Edgar Filing: CARNIVAL PLC - Form 4

CARNIVA Form 4 August 24,													
FOR	МЛ									OMB A	PPROVAL		
	UNITED	UNITED STATES SECURITIES AND EXCHANGE Co Washington, D.C. 20549								OMB Number:	3235-0287		
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). TATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 19 Section 17(a) of the Public Utility Holding Company Act of 1935 or S 30(h) of the Investment Company Act of 1940						Act of 1934, 1935 or Section	Estimated average burden hours per response 0.5						
(Print or Type	e Responses)												
NICKEL CONTINUED S			Symbol	2. Issuer Name and Ticker or Trading Symbol CARNIVAL PLC [CUK]					5. Relationship of Reporting Person(s) to Issuer				
(Last) (First) (Middle)			3. Date of Earliest Transaction (Month/Day/Year) 08/20/2009						(Check all applicable) <u> </u>				
				Amendment, Date Original (Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State)	(Zip)	Ta	ble I - N	Non	-Derivative	e Secu	ırities Acqı	iired, Disposed of,	or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	nsaction Date 2A. Deemed			8)	omr Disposo (Instr. 3, 4	(A) or	5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Trust Shares (interest in special voting share) (1)	08/20/2009			S	v	Amount 42,491 (2)	(D) D	Price \$ 30.1667 (<u>3)</u>	2,079,902	D			
Trust Shares (interest in special voting	08/21/2009			S		42,491 (2)	D	\$ 30.8646 (4)	2,037,411	D			

Edgar Filing: CARNIVAL PLC - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transac Code (Instr. 8	5. tionNumber of) Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day, ve s l	Date	7. Titl Amou Under Securi (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code 1	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address		Relati		
	Director	10% Owner	Officer	Other
NICKEL CONTINUED IRREVOCABLE TRUST ATTENTION: DAVID LEY HAMILTON 1201 NORTH MARKET STREET, 18TH FLOOR WILMINGTON, DE 19801		Х		See Remarks
 .				

Signatures

/s/ John J. O'Neil, Vice President of JMD Delaware, Inc., as Trustee for Nickel Continued 08/24/2009 Irrevocable Trust

**Signature of Reporting Person

Explanation of Responses:

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents trust shares (the "Trust Shares") of beneficial interests in P&O Princess Voting Trust (the "Trust"). In connection with the dual listed company transaction between Carnival plc (fka P&O Princess Cruises plc) and Carnival Corporation (the "DLC Transaction"), Carnival plc issued one special voting share to the Trust and, following a series of transactions, the Trust Shares were distributed to holders of common stock of Carnival Corporation (the "Carnival Corporation Common Stock"). Since completion of the DLC Transaction on April 17, 2003, if Carnival Corporation issues Carnival Corporation Common Stock to a person, the Trust will issue an equivalent number of Trust Shares to such person. The Trust Shares are paired with shares of Carnival Corporation Common Stock and

share) (1)

Date

Edgar Filing: CARNIVAL PLC - Form 4

are represented by the same stock certificate. The Trust Shares represent a beneficial interest in the Carnival plc special voting share.

(2) These shares were sold pursuant to a Rule 10b5-1(c) sales plan dated August 12, 2009.

This transaction was executed in multiple trades at prices ranging from \$30,0000 to \$30,3400. The price reported above reflects the weighted average purchase prices on the dates indicated. The reporting person hereby undertakes to provide upon request to the SEC

(3) weighted average purchase prices on the dates indicated. The reporting person hereby indertakes to provide upon request to the SEC
staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transactions were effected.

This transaction was executed in multiple trades at prices ranging from \$30.4900 to \$31.0700. The price reported above reflects the weighted average purchase prices on the dates indicated. The reporting person hereby undertakes to provide upon request to the SEC

(4) weighted average purchase prices on the dates indicated. The reporting person hereby indertakes to provide upon request to the SEC
staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transactions were effected.

Remarks:

The reporting person may be deemed a member of a Section 13(d) group that owns more than 10% of the Common Stock of CarnivalCorporation. However, the reporting person disclaims such group membership, and this report shall not be deemed at admission that the reporting person is amember of a Section 13(d) group that owns more than 10% of the Common Stock of Carnival Corporation for purposes of Section 16 or for anyother purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.