Edgar Filing: J. Alexander's Holdings, Inc. - Form 4

| J. Alexander's Hol Form 4 October 15, 2015 | dings, Inc. | | | | | | | | | | | |
|---|------------------------------|--|---|--|-----------------|------------------------------|---|--|-----------------|--|---|---------------------------------|
| FORM 4 Check this box | UNITED | STATES | | RITIES A | | | | E COMMISSI | ON | OMB A OMB Number: Expires: | | AL 5-0287 ary 31, 2005 |
| if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. <i>See</i> Instruction 1(b). | Filed pur Section 17(| STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, ection 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | | Estimated average burden hours per response | |
| 1. Name and Address QUIRK RAYMO | | | Symbol | er Name an ander's H | | | Trading | 5. Relationshi Issuer | - | | | |
| (Last) (First) (Middle) 601 RIVERSIDE AVENUE | | | 3. Date of Earliest Transaction (Month/Day/Year) 10/13/2015 | | | | (Check all applicable) <u></u> Director <u></u> 10% Owner <u></u> Officer (give title <u></u> 0ther (specify below) | | | | | |
| (Street) | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | | | |
| JACKSONVILLE | | | | | | | | Person | 5 | | 1 0 | |
| (City) (S | state) | (Zip) | Tab | ole I - Non- | Deriva | tive S | Securities A | Acquired, Dispose | d of, | or Beneficia | lly Owne | ed |
| | isaction Date h/Day/Year) | 2A. Deeme Execution 1 any (Month/Da | Date, if | 3. Transactic Code (Instr. 8) Code V | Dispo (Instr | iired (osed c :. 3, 4 | A) or | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | Fo (D (I) | Ownership rm: Direct) or Indirect astr. 4) | 7. Natur Indirect Benefici Ownersl (Instr. 4) | al hip |
| Reminder: Report on a | a senarate line | for each al | ass of sea | urities benz | ficially | | | or indirectly | | | | |
| Kenninder, Keport on a | a separate fine | | | untres bene | Pe int re | erson form quire | ns who rest ation con ed to resp | spond to the col tained in this fo ond unless the ntly valid OMB (| rm a form | re not | SEC 1474 (9-02) | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. Number of | 6. Date Exercisable and | 7. Title and Amour |
|-------------|-------------|---------------------|--------------------|------------|--------------|-------------------------|--------------------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transactio | orDerivative | Expiration Date | Underlying Securit |
| Security | or Exercise | | any | Code | Securities | (Month/Day/Year) | (Instr. 3 and 4) |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | Acquired (A) | | |

number.

| | Derivative Security | | | or Disposed of (D) (Instr. 3, 4, and 5) | | | | | | |
|-------------------------------|------------------------|------------|------|--|--------|-----|---------------------|--------------------|-----------------|---------------------------|
| | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amo or Num of Sh |
| Non-Qualified Stock Option | \$ 10.39 | 10/13/2015 | А | | 20,000 | | <u>(1)</u> | 10/13/2022 | Common Stock | 20,0 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|---|---------------|-----------|---------|-------|--|--|--|--|
| I. O. I. | Director | 10% Owner | Officer | Other | | | | |
| QUIRK RAYMOND R 601 RIVERSIDE AVENUE JACKSONVILLE, FL 32204 | Х | | | | | | | |
| Signatures | | | | | | | | |

/s/ Raymond R. Quirk <u>**</u>Signature of Reporting Person Late

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The reporting person is a participant in the Issuer's 2015 Equity Incentive Plan and received a non-qualified stock option to purchase
- (1) 20,000 shares of common stock of the Issuer on October 13, 2015. The non-qualified stock option vests over a period of 4 years beginning on the first anniversary of the grant date in increments of 1/4 per year.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.