Edgar Filing: AMERICAN NATIONAL INSURANCE CO /TX/ - Form 4

AMERICAN NATIONAL INSURANCE CO /TX/ Form 4 March 03, 2017 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Herz Irwin Max Jr Issuer Symbol AMERICAN NATIONAL (Check all applicable) **INSURANCE CO /TX/ [ANAT]** (Last) (First) (Middle) 3. Date of Earliest Transaction Director X__ 10% Owner ___X__ Other (specify Officer (give title (Month/Day/Year) below) below) ONE MOODY PLAZA 03/01/2017 Advisory Director (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting GALVESTON, TX 77550 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially Form: Direct Beneficial (Month/Day/Year) Owned Ownership (Instr. 8) (D) or Following Indirect (I) (Instr. 4) Reported (Instr. 4) (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Common 03/01/2017 Μ 500 A \$0 18,988 D Stock (1) Common 03/01/2017 F 125 D 18,863 D 123.84 Stock (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: AMERICAN NATIONAL INSURANCE CO /TX/ - Form 4

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amour or Numbe of Shares
Restricted Stock Units	<u>(3)</u>	03/01/2017		М	250	03/01/2015(4)	03/01/2017(4)	Common Stock	250
Restricted Stock Units	<u>(3)</u>	03/01/2017		М	250	03/01/2016 <u>(5)</u>	03/01/2018(5)	Common Stock	250

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	Director 10% Owner Offic		Other			
Herz Irwin Max Jr ONE MOODY PLAZA GALVESTON, TX 77550		Х		Advisory Director			

Signatures

Irwin M. Herz, Jr. <u>**Signature of</u> Date

Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This transaction represents the settlement of vested restricted stock units in shares of common stock.

(2) Shares withheld at the election of Reporting Person to satisfy applicable tax obligations.

- (3) Each restricted stock unit represents a contingent right to receive, upon vesting, one share of Issuer's common stock or, at the election of the reporting person, cash in an amount equal to the closing price of such stock on the date of vesting.
- (4) These restricted stock units vest in three equal annual installments, beginning March 1, 2015, or upon the reporting person's earlier retirement, death or disability.

(5)

Edgar Filing: AMERICAN NATIONAL INSURANCE CO /TX/ - Form 4

These restricted stock units vest in three equal annual installments, beginning March 1, 2016, or upon the reporting person's earlier retirement, death or disability.

Remarks:

Reporting Person is trustee of the Three R Trusts, which as of the date of this filing has direct beneficial ownership of 9,550 sh

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.