### Edgar Filing: AMERICAN NATIONAL INSURANCE CO /TX/ - Form 4

### AMERICAN NATIONAL INSURANCE CO /TX/

Form 4 March 03, 2017

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

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Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Moody Russell S. Issuer Symbol AMERICAN NATIONAL (Check all applicable) INSURANCE CO /TX/ [ANAT] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner Officer (give title \_\_X\_ Other (specify (Month/Day/Year) below) below) ONE MOODY PLAZA 03/01/2017 Advisory Director (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

#### GALVESTON, TX 77550

(State)

(Zin)

(City)

(City)	(State)	(Zip) Tabl	e I - Non-I	<b>Derivative</b>	Secui	rities Acqu	ired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securi on(A) or D (Instr. 3,	spose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock (1)	03/01/2017		M	500	A	\$ 0	9,062	D	
Common Stock (1)	03/01/2017		D	500	D	\$ 123.84	8,562	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Person

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction  Code Derivative (Instr. 8) Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securities (Instr. 3 and 4)		
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amour or Number of Shares
Restricted Stock Units	(2)	03/01/2017		M		250	03/01/2015(3)	03/01/2017(3)	Common Stock	250
Restricted Stock Units	<u>(2)</u>	03/01/2017		M		250	03/01/2016(4)	03/01/2018(4)	Common Stock	250

## **Reporting Owners**

Post of the Open and Name / Additions	Relationships
Reporting Owner Name / Address	_

Director 10% Owner Officer Other

Moody Russell S.

ONE MOODY PLAZA Advisory Director

GALVESTON, TX 77550

## **Signatures**

Russell S. Moody, by J. Mark Flippin as Attorney-in-Fact

Date

03/02/2017

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - This transaction represents the settlement of vested restricted stock units in cash based on the closing price of Issuer's common stock on March 1, 2017. For reporting purposes, such settlement is treated as a simultaneous acquisition and disposition of the underlying common stock on the closing price of Issuer's common stock on the closing p
- (1) March 1, 2017. For reporting purposes, such settlement is treated as a simultaneous acquisition and disposition of the underlying common stock.
- (2) Each restricted stock unit represents a contingent right to receive, upon vesting, one share of Issuer's common stock or, at the election of the reporting person, cash in an amount equal to the closing price of such stock on the date of vesting.
- (3) These restricted stock units vest in three equal annual installments, beginning March 1, 2015, or upon the reporting person's earlier retirement, death or disability.
- (4) These restricted stock units vest in three equal annual installments, beginning March 1, 2016, or upon the reporting person's earlier retirement, death or disability.

Reporting Owners 2

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.