Edgar Filing: CARNIVAL PLC - Form 4

CARNIVAL Form 4	PLC												
January 18, 20													
FORM	4 UNITED S	TATES	SECURI	ITIES AN	ND EXC	THAT	NGE C	OMMISSION		PROVAL			
				hington, l					Number:	3235-0287			
Check this if no longe						~~			Expires:	January 31, 2005			
subject to Section 16. Form 4 or							ERSHIP OF	Estimated average burden hours per					
Form 5 obligations may contin <i>See</i> Instruct 1(b).	Section 17(a) of the		lity Holdi	ng Com	pany	Act of	Act of 1934, 1935 or Section 0		0.5			
(Print or Type Re	esponses)												
1. Name and Address of Reporting Person <u>*</u> ARISON SHARI			2. Issuer Name and Ticker or Trading Symbol CARNIVAL PLC [CUK]					5. Relationship of Reporting Person(s) to Issuer					
(Last)	(First) (M	liddle)	3. Date of Earliest Transaction					(Check	k all applicable)				
C/O ISRAEL ARISON FOUNDATION, MARCAZ GOLDA CTR 23 SHAUL HAMALECH BLVD			(Month/Day/Year) 01/17/2007					Director 10% Owner Officer (give title X Other (specify below) See Footnote (1)					
(Street) 4.			4. If Amen	Amendment, Date Original				6. Individual or Joint/Group Filing(Check					
Filed(Mont TEL AVIV, L3 64367				Form filed by					One Reporting Person More than One Reporting				
		7 .)						Person					
(City)	(State) (Zip)	Table	I - Non-De	rivative S	Securi	ties Acqu	iired, Disposed of,	or Beneficial	y Owned			
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Executi any		3. Transactio Code (Instr. 8) Code V	(Instr. 3,	ispose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)				
Ordinary Shares				Code V	Amount	(D)	Thee	0	D				
Trust Shares (beneficial interest in special voting share) (2) (3)								1,200	I	By Children			
Trust Shares (beneficial	01/17/2007			S	700 (4)	D	\$ 51.63	3,287,608	D <u>(1)</u>				

interest in special voting share) (2) (3)						
Trust Shares (beneficial interest in special voting share) (2) (3)	01/17/2007	S	1,000 (4) D	\$ 51.64	3,286,608	D <u>(1)</u>
Trust Shares (beneficial interest in special voting share) (2) (3)	01/17/2007	S	700 <u>(4)</u> D	\$ 51.65	3,285,908	D <u>(1)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 8	Securitie Acquired (A) or Disposed of (D)	Number Expiration Date of (Month/Day/Ye Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,		7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address		Relationships					
	Director	10% Owner	Officer	Other			
ARISON SHARI C/O ISRAEL ARISON FOUNDATION MARCAZ GOLDA CTR 23 SHAUL HAMALECH BLVD				See Footnote (1)			

TEL AVIV, L3 64367

Signatures

/s/ John J. O'Neil, Attorney-in-Fact **Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The reporting person may be deemed a member of a Section 13(d) group that owns more than 10% of the trust shares (the "Trust Shares") of beneficial interests in P&O Princess Special Voting Trust (the "Trust") and an interest in the Carnival plc special voting share.

(1) However, the reporting person disclaims such group membership, and this report shall not be deemed an admission that the reporting person is a member of a Section 13(d) group that owns more than 10% of the Trust Shares and an interest in the Carnival plc special voting share for purposes of Section 16 or for any other purpose.

Represents Trust Shares of beneficial interests in the Trust. In connection with the dual listed company transaction between Carnival plc (formerly known as P&O Princess Cruises plc) and Carnival Corporation (the "DLC Transaction"), Carnival plc issued one special voting share to the Trust and, following a series of transactions, the Trust Shares were distributed to holders of common stock of Carnival

- (2) Corporation (the "Carnival Corporation Common Stock"). Following the completion of the DLC Transaction, if Carnival Corporation issues Carnival Corporation Common Stock to a person, the Trust will issue an equivalent number of Trust Shares to such person. The Trust Shares are paired with shares of Carnival Corporation Common Stock and are represented by the same stock certificate. The Trust Shares represent a beneficial interest in the Carnival plc special voting share.
- (3) The prices included on this form represent the sales price for the paired Trust Shares and shares of Carnival Corporation Common Stock.
- (4) The shares covered by this form are being sold pursuant to a Rule 10b5-1(c) sales plan dated January 3, 2007.

Remarks:

2 of 2 Form 4s

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.