

Edgar Filing: GAIAM INC - Form 5

GAIAM INC  
 Form 5  
 May 23, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 WASHINGTON, D.C. 20549  
 FORM 5

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

( ) Check box if no longer subject to Section 16.  
 Form 4 or Form 5 obligations may continue. See Instructions 1(b).

( ) Form 3 Holdings Reported  
 ( ) Form 4 Transactions Reported

1. Name and Address of Reporting Person

Mowry, Barbara  
 360 Interlocken Boulevard, Suite 300  
 Broomfield, CO 80021  
 USA

2. Issuer Name and Ticker or Trading Symbol

Gaia, Inc.  
 GAIA

3. IRS or Social Security Number of Reporting Person (Voluntary)

4. Statement for Month/Year

12-31-00

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

(X) Director ( ) 10% Owner ( ) Officer (give title below) ( ) Other  
 (specify below)

7. Individual or Joint/Group Reporting (Check Applicable Line)

(X) Form filed by One Reporting Person  
 ( ) Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security | 2. Transaction Date | 3. Code | 4. Securities Acquired (A) or Disposed of (D)<br>Amount | A/D | Price | 5. Amount of Securities Beneficially Owned at End of Year |
|----------------------|---------------------|---------|---|-----|-------|---|
| Class A Common Stock | 12-8-00             | A       | 2,304   | A   | (1)   | 2,304   |

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Derivative Security | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date | 4. Code | 5. Number of Derivative Securities Acquired (A) or Disposed of (D)<br>Amount | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities | 8. Percentage of Total Shares Owned |
|---------------------------------|--|---------------------|---------|--|--|--|-------------------------------------|
|---------------------------------|--|---------------------|---------|--|--|--|-------------------------------------|

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Explanation of Responses:

(1) Stock was issued in lieu of director's fees.

SIGNATURE OF REPORTING PERSON

/s/ Barbara Mowry

DATE

May 22, 2001