

ADVANCED ENERGY INDUSTRIES INC  
Form SD  
May 31, 2017

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

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FORM SD

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SPECIALIZED DISCLOSURE REPORT

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Advanced Energy Industries, Inc.  
(Exact name of registrant as specified in its charter)

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Delaware 000-26966 84-0846841  
(State or other jurisdiction of incorporation) (Commission File Number) (IRS Employer Identification No.)

1625  
Sharp  
Point  
1625  
Fort  
Collins,  
Colorado  
(Address  
of  
principal  
executive  
offices)  
Thomas O.  
McGimpsey  
(970)  
221-4670  
(Name and  
telephone  
number,  
including  
area code, of  
the person to  
contact in  
connection  
with this  
report.)

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Check the appropriate box to indicate the rule pursuant to which this form is being filed, and provide the period to which the information in this form applies:

☒ Rule 13p-1 under the Securities Exchange Act (17 CFR 240.13p-1) for the reporting period from January 1 to December 31, 2016.

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## Section 1 - Conflict Minerals Disclosure

### Item 1.01 Conflict Minerals Disclosure and Report

Advanced Energy Industries, Inc. (the “Company”) manufactures or contracts to manufacture products that may contain “conflict minerals” as defined in paragraph (d)(3) Item 1.01. As these materials are necessary to the functionality and production of the Company’s products, the Company has conducted a reasonable country of origin inquiry regarding these materials designed to determine whether any of the conflict minerals originated in the Democratic Republic of the Congo or an adjoining country (as defined by paragraph (d)(1) of Item 1.01).

The Company's reasonable country of origin inquiry and due diligence processes are described in the Conflict Minerals Report for the reporting period January 1, 2016 to December 31, 2016 attached hereto as Exhibit 1.01.

### Conflict Minerals Disclosure

A copy of Advanced Energy Industries, Inc.’s Conflict Minerals Report is filed as Exhibit 1.01 hereto and is publicly available at [www.advanced-energy.com/en/Conflict\\_Minerals](http://www.advanced-energy.com/en/Conflict_Minerals).

### Item 1.02 Exhibits

Advanced Energy Industries, Inc.’s Conflict Minerals Report is filed as Exhibit 1.01 hereto.

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Section 2 - Exhibits

Item 2.01 Exhibits

|         |                                                                                                |
|---------|------------------------------------------------------------------------------------------------|
| Exhibit | Conflict Minerals Report as required by Items 1.01 and 1.02 of this Form SD for the year ended |
| 1.01    | December 31, 2016.                                                                             |

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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Advanced  
Energy  
Industries,  
Inc.

/s/ Thomas  
O.  
McGimpsey  
Thomas O.  
McGimpsey  
Executive  
Vice  
President,  
General  
Counsel &  
Corporate  
Secretary

Date: May 31, 2017

EXHIBIT INDEX

| Exhibit No. | Description                                                                                                       |
|-------------|-------------------------------------------------------------------------------------------------------------------|
|             | Conflict Minerals Report as required by Items 1.01 and 1.02 of this Form SD for the year ended December 31, 2016. |
| 1.01        |                                                                                                                   |