

Edgar Filing: SONIC AUTOMOTIVE INC - Form 5

SONIC AUTOMOTIVE INC  
 Form 5  
 February 12, 2002

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/      OMB APPROVAL      /
/-----/
/ OMB Number:      3235-0362 /
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| FORM 5 |
+-----+
[ ] Check box if
no longer subject to Section 16.
Form 4 or Form 5 obligations may
continue. See Instruction 1(b).

                             UNITED STATES
                             SECURITIES AND EXCHANGE COMMISSION
                             Washington, D.C. 20549

                             ANNUAL STATEMENT OF CHANGES BENEFICIAL OWNERSHIP

                             Filed pursuant to Section 16(a) of the Securities
                             Exchange Act of 1934, Section 17(a) of the
                             Public Utility Holding Company Act of 1935 or
                             Section 30(f) of the Investment Company Act of 1940
    
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- [ ] Form 3 Holdings Reported
- [ ] Form 4 Transactions Reported

1. Name and Address of Reporting Person\*
 

|   |         |          |
|---|---------|----------|
| Smith   | B.      | Scott    |
| (Last)  | (First) | (Middle) |
| c/o Sonic Automotive, Inc.<br>5401 E. Independence Blvd |         |          |
| (Street)  |         |          |
| Charlotte,  | NC      | 28212    |
| (City)  | (State) | (Zip)    |
  
2. Issuer Name and Ticker or Trading Symbol Sonic Automotive, Inc (SAH)
  
3. I.R.S. Identification Number of Reporting Person, if an entity  
 (Voluntary) \_\_\_\_\_
  
4. Statement for Month/Year 12/01
  
5. If Amendment, Date of Original (Month/Year) \_\_\_\_\_
  
6. Relationship of Reporting Person(s) to Issuer (Check all applicable)
 

|  |   |                                    |                                |
|--|---|------------------------------------|--------------------------------|
| <input checked="" type="checkbox"/> Director | <input checked="" type="checkbox"/> Officer | <input type="checkbox"/> 10% Owner | <input type="checkbox"/> Other |
| (give title below)                           |   | (specify below)                    |                                |

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President and Chief Operating Officer

7. Individual or Joint/Group Reporting (check applicable line)  
 Form Filed by One Reporting Person  
 \_\_\_  
 Form Filed by More than One Reporting Person

Table I--Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security<br>(Instr. 3) | 2. Trans-<br>action<br>Date<br>(Month/<br>Day/<br>Year) | 3. Trans-<br>action<br>Code<br>(Instr. 8) | 4. Securities Acquired (A)<br>or Disposed of (D)<br>(Instr. 3, 4 and 5)<br><br>(A) or<br>Amount (D) | 5. Amount of<br>Securities<br>Beneficially<br>Owned at<br>end of<br>Issuer's<br>Fiscal Year<br>(Instr. 3) |
|------------------------------------|---|---|---|---|
|------------------------------------|---|---|---|---|

\* If the form is filed by more than one reporting person, see instruction 4(b)(v).

Table II--Derivative Securities Acquired, Disposed of, or Beneficially Owned  
 (e.g., puts calls warrants options, convertible securities)

| 1. Title of Derivative<br>Security (Instr. 3) | 2. Conver-<br>sion or<br>Exercise<br>Price of<br>Deriv-<br>ative<br>Security | 3. Trans-<br>action<br>Date<br>(Month/<br>Day/<br>Year) | 4. Transac-<br>tion Code<br>(Instr. 8) |
|---|--|---|--|
|---|--|---|--|

|                     |         |          |   |
|---------------------|---------|----------|---|
| Options to purchase | \$16.51 | 10/11/01 | A |
|---------------------|---------|----------|---|

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| 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned at End of Year (Instr. 4) | 10.     |
|--|---|--|---|---------|
| Date Exercisable   | Expiration Date   | Title                                      | Amount or Number of Shares  |         |
| 4/11/02  | 10/11/11  | Class A common Stock                       | 50,000  | 539,750 |

Explanation of Responses:

/s/ B. Scott Smith

February 6, 2002

\*\*Signature of Reporting Person

Date

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.