Performance Share Awards granted 2/8/2012, 6600 granted 10/12/2014, and 2400 granted 2/12/2014, and 2400 granted 2/12/2015, and 5600 Performance Share Awards granted 2/8/2012, 6600 granted 11/2015, and 5600 Performance Share Awards granted 2/8/2012, 6600 granted 11/2015, and 5600 Performance Share Awards granted 2/8/2012, 6600 granted 11/2015, and 5600 Performance Share Awards granted 2/8/2012, 6600 granted 11/2015, and 5600 Performance Share Awards granted 11/2015, and 5600 Performanc
- (1) 2/12/2014, 1400 granted 2/12/2014 and 2400 granted 2/11/2015, and 5600 Performance Share Awards granted 2/8/2012, 6600 granted 2/12/2013, 3900 granted 2/12/2014, 2300 granted 2/12/2014 and 4000 on 2/11/2015, that are subject to forfeiture if certain events occur.
- (2) The option vests in three equal installments on August 8, 2011, 2012, 2013.
- (**3**) 1 for 1.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2