

Edgar Filing: MKS INSTRUMENTS INC - Form 8-K

MKS INSTRUMENTS INC  
Form 8-K  
November 27, 2001

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SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

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FORM 8-K  
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CURRENT REPORT

PURSUANT TO SECTION 13 OR 15(d) OF THE  
SECURITIES EXCHANGE ACT OF 1934

DATE OF REPORT (DATE OF EARLIEST EVENT REPORTED): NOVEMBER 27, 2001

COMMISSION FILE NO.

MKS INSTRUMENTS, INC.  
(Exact name of Registrant as specified in its Charter)

MASSACHUSETTS  
(State or other jurisdiction of  
incorporation or organization)  
SIX SHATTUCK ROAD, ANDOVER, MASSACHUSETTS  
(Address of principal executive offices)

04-2277512  
(IRS Employer Identification No.)

01810  
(Zip Code)

(978) 975-2350  
(Registrant's telephone number, including area code)

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ITEM 5. OTHER EVENTS

This current report on Form 8-K provides financial information pertaining to the retroactive effect of the January 26, 2001 business combination of MKS Instruments, Inc. (the "Company") and Applied Science and Technology, Inc. ("ASTeX"), which was accounted for under the pooling of interest method of accounting.

ITEM 7. FINANCIAL STATEMENTS, PRO FORMA FINANCIAL INFORMATION AND EXHIBITS

(c) Exhibits

EXHIBIT  
NUMBER

TITLE

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23.1	Consent of PricewaterhouseCoopers LLP
23.2	Consent of KPMG LLP
99.1	Consolidated Financial Statements of MKS Instruments, Inc. as of December 31, 2000 and 1999 and for the years ended December 31, 2000, 1999 and 1998 and Report of Independent Accountants thereon.
99.2	Management's Discussion and Analysis of Financial Condition and Results of Operations Reflecting the Merger of MKS Instruments, Inc. and Applied Science and Technology, Inc. -- December 31, 2000
99.3	Schedule II -- Valuation and Qualifying Accounts for MKS Instruments, Inc.

### SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

MKS INSTRUMENTS, INC.

/s/ Ronald C. Weigner  
Ronald C. Weigner  
Vice President and Chief Financial  
Officer

Dated: November 27, 2001

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