

# Edgar Filing: BORGWARNER INC - Form 4

BORGWARNER INC

Form 4

January 07, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

/ / Check box if no longer subject of Section 16. Form 4 or Form 5 obligations may continue. See instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

1. Name and Address of Reporting Person  
LAURENE H. HORISZNY  
200 S. Michigan Ave.  
Chicago, IL 60604
2. Issuer Name and Ticker or Trading Symbol  
BorgWarner Inc. (BWA)
3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)  
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4. Statement For Month/Year  
DECEMBER, 2001
5. If Amendment, Date of Original (Month/Year)
6. Relationship of Reporting Person to Issuer (Check all applicable)  
( ) Director (x) Officer (give title below) ( ) 10% Owner ( ) Other  
Vice President, General Counsel & Secretary
7. Individual or Joint/Group Filing (Check applicable line)  
/x/ Form Filed by One Reporting Person  
--- Form Filed by More Than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security	2. Transaction Date (Month/Day/Year)	3. Transaction Code Code   V	4. Securities Acquired (A) or Disposed of (D) Amount   (A) or (D)   Price
Common Stock	12/14/01	M	1000   A   \$16.56
Common Stock	12/14/01	S	1000   D   \$49.26
5. Amount of Securities Beneficially Owned at End of Month	6. Ownership Form Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership	

9524

D

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g. Puts, Calls, Warrants, Options, Convertible Securities)

1. Title of Derivative Security	2. Conversion or Exercise Price of Derivative	3. Transaction Date (month & day/year)	4. Transaction Code	5. Number of Derivative Securities Acquired (A) or
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		Security			Code	V	Disposed of (D)	
							(A)	(D)
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Employee Stock								
Option (Right								
to Buy)	\$16.56	12/14/01	M					330
Employee Stock								
Option (Right								
to Buy)	\$16.56	12/14/01	M					330
Employee Stock								
Option (Right								
to Buy)	\$16.56	12/14/01	M					340
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6.Date Exercisable	7.Title and	8.Price of	9.Number of	10.Ownership				
and Expiration	Amount of	Derivative	Derivative	Form of Deriv-				
Date (month/day/year)	Underlying	Security	Securities	ative Security				
Date Exer-	Securities		Beneficially	Direct (D) or				
cisable	Title	Amt.or	Owned At End	Indirect (I)				
Date	#of shrs		Of Month					
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12/31/92	1/1/02	Common Stock	330					D
12/31/93	1/1/02	Common Stock	330					D
12/31/94	1/1/02	Common Stock	340					D
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11. Nature of								
Indirect								
Beneficial								
Ownership								
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Signature of Reporting Person  
/s/ Laurene H. Horiszny  
Date: January 7, 2002