ROCKY BRANDS, INC.

Form 4

October 17, 2006

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB APPROVAL

Number: 3235-0287

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if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Add BROOKS MI	•	rting Person *	2. Issuer Name and Ticker or Trading Symbol ROCKY BRANDS, INC. [RCKY]	5. Relationship of Reporting Person(s) to Issuer			
(Last) (First) (Middle)		(Middle)	, , ,	(Check all applicable)			
39 EAST CA	, ,	, ,	3. Date of Earliest Transaction (Month/Day/Year) 10/05/2006	X Director 10% OwnerX Officer (give title Other (specify below) Chairman and CEO			
NELSONVIL	(Street)	764	4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
(City)	(State)	(Zip)	Table I - Non-Derivative Securities Acc	Person quired, Disposed of, or Beneficially Owne			

(City)	(State) (Z	Table	I - Non-De	erivative S	ecurit	ties Ac	quired, Disposed (	of, or Beneficial	lly Owned
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securit	ties		5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transactio	nAcquired	(A) o	r	Securities	Form: Direct	Indirect
(Instr. 3)		any	Code	Disposed	of (D	)	Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3,	4 and	5)	Owned	Indirect (I)	Ownership
							Following	(Instr. 4)	(Instr. 4)
					(A)		Reported		
							Transaction(s)		
			Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Common									
without par	10/05/2006		G	4,032	D	\$0	286,832	D	
֡֡֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜	1.Title of Security (Instr. 3)  Common Stock, without par value	2. Transaction Date (Month/Day/Year) (Instr. 3)  Common Stock, without par	1.Title of Security (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year)  Common Stock, without par 10/05/2006	1.Title of 2. Transaction Date 2A. Deemed 3. Execution Date, if Transaction any Code (Month/Day/Year) (Instr. 3)  Code V  Common Stock, without par	1.Title of Security (Month/Day/Year) Execution Date, if TransactionAcquired any Code Disposed (Month/Day/Year) (Instr. 3)  Code V Amount Common Stock, without par	1.Title of Security (Month/Day/Year)			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date		4. T	5.	6. Date Exerc		7. Title		8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration D	ate	Amou	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ties	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Own
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						· ·
					4, and 5)						
					.,						
									Amount		
						Date	Evaluation		or		
							Expiration	Title	Number		
						Exercisable	Date		of		
				Code V	(A) (D)				Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
Troporting of mark 1 tunio / 12tunio 650	Director	10% Owner	Officer	Other				
BROOKS MIKE 39 EAST CANAL STREET NELSONVILLE, OH 45764	X		Chairman and CEO					

#### **Signatures**

By: Curtis A. Loveland, Attorney-in-Fact

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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