### Edgar Filing: EMERY MATTHEW VARLEY - Form 4

#### **EMERY MATTHEW VARLEY**

Form 4

September 08, 2017

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### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

3235-0287 Number:

January 31, 2005

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Form filed by More than One Reporting

response...

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **EMERY MATTHEW VARLEY** Issuer Symbol AZZ INC [AZZ] (Check all applicable) (First) (Middle) 3. Date of Earliest Transaction (Last) (Month/Day/Year) Director 10% Owner X\_ Officer (give title Other (specify ONE MUSEUM PLACE, SUITE 09/06/2017 below) 500, 3100 WEST 7TH STREET Chief Information & HR Officer (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person

FORT WORTH, TX 76107

| (City)                               | (State) (Z                              | ip) Table   | I - Non-De                             | rivative S                             | ecurit | ies Acqui   | red, Disposed of,  | , or Beneficial  | ly Owned  |
|--------------------------------------|---|---|--|--|--------|-------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securi<br>on(A) or Di<br>(Instr. 3, | spose  | d of (D)    | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| COMMON<br>STOCK                      | 09/06/2017                              |   | A(1)                                   | 107                                    | A      | \$<br>40.63 | 3,942  | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Person

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of | 2.          | 3. Transaction Date |                                       | 4.         | 5.         | 6. Date Exerc |            | 7. Title |          | 8. Price of | 9. Nu   |
|-------------|-------------|---------------------|---------------------------------------|------------|------------|---------------|------------|----------|----------|-------------|---------|
| Derivative  | Conversion  | (Month/Day/Year)    | · · · · · · · · · · · · · · · · · · · | Transactio |            | Expiration D  |            | Amou     |          | Derivative  | Deriv   |
| Security    | or Exercise |                     | any                                   | Code       | of         | (Month/Day/   | rear)      | Under    | , ,      | Security    | Secui   |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)                      | (Instr. 8) | Derivative | e             |            | Securi   | ties     | (Instr. 5)  | Bene    |
|             | Derivative  |                     |                                       |            | Securities |               |            | (Instr.  | 3 and 4) |             | Own     |
|             | Security    |                     |                                       |            | Acquired   |               |            |          |          |             | Follo   |
|             |             |                     |                                       |            | (A) or     |               |            |          |          |             | Repo    |
|             |             |                     |                                       |            | Disposed   |               |            |          |          |             | Trans   |
|             |             |                     |                                       |            | of (D)     |               |            |          |          |             | (Instr  |
|             |             |                     |                                       |            | (Instr. 3, |               |            |          |          |             | (IIISti |
|             |             |                     |                                       |            |            |               |            |          |          |             |         |
|             |             |                     |                                       |            | 4, and 5)  |               |            |          |          |             |         |
|             |             |                     |                                       |            |            |               |            |          | Amount   |             |         |
|             |             |                     |                                       |            |            | Date          | Expiration |          | or       |             |         |
|             |             |                     |                                       |            |            |               | •          | Title    | Number   |             |         |
|             |             |                     |                                       |            |            | Exercisable   | Date       |          | of       |             |         |
|             |             |                     |                                       | Code V     | (A) (D)    |               |            |          | Shares   |             |         |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

EMERY MATTHEW VARLEY ONE MUSEUM PLACE, SUITE 500 3100 WEST 7TH STREET FORT WORTH, TX 76107

Chief Information & HR Officer

### **Signatures**

/s/ Tara D. Mackey, attorney-in-fact for Matthew V. Emery

09/08/2017

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The reporting person is voluntarily reporting the acquisition of the Issuer's common stock pursuant to AZZ Inc.'s Employee Stock

Purchase Plan (the "ESPP"), for the ESPP purchase period of March 6, 2017 through September 6, 2017. This transaction is exempt from Rule 16b-3(c). In accordance with the ESPP, these shares were purchased based on 85% of the closing stock price of the Issuer's common stock on the Enrollment Date (as defined in the ESPP).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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