Stock Yards Bancorp, Inc.

Form 4

March 28, 2017

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction

1(b).

Common

Common

Common

Stock

Stock

Stock

03/24/2017

03/24/2017

(Print or Type Responses)

1. Name and A	Symbo	2. Issuer Name and Ticker or Trading Symbol Stock Yards Bancorp, Inc. [SYBT]			5. Relationship of Reporting Person(s) to Issuer			
<i>(</i> T					(Check all applicable)			
(Last)	(First) (N	, -, -, -, -, -, -, -, -, -, -, -, -, -,	of Earliest T	ransaction				
		`	/Day/Year)			_X_ Director		0% Owner
6804 FAIR	03/24	03/24/2017			X Officer (give title Other (specify below)			
						/	ecutive Vice Pr	resident
	4. If Aı	4. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check			
		Filed(M	Ionth/Day/Yea	ar)		Applicable Line  _X_ Form filed I	) by One Reporting	; Person
PROSPEC'					Form filed b	y More than One	Reporting	
(City)	(State)	(Zip) Ta	ble I - Non-	Derivative	Securities Ac	equired, Disposed	l of, or Benefic	cially Owned
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securit	ies Acquired	5. Amount of	6.	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transacti	on(A) or Di	sposed of	Securities	Ownership	Indirect
(Instr. 3)		any	Code	(D)		Beneficially	Form: Direct	Beneficial
		(Month/Day/Year	(Instr. 8)	(Instr. 3,	4 and 5)	Owned	(D) or	Ownership
						Following	Indirect (I)	(Instr. 4)
					(A)	Reported	(Instr. 4)	
					or	Transaction(s)		
			Code V	Amount	(D) Price	(Instr. 3 and 4)		

Code V

A

F

Amount

7,297

3,651

(D)

D

Price

39.9

43,812

40,161

30,886

D

D

I

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form

KSOP-FBO

Thompson

Kathy

# displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Appreciation Right	\$ 15.84					03/15/2012	03/15/2021	Common Stock	9,174
Stock Appreciation Right	\$ 15.24					02/20/2013	02/20/2022	Common Stock	15,960
Stock Appreciation Right	\$ 19.37					02/18/2015	02/18/2024	Common Stock	13,180
Stock Appreciation Right	\$ 22.96					03/17/2016	03/17/2025	Common Stock	9,885
Stock Appreciation Right	\$ 25.76					03/15/2017	03/15/2026	Common Stock	11,362
Stock Appreciation Right	\$ 40					03/21/2018	03/21/2027	Common Stock	5,962

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
Topolong of not it and it is a second	Director	10% Owner	Officer	Other		
THOMPSON KATHY C 6804 FAIRWAY VIEW CT PROSPECT, KY 40059	X		Sr. Executive Vice President			

Reporting Owners 2

# **Signatures**

/s/ Kathy C. 03/28/2017 Thompson

\*\*Signature of Date Reporting Person

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. "Left" COLOR="Black" WIDTH="90%">CHECK IF THE AGGREGATE AMOUNT IN ROW (9) **EXCLUDES CERTAIN SHARES** 

Not Applicable	
	[]
11	
PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)	
$6.6\%^{(1)}$	
12	
TYPE OF REPORTING PERSON (SEE INSTRUCTIONS)	
IV	
(1) The percent ownership calculated is based upon an aggregate of 17,383,931 shares outstanding as of February 2, 2009.	
Page 3 of 6 Pages	
CUSIP No. 43710G105	
Item 1(a). Name of Issuer:	

3

Address of Issuer s Principal Executive Offices:

500 12th Avenue South Nampa, ID 83651

Item 1(b).

Signatures

Home Federal Bancorp, Inc.

#### Item 2(a). Name of Person Filing:

The persons filing this Schedule 13G are:

- (i) Keeley Asset Management Corp.
- (ii) Keeley Small Cap Value Fund, a series of Keeley Funds, Inc.

#### <u>Item 2(b).</u> <u>Address of Principal Business Office or, if none, Residence:</u>

(i)-(ii) 401 South LaSalle Street Chicago, Illinois 60605

#### Item 2(c). Citizenship:

- (i) Keeley Asset Management Corp. is an Illinois corporation.
- (ii) Keeley Funds, Inc. is a Maryland corporation.

#### <u>Item 2(d).</u> <u>Title of Class of Securities:</u>

Common Stock

#### Item 2(e). CUSIP Number:

43710G105

#### Item 3. If this statement is filed pursuant to Section 240.13d-1(b) or 240.13d-2(b) or (c), check whether the person filing is a:

- INVESTMENT Company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a-8).
- An investment adviser in accordance with section 240.13d-1(b)(1)(ii)(E).

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CUSIP No. 43710G105

#### Item 4. Ownership

#### Keeley Asset Management Corp.

- (a) Amount Beneficially Owned: 1,687,177\*
- (b) Percent of Class: 9.7%
- (c) Number of shares as to which such person has:
  - (i) sole power to vote or to direct the vote: 1,653,832
  - (ii) shared power to vote or to direct the vote: -0-
  - (iii) sole power to dispose or to direct the disposition of: 1,687,177
  - (iv) shared power to dispose or to direct the disposition of: -0-

#### Keeley Small Cap Value Fund

(a) Amount Beneficially Owned: 1,146,500\*

- (b) Percent of Class: 6.6%
- (c) Number of shares as to which such person has:
  - (i) sole power to vote or to direct the vote: -0-
  - (ii) shared power to vote or to direct the vote: -0-
  - (iii) sole power to dispose or to direct the disposition of: -0-
  - (iv) shared power to dispose or to direct the disposition of: -0-
- <u>Item 5.</u> <u>Ownership of Five Percent or Less of a Class.</u>

N/A

<u>Item 6.</u> Ownership of More than Five Percent on Behalf of Another Person.

N/A

Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent Holding Company.

N/A

<u>Item 8</u>. <u>Identification and Classification of Members of the Group.</u>

N/A

\* Keeley Asset Management Corp. and Keeley Small Cap Value Fund share beneficial ownership over the same 1,146,500 shares.

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CUSIP No. 43710G105

<u>Item 9</u>. <u>Notice of Dissolution of Group</u>.

N/A

Item 10. Certification.

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were acquired and are held in the ordinary course of business and were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

#### Exhibits.

1. Agreement to file Schedule 13G jointly (previously filed as Exhibit 1 to the reporting parties Amendment No. 2 to the Schedule 13G filed February 13, 2009).

#### **SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Date: May 8, 2009

KEELEY ASSET MANAGEMENT CORP.

By: <u>/s/ John L. Keeley, Jr.</u> John L. Keeley, Jr., President

KEELEY FUNDS, INC.

By: <u>/s/ John L. Keeley, Jr.</u> John L. Keeley, Jr., President

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SIGNATURE 6