Edgar Filing: Houghton Mifflin Harcourt Co - Form 4

Houghton M Form 4 August 15, 2	lifflin Harcourt Co							
FORN	Л						PPROVAL	
Check th	Washington, D.C. 20549					OMB Number:	3235-0287	
Check in if no long subject to Section 1 Form 4 c Form 5 obligatio may cont <i>See</i> Instr 1(b).	ser 5 66. 5 7 Filed pursuant to 7 17(a) of th 2001	 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(h) of the Investment Company Act of 1940 					January 31, 2005 average rs per 0.5	
(Print or Type]	Responses)							
1. Name and A Gentel Gary	Address of Reporting Person <u>*</u> 7 Lee	2. Issuer Name a Symbol Houghton Mif [HMHC]		-	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle) 3. Date (Month 222 BERKELEY STREET 08/14			st Transaction r)		Director 10% Owner Officer (give title Other (specify below) below) See remarks.			
(Street) 4. If Ame Filed(Mor			r, Date Original Year)	I	 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
BOSTON, I	MA 02116				Person		porting	
(City)	(State) (Zip)	Table I - No	on-Derivative	Securities Ac	equired, Disposed of	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date 2A. D (Month/Day/Year) Execu any (Mont	tion Date, if Trans: Code h/Day/Year) (Instr.	(D) (D) (Instr. 3,	isposed of 4 and 5) (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	08/14/2014	Code M	V Amount 9,000	(D) Price A \$ 12.5	9,000	D		
Common Stock	08/14/2014	S <u>(1)</u>	9,000	D \$ 18.5	0	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 I S ()
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee Stock Option (Right to Buy)	\$ 12.5	08/14/2014		М	9,000	(2)	06/22/2019	Common Stock	9,000	

Reporting Owners

Reporting Owner Name / Address	Relationships					
I. S.	Director	10% Owner	Officer	Other		
Gentel Gary Lee 222 BERKELEY STREET BOSTON, MA 02116			See remarks.			
Signatures						
/s/ William F. Bayers, Attorney-in-Fact		08/15/2	014			

<u>**Signature of Reporting Person</u>

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares were sold pursuant to a Rule 10b5-1 trading plan.
- (2) 50% of this grant of employee stock options has vested and is currently exercisable. The remaining stock options vest in two equal installments on June 22, 2015 and June 22, 2016, subject to Mr. Gentel's continued employment with the Company.

Remarks:

President, HMH Trade Publishing. William F. Bayers is the Executive Vice President, Secretary and General Counsel of the C

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.