

Edgar Filing: BORGWARNER INC - Form 4

BORGWARNER INC  
Form 4  
August 10, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

FORM 4  
STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP  
/ / Check box if no longer subject of Section 16. Form 4 or Form 5 obligations may continue. See instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

1. Name and Address of Reporting Person  
RONALD M. RUZIC  
200 S. Michigan Ave.  
Chicago, IL 60604
2. Issuer Name and Ticker or Trading Symbol  
BorgWarner Inc. (BWA)
3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)  
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4. Statement For Month/Year  
JULY, 2001
5. If Amendment, Date of Original (Month/Year)
6. Relationship of Reporting Person to Issuer (Check all applicable)  
( ) Director (x) Officer (give title below) ( ) 10% Owner ( ) Other  
Executive Vice President
7. Individual or Joint/Group Filing (Check applicable line)  
/x/ Form Filed by One Reporting Person  
--- Form Filed by More Than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security	2. Transaction Date (Month/Day/Year)	3. Transaction Code		4. Securities Acquired (A) or Disposed of (D)		
		Code	V	Amount	(A) or (D)	Price
Common Stock	7/31/01	M		20,000	A	\$25.00
Common Stock	7/31/01	S		20,000	D	\$52.97
Common Stock	7/31/01	M		8,000	A	\$16.56
Common Stock	7/31/01	S		8,000	D	\$52.97
Common Stock	7/31/01	M		2,500	A	\$17.65
Common Stock	7/31/01	S		2,500	D	\$52.97

5. Amount of Securities Beneficially Owned at End of Month	6. Ownership Form Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership
-	D	
-	D	
-	D	
-	D	
-	D	
38,893	D	

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g. Puts, Calls, Warrants, Options, Convertible Securities)

1. Title of Derivative Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (month\day\year)	4. Transaction Code	5. Number of Derivative Securities Acquired (A) or Disposed of (D)
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Employee Stock Option right to buy	\$25.00	7/31/01	M	20,000
Employee Stock Option right to buy	\$16.56	7/31/01	M	8,000
Employee Stock Option right to buy	\$17.65	7/31/01	M	2,500

6. Date Exercisable and Expiration Date (month/day/year)	7. Title and Amount of Underlying Securities	8. Price of Derivative Security	9. Number of Derivative Securities Beneficially Owned At End Of Month	10. Ownership Form of Derivative Security (Direct (D) or Indirect (I))
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08/12/95	08/12/03	Common Stock	10,000	D
08/12/96	08/12/03	Common Stock	10,000	D
12/31/92	01/01/02	Common Stock	2,640	D
12/31/93	01/01/02	Common Stock	2,640	D
12/31/94	01/01/02	Common Stock	2,720	D
12/31/92	09/30/02	Common Stock	825	D
12/31/93	09/30/02	Common Stock	825	
12/31/94	09/30/02	Common Stock	850	

11. Nature of Ownership  
 Indirect  
 Beneficial  
 Ownership

Signature of Reporting Person  
 /s/ Laurene H. Horiszny  
 as attorney-in-fact for RONALD M. RUZIC  
 Date: AUGUST 10, 2001