WABCO Holdings Inc.

Form 4

February 08, 2017

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Brown Lisa Jane

5. Relationship of Reporting Person(s) to Issuer

WABCO Holdings Inc. [WBC]

2. Issuer Name and Ticker or Trading

(First) (Middle) (Last)

(Street)

3. Date of Earliest Transaction (Month/Day/Year) 02/06/2017

Symbol

(Check all applicable)

Director X\_ Officer (give title below)

10% Owner Other (specify

below) Chief Legal Officer & Sec.

6. Individual or Joint/Group Filing(Check

C/O WABCO HOLDINGS INC., 2770 RESEARCH DRIVE

> 4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

**ROCHESTER HILLS, MI 48309** 

(State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)

(City)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following

Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (T) (Instr. 4) (Instr. 4)

Transaction(s)

(Instr. 3 and 4) Code V Amount (D) Price

(A)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)

Conversion or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if

any

4. 5. Number Transactionof Code

6. Date Exercisable and **Expiration Date** Derivative (Month/Day/Year)

7. Title and Amou Underlying Securi (Instr. 3 and 4)

### Edgar Filing: WABCO Holdings Inc. - Form 4

Price of (Month/Day/Year) (Instr. 8) Securities Derivative Acquired Security (A) or Disposed of (D) (Instr. 3, 4, and 5)

> Code V (A) (D) Date Expiration Title

Exercisable

Date

Amo

26

or Nun of Shar

Performance-Based Common (1) (1) 02/06/2017 Α 269 02/24/2017 Restricted Units Stock

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Brown Lisa Jane C/O WABCO HOLDINGS INC. 2770 RESEARCH DRIVE **ROCHESTER HILLS, MI 48309** 

Chief Legal Officer & Sec.

### **Signatures**

/s/ Thomas P. Conaghan, Attorney-in-Fact

02/08/2017

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The performance-based restricted units represent the contingent right to receive one share of common stock for each unit upon the later of the satisfaction of certain performance criteria, which were satisfied as of February 6, 2017, and February 24, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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