

ERGEN CHARLES W  
Form 4  
December 04, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
ERGEN CHARLES W

(Last) (First) (Middle)

9601 S. MERIDIAN BLVD.

(Street)

ENGLEWOOD, CO 80112

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
DISH Network CORP [DISH]

3. Date of Earliest Transaction  
(Month/Day/Year)  
11/30/2018

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify  
below) Chairman

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☐ Form filed by One Reporting Person  
☒ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|---|---|---|--|--|--|---|
|                                       |   |   | Code                                    | V Amount (D) Price   |  |  |   |
| Class A<br>Common<br>Stock            |   |   |   |  | 696,470  | D  |   |
| Class A<br>Common<br>Stock            |   |   |   |  | 19,827   | I  | I <sup>(1)</sup>  |
| Class A<br>Common<br>Stock            |   |   |   |  | 235  | I  | I <sup>(2)</sup>  |
| Class A<br>Common                     |   |   |   |  | 2,266  | I  | I <sup>(3)</sup>  |

Edgar Filing: ERGEN CHARLES W - Form 4

Stock

Class A  
Common  
Stock

8,955 I I <sup>(4)</sup>

Class A  
Common  
Stock

6,465 I I <sup>(5)</sup>

Class A  
Common  
Stock

2,167,705 I I <sup>(6)</sup>

Class A  
Common  
Stock

67,000 I I <sup>(7)</sup>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of Derivative<br>Securities Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4, and 5) |            | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                     | 7. Title and<br>Underlying<br>(Instr. 3 and 4) |                            |
|---|---|---|---|---|--|------------|--|---------------------|--|----------------------------|
|   |   |   |   | Code                                    | V  | (A)        | (D)  | Date<br>Exercisable | Expiration<br>Date                             | Title                      |
| Class B<br>Common<br>Stock                          | <u>(8)</u>  | 11/30/2018                              |   | G <u>(9)</u>                            | V  |            | 8,536,625  | <u>(8)</u>          | <u>(8)</u>                                     | Class A<br>Common<br>Stock |
| Class B<br>Common<br>Stock                          | <u>(8)</u>  | 11/30/2018                              |   | G <u>(9)</u>                            | V  | 8,536,625  |  | <u>(8)</u>          | <u>(8)</u>                                     | Class A<br>Common<br>Stock |
| Class B<br>Common<br>Stock                          | <u>(8)</u>  | 11/30/2018                              |   | G <u>(10)</u>                           | V  |            | 29,196,663   | <u>(8)</u>          | <u>(8)</u>                                     | Class A<br>Common<br>Stock |
| Class B<br>Common<br>Stock                          | <u>(8)</u>  | 11/30/2018                              |   | G <u>(10)</u>                           | V  | 29,196,663 |  | <u>(8)</u>          | <u>(8)</u>                                     | Class A<br>Common<br>Stock |
| Class B<br>Common<br>Stock                          | <u>(8)</u>  | 11/30/2018                              |   | G <u>(11)</u>                           | V  |            | 50,000,000   | <u>(8)</u>          | <u>(8)</u>                                     | Class A<br>Common<br>Stock |

|                            |     |            |                   |   |            |     |     |                            |
|----------------------------|-----|------------|-------------------|---|------------|-----|-----|----------------------------|
| Class B<br>Common<br>Stock | (8) | 11/30/2018 | G <sup>(11)</sup> | V | 50,000,000 | (8) | (8) | Class A<br>Common<br>Stock |
| Class B<br>Common<br>Stock | (8) |            |                   |   |            | (8) | (8) | Class A<br>Common<br>Stock |
| Class B<br>Common<br>Stock | (8) |            |                   |   |            | (8) | (8) | Class A<br>Common<br>Stock |
| Class B<br>Common<br>Stock | (8) |            |                   |   |            | (8) | (8) | Class A<br>Common<br>Stock |
| Class B<br>Common<br>Stock | (8) |            |                   |   |            | (8) | (8) | Class A<br>Common<br>Stock |

## Reporting Owners

| Reporting Owner Name / Address                                   | Relationships |           |          |       |
|--|---------------|-----------|----------|-------|
|  | Director      | 10% Owner | Officer  | Other |
| ERGEN CHARLES W<br>9601 S. MERIDIAN BLVD.<br>ENGLEWOOD, CO 80112 | X             | X         | Chairman |       |
| ERGEN CANTEY<br>9601 S. MERIDIAN BLVD.<br>ENGLEWOOD, CO 80112    | X             | X         |          |       |

## Signatures

/s/ Charles W. Ergen, by Brandon Ehrhart, his Attorney in  
Fact

12/04/2018

\_\_Signature of Reporting Person

Date

/s/ Cantey M. Ergen, by Brandon Ehrhart, her Attorney in  
Fact

12/04/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Held by Mr. Charles W. Ergen in a 401(k) account.
- (2) Held by Mrs. Cantey M. Ergen, Mr. Ergen's spouse.
- (3) Held by Mrs. Cantey M. Ergen in a 401(k) account.
- (4) The shares are owned beneficially by the reporting persons' child. The reporting persons disclaim beneficial ownership of the shares, except to the extent of their pecuniary interest therein.
- (5)

## Edgar Filing: ERGEN CHARLES W - Form 4

The shares are owned beneficially by a trust for which Mrs. Cantey M. Ergen holds a durable power of attorney for the beneficiary. The reporting persons disclaim beneficial ownership of the shares, except to the extent of their pecuniary interest therein.

The shares are held by a charitable foundation. The reporting persons are officers of the charitable foundation and share voting and dispositive power for the foundation. The reporting persons disclaim beneficial ownership of the shares, except to the extent of their pecuniary interest therein.

- (6) The shares are held by Telluray Holdings, LLC ("Telluray Holdings"). Mr. Ergen and Mrs. Ergen are the managers of Telluray Holdings. Mrs. Ergen, as a manager of Telluray Holdings, has sole voting power over the Class A Common Stock and Class B Common Stock held by Telluray Holdings and Mr. Ergen and Mrs. Ergen, as the managers of Telluray Holdings, share dispositive power over the Class A Common Stock and Class B Common Stock held by Telluray Holdings. The reporting persons disclaim beneficial ownership of the shares, except to the extent of their pecuniary interest therein.
- (7) The holders of Class B shares may elect to convert any or all of their Class B shares into an equal number of Class A shares at any time for no additional consideration.
- (8) Pursuant to the terms of the Ergen Three-Year 2015 DISH GRAT (the "2015 GRAT"), 8,536,625 Class B shares were distributed as an annuity to Mr. Ergen on November 30, 2018. Following this distribution, the 2015 GRAT expired in accordance with its terms.
- (9) Pursuant to the terms of the Ergen Two-Year 2017 DISH GRAT (the "Two-Year 2017 GRAT"), 29,196,663 Class B shares were distributed as an annuity to Mr. Ergen on November 30, 2018. Following this distribution, the Two-Year 2017 GRAT retained 10,803,337 Class B shares. The Two-Year 2017 GRAT is scheduled to expire in accordance with its terms on November 30, 2019. Mrs. Cantey M. Ergen serves as the trustee of such GRAT.
- (10) On November 30, 2018, Charles W. Ergen established the Ergen Two-Year November 2018 DISH GRAT and contributed 50,000,000 Class B shares, resulting in the transfer of a total of 50,000,000 Class B shares. Mrs. Cantey M. Ergen serves as the trustee of such GRAT.
- (11) The Ergen Two-Year March 2018 DISH GRAT holds 36,000,000 Class B shares and is scheduled to expire in accordance with its terms on March 9, 2020. Mrs. Cantey M. Ergen serves as the trustee of such GRAT.
- (12) The Ergen Two-Year May 2018 DISH GRAT holds 32,000,000 Class B shares and is scheduled to expire in accordance with its terms on May 9, 2020. Mrs. Cantey M. Ergen serves as the trustee of such GRAT.
- (13) The Ergen Three-Year 2017 DISH GRAT holds 15,603,739 Class B shares and is scheduled to expire in accordance with its terms on May 30, 2020. Mrs. Cantey M. Ergen serves as the trustee of such GRAT.
- (14)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.