Edgar Filing: ERGEN CANTEY - Form 4

| Check this box if no longer subject to Section 16. Form 4 or Form 5 Filed pursus | ATES SECURITIES AND EXCHANGE Constrained by Washington, D.C. 20549 NT OF CHANGES IN BENEFICIAL OWN SECURITIES ant to Section 16(a) of the Securities Exchange of the Public Utility Holding Company Act of 30(h) of the Investment Company Act of 1940 | ERSHIP OF ACT of 1934, 1935 or Section Number: 3235-0287 Number: January 31, 2005 Estimated average burden hours per response 0.5 |
|--|---|--|
| (Print or Type Responses) | | |
| 1. Name and Address of Reporting Per ERGEN CHARLES W | | 5. Relationship of Reporting Person(s) to Issuer |
| (Last) (First) (Mid | | (Check all applicable) |
| 9601 S. MERIDIAN BLVD. | _X_ Director _X_ 10% Owner _X_ Officer (give titleOther (specify below) Chairman | |
| (Street) ENGLEWOOD, CO 80112 | 6. Individual or Joint/Group Filing(Check Applicable Line) Form filed by One Reporting Person _X_ Form filed by More than One Reporting | |
| (City) (State) (Zi | -) | Person |
| 1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2.2. Transaction Date (Month/Day/Year)2. | A. Deemed 3. 4. Securities Acquired (A) ecution Date, if Transactionor Disposed of (D) | nired, Disposed of, or Beneficially Owned) 5. Amount of Securities6.7. Nature of IndirectBeneficiallyForm:Beneficial Direct (D)Ownership Ownership Following or IndirectFollowing Reportedor Indirect (Instr. 4) (Instr. 3 and 4)(Instr. 4) |
| Common 05/31/2018 Stock | P 100,000 A 29.37 | 696,470 D |
| Class A Common Stock | | 19,827 I I <u>(2)</u> |
| Class A Common Stock | | 235 I I <u>(3)</u> |
| Class A Common | | 2,266 I I <u>(4)</u> |

| Stock | | | |
|----------------------------|-----------|---|--------------|
| Class A Common Stock | 8,955 | Ι | I <u>(5)</u> |
| Class A Common Stock | 6,465 | Ι | I <u>(6)</u> |
| Class A Common Stock | 2,167,705 | Ι | I <u>(7)</u> |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

| Persons who respond to the collection of | SEC 1474 |
|--|----------|
| information contained in this form are not | (9-02) |
| required to respond unless the form | |
| displays a currently valid OMB control | |
| number. | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. Commission | 3. Transaction Date | | 4. Transati | 5. | 6. Date Exerc | | 7. Title | | 8. Price of | 9. Nu Daria |
|--------------------------------------|---|---------------------|------------------|---------------------------------|---------|---------------------|--------------------|---|--|--------------------------------------|--|
| Derivative Security (Instr. 3) | Conversion or Exercise Price of Derivative Security | (Month/Day/Year) | (Month/Day/Year) | Transacti Code (Instr. 8) | of | | | Amour Underl Securit (Instr. 1 | ying | Derivative Security (Instr. 5) | Deriv Secur Bene Owne Follo Repo Trans (Instr |
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | |
|--|---------------|-----------|----------|-------|--|
| | Director | 10% Owner | Officer | Other | |
| ERGEN CHARLES W 9601 S. MERIDIAN BLVD. ENGLEWOOD, CO 80112 | Х | Х | Chairman | | |
| ERGEN CANTEY 9601 S. MERIDIAN BLVD. ENGLEWOOD, CO 80112 | Х | Х | | | |

Signatures

| /s/ Charles W. Ergen, by Brandon Ehrhart, his Attorney in Fact | 06/04/2018 |
|--|------------|
| **Signature of Reporting Person | Date |
| /s/ Cantey M. Ergen, by Brandon Ehrhart, her Attorney-in-Fact | 06/04/2018 |
| **Signature of Reporting Person | Date |

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Based on a weighted average purchase price. The shares reported in this transaction were bought at prices ranging from \$29.21 to \$29.40.(1) Information regarding the number of shares bought at each separate price will be made available upon request by the staff of the Securities and Exchange Commission, the issuer, or a security holder of the issuer.

- (2) By 401(k).
- (3) Held by Mrs. Cantey M. Ergen.
- (4) Held by Mrs. Cantey M. Ergen in a 401(k) account.
- (5) The shares are owned by the reporting persons' child. The reporting persons disclaim beneficial ownership of the shares, except to the extent of their pecuniary interest therein.
- (6) The shares are owned beneficially by a trust that Mrs. Cantey M. Ergen holds a durable power of attorney for the beneficiary. The reporting persons disclaim beneficial ownership of the shares, except to the extent of their pecuniary interest therein.

The shares are held by a charitable foundation. The reporting persons are officers of the charitable foundation and share investment(7) control and voting power for the foundation. The reporting persons disclaim beneficial ownership of the shares, except to the extent of their pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.