

GENERAL ELECTRIC CO
Form 4
January 02, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
GENERAL ELECTRIC CO

(Last) (First) (Middle)

41 FARNSWORTH STREET

(Street)

BOSTON, MA 02210

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

Baker Hughes a GE Co [BHGE]

3. Date of Earliest Transaction
(Month/Day/Year)

12/28/2017

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☒ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
Class B Common Stock	12/28/2017		D	1,769,794	D 11 128,119,294	D	
Class B Common Stock	12/28/2017		D	8,001,143	D 11 579,220,491	I	See footnote (2)
Class B Common Stock	12/29/2017		D	64,397	D 11 128,054,898	D	
Class B Common Stock	12/29/2017		D	291,134	D 11 578,929,357	I	See footnote (2)

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Common Unit	<u>(1)</u>	12/28/2017		D	1,769,794	<u>(1)</u> <u>(1)</u>	Class A common stock 1,769,794
Common Unit	<u>(1)</u>	12/28/2017		D	8,001,143	<u>(1)</u> <u>(1)</u>	Class A common stock 8,001,143
Common Unit	<u>(1)</u>	12/29/2017		D	64,397	<u>(1)</u> <u>(1)</u>	Class A common stock 64,397
Common Unit	<u>(1)</u>	12/29/2017		D	291,134	<u>(1)</u> <u>(1)</u>	Class A common stock 291,134

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
GENERAL ELECTRIC CO 41 FARNSWORTH STREET BOSTON, MA 02210	X	X		

Signatures

/s/ Christoph A. Pereira, Authorized Signatory 01/02/2018

 **Signature of Reporting Person

 Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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Each share of Class B common stock, together with a Common Unit of Baker Hughes, a GE company, LLC ("BHGE LLC") (collectively, a "Paired Interest"), is exchangeable for a share of Class A common stock. In connection with the Issuer's previously announced open

- (1) market repurchase program, the Issuer has purchased for cash the Paired Interests reported as disposed of on this Form 4 and the price paid for each such Paired Interest was equal to \$30.9690 for each Paired Interest transacted on December 28, 2017 and \$31.5229 for each Paired Interest transacted on December 29, 2017.
- (2) The Reporting Person holds these securities through wholly-owned subsidiaries.
- (3) The Paired Interests were acquired by the Reporting Person in connection with the transactions described in the prospectus filed by the Issuer on May 30, 2017 pursuant to Rule 424(b)(3).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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