#### STATE STREET CORP

Form 4

February 23, 2015

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

**OMB APPROVAL** 

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

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Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

burden hours per response...

Estimated average

See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading Antonellis Joseph C Issuer Symbol STATE STREET CORP [STT] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction

5. Relationship of Reporting Person(s) to

STATE STREET CORPORATION, ONE LINCOLN **STREET** 

(Street)

(Month/Day/Year) 02/19/2015

Director 10% Owner X\_ Officer (give title

below) Vice Chairman

Other (specify

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

4. If Amendment, Date Original

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

BOSTON, MA 02111

(City)	(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Own								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	sposed 4 and :		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect	
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock	02/19/2015		A	24,671 (1)	A	\$ 0	261,411 (2)	D	
Common Stock	02/19/2015		A	2,614 (3)	A	\$ 0	264,025 (2)	D	
Common Stock	02/19/2015		A	41,821 (4)	A	\$ 0	305,846 (2)	D	
Common Stock	02/19/2015		F	5,619 (5)	D	\$ 76.54	300,227 (2)	D	
Common Stock							7,295 <u>(6)</u>	I	401(k) Plan

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**SEC 1474** 

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9. Nu Deriv Secur Bene Own Follo Repo Trans

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	e and	8. Price of	
	Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transac	tiorNumber	Expiration D	ate	Amou	nt of	Derivative	
	Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	
(Instr. 3) Price of Derivative Security		Price of		(Month/Day/Year)	(Instr. 8)	) Derivative	·		Securities	(Instr. 5)		
		Derivative				Securities			(Instr. 3 and 4)			
		Security				Acquired						
						(A) or						
						Disposed						
						of (D)						
						(Instr. 3,						
						4, and 5)						
										Amount		
							Date	Expiration	·	or		
							Exercisable	Date				
				~					of			
					Code V	V (A) (D)				Shares		

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Antonellis Joseph C STATE STREET CORPORATION ONE LINCOLN STREET BOSTON, MA 02111

Vice Chairman

## **Signatures**

/s/ Shannon C. Stanley, Attorney-in-fact

02/23/2015

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock units granted pursuant to State Street Corporation 2006 Equity Incentive Plan.
- (2) The balance reflects the amount of shares beneficially owned, including shares received due to dividend reinvestment, as of the date of this report.
- (3) Restricted stock units granted pursuant to State Street Corporation 2006 Equity Incentive Plan under supplemental retirement plan.
- (4) Settlement of a performance award granted on February 20, 2014 as part of 2013 compensation. Such settlement is in the form of restricted time-vested stock units.

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- (5) These shares were withheld to satisfy tax withholding obligations in connection with the settlement of a performance award granted on February 20, 2014 as part of 2013 compensation.
- The reporting person indirectly beneficially owns 7,295 shares of State Street common stock under State Street's 401(k) plan, based on a (6) plan statement dated as of January 31, 2015. The plan accounts for interest in units consisting of shares of State Street common stock and a small amount of cash. As a result, the number of underlying shares may fluctuate from time to time.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.