PPL Corp Form 10-Q

November 01, 2018

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

#### FORM 10-Q

QUARTERLY REPORT PURSUANT TO SECTION 13 OR 15(d) OF THE SECURITIES EXCHANGE ACT OF 1934 for the quarterly period ended September 30, 2018. OR TRANSITION REPORT PURSUANT TO SECTION 13 OR 15(d) OF THE SECURITIES EXCHANGE ACT OF 1934 for the transition period from \_\_\_\_\_\_ to \_\_\_\_ Commission File Registrant; State of Incorporation; **IRS** Employer Identification No. Number Address and Telephone Number **PPL** Corporation (Exact name of Registrant as specified in its charter) (Pennsylvania) 1-11459 23-2758192 Two North Ninth Street Allentown, PA 18101-1179 (610) 774-5151 PPL Electric Utilities Corporation (Exact name of Registrant as specified in its charter) (Pennsylvania) 1-905 23-0959590 Two North Ninth Street Allentown, PA 18101-1179 (610) 774-5151 LG&E and KU Energy LLC (Exact name of Registrant as specified in its charter) (Kentucky) 333-173665 20-0523163 220 West Main Street Louisville, KY 40202-1377 (502) 627-2000 Louisville Gas and Electric Company (Exact name of Registrant as specified in its charter) (Kentucky) 1-2893 61-0264150 220 West Main Street Louisville, KY 40202-1377 (502) 627-2000 1-3464 Kentucky Utilities Company 61-0247570 (Exact name of Registrant as specified in its charter) (Kentucky and Virginia)

One Quality Street Lexington, KY 40507-1462 (502) 627-2000

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Indicate by check mark whether the registrants (1) have filed all reports required to be filed by Section 13 or 15(d) of the Securities Exchange Act of 1934 during the preceding 12 months (or for such shorter period that the registrants were required to file such reports), and (2) have been subject to such filing requirements for the past 90 days.

PPL Corporation	Yes 2	X	No
PPL Electric Utilities Corporation	Yes 2	X	No
LG&E and KU Energy LLC	Yes 2	X	No
Louisville Gas and Electric Company	Yes 2	X	No
Kentucky Utilities Company	Yes	X	No

Indicate by check mark whether the registrants have submitted electronically every Interactive Data File required to be submitted pursuant to Rule 405 of Regulation S-T (§232.405 of this chapter) during the preceding 12 months (or for such shorter period that the registrants were required to submit such files).

PPL Corporation	Yes	X	No
PPL Electric Utilities Corporation	Yes	X	No
LG&E and KU Energy LLC	Yes	X	No
Louisville Gas and Electric Company	Yes	X	No
Kentucky Utilities Company	Yes	X	No

Indicate by check mark whether the registrants are large accelerated filers, accelerated filers, non-accelerated filers, smaller reporting companies or emerging growth companies. See the definitions of "large accelerated filer," "accelerated filer," "smaller reporting company" and "emerging growth company" in Rule 12b-2 of the Exchange Act.

	Large accelerated filer	Accelerate filer	ed Non-accelerate filer	ed Smaller reporting company	Emerging growth company
PPL Corporation	[ X ]	[ ]	[ ]	[ ]	[ ]
PPL Electric Utilities Corporation	[ ]	[ ]	[X]	[ ]	[ ]
LG&E and KU Energy LLC	[ ]	[ ]	[ X ]	[ ]	[ ]
Louisville Gas and Electric Company	[ ]	[ ]	[X]	[ ]	[ ]
Kentucky Utilities Company	[ ]	[ ]	[ X ]	[ ]	[ ]

If emerging growth companies, indicate by check mark if the registrants have elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

PPL Corporation	[	]
PPL Electric Utilities Corporation	[	]
LG&E and KU Energy LLC	[	]
Louisville Gas and Electric Company	[	]
Kentucky Utilities Company	ſ	1

Indicate by check mark whether the registrants are shell companies (as defined in Rule 12b-2 of the Exchange Act).

PPL Corporation	Yes	No X
PPL Electric Utilities Corporation	Yes	No X
LG&E and KU Energy LLC	Yes	No X
Louisville Gas and Electric Company	Yes	No X
Kentucky Utilities Company	Yes	No X

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Indicate the number of shares outstanding of each of the issuer's classes of common stock, as of the latest practicable

**PPL** Corporation Common stock, \$0.01 par value, 720,199,922 shares outstanding at October 25, 2018.

PPL Electric Utilities Common stock, no par value, 66,368,056 shares outstanding and all held by PPL

Corporation Corporation at October 25, 2018.

PPL Corporation directly holds all of the membership interests in LG&E and KU Energy LG&E and KU Energy LLC LLC.

Louisville Gas and Electric Common stock, no par value, 21,294,223 shares outstanding and all held by LG&E and

KU Energy LLC at October 25, 2018. Company

Common stock, no par value, 37,817,878 shares outstanding and all held by LG&E and Kentucky Utilities Company

KU Energy LLC at October 25, 2018.

This document is available free of charge at the Investors section of PPL Corporation's website at www.pplweb.com. However, information on this website does not constitute a part of this Form 10-Q.

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PPL CORPORATION
PPL ELECTRIC UTILITIES CORPORATION
LG&E AND KU ENERGY LLC
LOUISVILLE GAS AND ELECTRIC COMPANY
KENTUCKY UTILITIES COMPANY

FORM 10-Q FOR THE QUARTER ENDED SEPTEMBER 30, 2018

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This combined Form 10-Q is separately filed by the following Registrants in their individual capacity: PPL Corporation, PPL Electric Utilities Corporation, LG&E and KU Energy LLC, Louisville Gas and Electric Company and Kentucky Utilities Company. Information contained herein relating to any individual Registrant is filed by such Registrant solely on its own behalf, and no Registrant makes any representation as to information relating to any other Registrant, except that information under "Forward-Looking Information" relating to subsidiaries of PPL Corporation is also attributed to PPL Corporation and information relating to the subsidiaries of LG&E and KU Energy LLC is also attributed to LG&E and KU Energy LLC.

Unless otherwise specified, references in this Report, individually, to PPL Corporation, PPL Electric Utilities Corporation, LG&E and KU Energy LLC, Louisville Gas and Electric Company and Kentucky Utilities Company are references to such entities directly or to one or more of their subsidiaries, as the case may be, the financial results of which subsidiaries are consolidated into such Registrants' financial statements in accordance with GAAP. This presentation has been applied where identification of particular subsidiaries is not material to the matter being disclosed, and to conform narrative disclosures to the presentation of financial information on a consolidated basis.

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