

Edgar Filing: FISCHMAN STEVEN - Form 5

FISCHMAN STEVEN  
Form 5  
November 15, 2001

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FORM 5  
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OMB APPROVAL  
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OMB Number: 3235-0362  
Expires: December 31, 2001  
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hours per response .... 1.0

// CHECK BOX IF NO UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
LONGER SUBJECT TO WASHINGTON, D.C. 20549  
SECTION 16. FORM  
4 OR FORM 5 ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP  
OBLIGATIONS MAY  
CONTINUE. SEE Filed pursuant to Section 16(a) of the Securities  
INSTRUCTION 1(b) Exchange Act of 1934,  
// FORM 3 HOLDINGS Section 17(a) of the Public Utility  
REPORTED Holding Company Act of 1935 or Section 30(f) of  
// FORM 4 the Investment Company Act  
TRANSACTIONS of 1940  
REPORTED

1. Name and Address of Reporting Person*			2. Issuer Name and Ticker or Trading Symbol		6. R
Fischman, Steven			Tweeter Home Entertainment Group, Inc. TWTR		I
(Last)	(First)	(Middle)	3. I.R.S. Identification		
40 Pequot Way			Number of Reporting		
			Person, if an Entity		
(Street)			(Voluntary)		
Canton, Ma	02021		4. Statement for		
(City)	(State)	(Zip)	Month/Year		
			9/2001		
			5. If Amendment,		
			Date of Original		
			(Month/Year)		

TABLE 1 -- NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIAL

1. Title of Security (Instr. 3)	2. Trans- action Date  (Month/ Day/ Year)	3. Transac- tion Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount o curities cially O End of I Fiscal Y (Instr.
			Amount	(A) or (D)	Price
Common Stock	2/23/01	G(1)	8,000	D	18.563
					0

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\*If the form is filed by more than one Reporting Person, see instruction 4(b)(v).

FORM 5 (CONTINUED)

TABLE II -- DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIAL  
(E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7.
				(A) (D)	Date Exercisable	Expiration Date
Option	12.97	9/27/01	J(2)	3,500	9/27/01	9/27/04

1. Title of Derivative Security

9. Number of Derivative

10. Ownership of Derivative

11. Nature of Indirect

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(Instr. 3)	Securities Beneficially Owned at End of Year (Instr. 4)	Security: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
Option	21,000	D	

Explanation of Responses:

1. Gift of 8,000 shares to Kenyow College.
2. The 3,500 option shares granted on 9/27/2001 are fully vested upon issuance and expire on 9/27/2004.

\*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations.  
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

/s/  
-----  
\*\*Sig  
  
/s/ J

Note: File three copies of this Form, one of which must be manually signed.  
If space provided is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.