

US CONCRETE INC
Form SC 13G/A
February 09, 2016

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

SCHEDULE 13G
Under the Securities Exchange Act of 1934
(Amendment No. 4)

U.S. Concrete, Inc.
(Name of Issuer)

Common Stock
(Title of Class of Securities)

90333L201
(CUSIP Number)

December 31, 2015
(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

☒ Rule 13d-1(b)
☐ Rule 13d-1(c)
☐ Rule 13d-1(d)

*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

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1	Name of Reporting Person I.R.S. Identification Nos. of Above Persons (Entities Only)	
	New Generation Advisors LLC	
	ID	26-2742011
2	Check the (a) ☐	
	Appropriate (b) ☒	
	Box if a Member of a Group	
3	SEC Use Only	
4	Citizenship or Place of Organization	
	Massachusetts	
Number of Shares Beneficially Owned by Each Reporting Person with	5	Sole Voting Power
		0
	6	Shared Voting Power
		145,448
	7	Sole Dispositive Power
		0
	8	Shared Dispositive Power
		145,448
9	Aggregate Amount Beneficially Owned by Each Reporting Person	
	145,448	
10	Check if the ☐	
	Aggregate Amount in Row (9) Excludes	

Certain
Shares

11

Percent of Class Represented by Amount in Row 9

12

1.0%
Type of Reporting Person

IA

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1	Name of Reporting Person I.R.S. Identification Nos. of Above Persons (Entities Only)
2	George Putnam, III Check ☒ [] the (b) ☐ [X] Appropriate Box if a Member of a Group SEC Use Only
3	
4	Citizenship or Place of Organization
Number of Shares Beneficially Owned by Each Reporting Person with	American
5	Sole Voting Power
6	0 Shared Voting Power
7	145,448 Sole Dispositive Power
8	0 Shared Dispositive

	Power
9	145,448 Aggregate Amount Beneficially Owned by Each Reporting Person
10	145,448 Check] if the Aggregate Amount in Row (9) Excludes Certain Shares
11	Percent of Class Represented by Amount in Row 9
12	1.0% Type of Reporting Person HC

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1	Name of Reporting Person I.R.S. Identification Nos. of Above Persons (Entities Only)	
2	Carl E. Owens Check ☒ [] the (b) ☒ [X] Appropriate Box if a Member of a Group SEC Use Only	
3		
4	Citizenship or Place of Organization	
Number of Shares Beneficially Owned by Each Reporting Person with		
5	American Sole Voting Power	145,448
6	0 Shared Voting Power	
7	0 Sole Dispositive Power	
8	0 Shared Dispositive	

	Power
9	145,448 Aggregate Amount Beneficially Owned by Each Reporting Person
10	145,448 Check] if the Aggregate Amount in Row (9) Excludes Certain Shares
11	Percent of Class Represented by Amount in Row 9
12	1.0% Type of Reporting Person HC

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1	Name of Reporting Person I.R.S. Identification Nos. of Above Persons (Entities Only)
2	Christopher M. McHugh Check ☒ [] the (b) ☒ [X] Appropriate Box if a Member of a Group SEC Use Only
3	
4	Citizenship or Place of Organization
Number of Shares Beneficially Owned by Each Reporting Person with	American 5 Sole Voting Power 0 6 Shared Voting Power 145,448 7 Sole Dispositive Power 0 8 Shared Dispositive

	Power
9	145,448 Aggregate Amount Beneficially Owned by Each Reporting Person
10	145,448 Check] if the Aggregate Amount in Row (9) Excludes Certain Shares
11	Percent of Class Represented by Amount in Row 9
12	1.0% Type of Reporting Person HC

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1	Name of Reporting Person I.R.S. Identification Nos. of Above Persons (Entities Only)
2	Michael S. Weiner Check ☒ [] the (b) ☒ [X] Appropriate Box if a Member of a Group SEC Use Only
3	
4	Citizenship or Place of Organization
Number of Shares Beneficially Owned by Each Reporting Person with	American 5 Sole Voting Power 0 6 Shared Voting Power 145,448 7 Sole Dispositive Power 0 8 Shared Dispositive

	Power
9	145,448 Aggregate Amount Beneficially Owned by Each Reporting Person
10	145,448 Check] if the Aggregate Amount in Row (9) Excludes Certain Shares
11	Percent of Class Represented by Amount in Row 9
12	1.0% Type of Reporting Person HC

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Item 1(a) Name of Issuer:

U.S. Concrete,
Inc.

Item 1(b) Address of

Issuer's Principal
Executive
Offices:
331 N. Main
Street
Eules, TX 76039

Item 2(a) Name of Persons

Filing:

- (i) New
- (ii) Generation
- (iii) Advisors LLC
- (iv) ("NGA")
- (v) George
Putnam, III
("Putnam")
Carl E. Owens
("Owens")
Christopher
M. McHugh
("McHugh")
Michael S.
Weiner
("Weiner")

Item 2(b) Address of

Principal Business
Office:
NGA:
13 Elm Street,
Suite 2
Manchester, MA
01944

Putnam:
c/o New
Generation
Advisors LLC
13 Elm Street,
Suite 2
Manchester, MA
01944

Owens:
c/o New
Generation
Advisors LLC
13 Elm Street,
Suite 2
Manchester, MA
01944

McHugh:
c/o New
Generation
Advisors LLC
13 Elm Street,
Suite 2
Manchester, MA
01944

Weiner:
c/o New
Generation
Advisors LLC
13 Elm Street,
Suite 2
Manchester, MA
01944

Item 2(c) Citizenship:
NGM Massachusetts
Putnam American
Owen American
McHugh American
Weiner American

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Item Title of Class of Securities:

2(d)

Common Stock

Item CUSIP Number:

2(e)

90333L201

Item 3. If this statement is filed pursuant to Rules 13d-1(b) or 13d-2(b) or (c), check whether the person filing is a:

- (a) ☐ Broker or Dealer registered under Section 15 of the Act
- (b) ☐ Bank as defined in section 3(a) (6) of the Act
- (c) ☐ Insurance Company as defined in section 3(a)(19) of the Act
- (d) ☐ Investment Company registered under section 8 of the Investment Company Act
- (e) ☒ Investment adviser in accordance with Rule 13d-1(b)(1)(ii)(E)
- (f) ☐ Employee benefit plan or endowment fund in accordance with Rule 13d-1(b)(1)(ii)(F)
- (g) ☐ Parent holding company or control person in accordance with Rule 13d-1(b)(1)(ii)(G)
- (h) ☐ Savings association as defined in Section 3(b) of the Federal Deposit Insurance Act
- (i) ☐ Church plan that is excluded from the definition of an investment company under Section 3(c)(14) of the Investment Company Act
- (j) ☐ Non-U.S. institution in accordance with Section 240.13d-1(b)(1) (ii)(J)
- (k) ☐ Group, in accordance with Rule 13d-1(b)(1)(ii)(J)

If filing as a non-U.S. institution in accordance with Section 240.13d-1(b)(1)(ii)(J), please specify the type of institution:

If this statement is filed pursuant to Rule 13d-1(c), check this box. ☐

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Item 4. Ownership.

- (a) Amount Beneficially Owned:
- (i) NGA: 145,448
 - (ii) Putnam: 145,448
 - (iii) Owens: 145,448
 - (iv) McHugh: 145,448
 - (v) Weiner: 145,448
- (b) Percent of Class:
- (i) NGA: 1.0%
 - (ii) Putnam: 1.0%
 - (iii) Owens: 1.0%
 - (iv) McHugh: 1.0%
 - (v) Weiner: 1.0%
- (c) Number of shares as to which such person has:
- (1) Sole power to vote or to direct the vote:
- (i) NGA: 0
 - (ii) Putnam: 0
 - (iii) Owens: 0
 - (iv) McHugh: 0
 - (v) Weiner: 0
- (2) Shared power to vote or to direct the vote:
- (i) NGA: 145,448
 - (ii) Putnam: 145,448
 - (iii) Owens: 145,448
 - (iv) McHugh: 145,448
 - (v) Weiner: 145,448
- (3) Sole power to dispose or to direct the disposition of:
- (i) NGA: 0
 - (ii) Putnam: 0

(iii)Owens: 0
(iv)McHugh:0
(v) Weiner: 0

(4) Shared
power to dispose or
to direct the
disposition of:

(i) NGA: 145,448
(ii) Putnam: 145,448
(iii)Owens: 145,448
(iv)McHugh: 145,448
(v) Weiner: 145,448

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Item 5. Ownership of Five Percent or Less of a Class

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following: [X]

Item 6. Ownership of More than Five Percent on Behalf of Another Person

N/A

Item 7. Identification and Classification of the Subsidiary which Acquired the Security Being Reported on By the Parent Holding Company.

N/A

Item 8. Identification and Classification of Members of the Group.

N/A

Item 9. Notice of Dissolution of Group.

N/A

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Item 10. Certification:

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were acquired and are held in the ordinary course of business and were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

In accordance with Rule 13d-4 of the Securities Exchange Act of 1934, each of the persons filing this statement expressly disclaim the beneficial ownership of the securities covered by this statement and the filing of this report shall not be construed as an admission by such persons that they are the beneficial owners of such securities.

SIGNATURES

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

NEW GENERATION ADVISORS LLC

Date: February 9, 2016 By: /s/ George Putnam, III
George Putnam, III, President

Date: February 9, 2016 By: /s/ George Putnam, III
George Putnam, III

Date: February 9, 2016 By: /s/ Carl E. Owens
Carl E. Owens

Date: February 9, 2016 By: /s/ Christopher M. McHugh
Christopher M. McHugh

Date: February 9, 2016 By: /s/ Michael S. Weiner
Michael S. Weiner

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EXHIBIT 1

JOINT FILING AGREEMENT AMONG NEW GENERATION ADVISORS LLC, GEORGE PUTNAM, III, CARL E. OWENS, CHRISTOPHER M. MCHUGH AND MICHAEL S. WEINER

WHEREAS, in accordance with Rule 13d-1(k) under the Securities and Exchange Act of 1934 (the "Act"), only one joint statement and any amendments thereto need to be filed whenever one or more persons are required to file such a statement or any amendments thereto pursuant to Section 13(d) of the Act with respect to the same securities, provided that said persons agree in writing that such statement or amendments thereto is filed on behalf of each of them;

NOW, THEREFORE, the parties hereto agree as follows:

NEW GENERATION ADVISORS LLC, GEORGE PUTNAM, III, CARL E. OWENS, CHRISTOPHER M. MCHUGH AND MICHAEL S. WEINER hereby agree, in accordance with Rule 13d-1(k) under the Act, to file a statement on Schedule 13G relating to their ownership of Common Stock of the Issuer and do hereby further agree that said statement shall be filed on behalf of each of them.

NEW GENERATION ADVISORS LLC

Date: February 9, 2016 By: /s/ George Putnam, III
George Putnam, III, President

Date: February 9, 2016 By: /s/ George Putnam, III
George Putnam, III

Date: February 9, 2016 By: /s/ Carl E. Owens
Carl E. Owens

Date: February 9, 2016 By: /s/ Christopher M. McHugh
Christopher M. McHugh

Date: February 9, 2016 By: /s/ Michael S. Weiner
Michael S. Weiner