Edgar Filing: AYLWARD GEORGE R - Form 4

	GEORGE R										
Form 4 February 15,	2018										
									OMB AF	PROVAL	
FORM	UNITED	STATES		RITIES A shington,			NGE C	OMMISSION	OMB Number:	3235-0287	
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction Section 17(a) of the 30(h)			Section 1 Public U	SECUR 6(a) of th	e Act of 1934, 1935 or Sectior	Expires: January 31, 2005 Estimated average burden hours per response 0.5					
1(b).						-					
(Print or Type I	Responses)										
1. Name and Address of Reporting Person <u>*</u> AYLWARD GEORGE R			2. Issuer Name and Ticker or Trading Symbol VIRTUS INVESTMENT					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
			PARTN	IERS, IN	C. [VRTS	5]		()			
			3. Date of Earliest Transaction (Month/Day/Year) 02/13/2018					_X_ Director10% Owner _X_ Officer (give title Other (specify below) below) Director, CEO and President			
Filed(M				endment, Da nth/Day/Year	-			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
HARTFOR	D, CT 06103							Person		porting	
(City)	(State)	(Zip)	Tabl	le I - Non-I	Derivative S	Securi	ities Acqu	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deen Execution any (Month/D	n Date, if	3. Transactio Code (Instr. 8)	4. Securiti on(A) or Dis (Instr. 3, 4	sposed	l of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Common Stock	02/13/2018			M	19,521 (1)	(D) A	\$ 31.38	174,324.52	D		
Common Stock	02/13/2018			F	10,590 (2)	D	\$ 123.5	163,734.52	D		
Common Stock								70.062	Ι	By 401k	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not (9-02) required to respond unless the form

Edgar Filing: AYLWARD GEORGE R - Form 4

displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of etiorDerivative Securities b) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options	\$ 31.38	02/13/2018		М		19,521	02/13/2011	02/13/2018	Common Stock	19,521

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
AYLWARD GEORGE R C/O VIRTUS INVESTMENT PARTNERS 100 PEARL STREET HARTFORD, CT 06103	х		Director, CEO and President				
Signatures							
/s/Mark S. Flynn, Attorney-in-Fact 02/	15/2018						
**Signature of Reporting Person	Date						
Explanation of Response	es:						
* If the form is filed by more than one reporting pe	rson, <i>see</i> Ins	truction 4(b)(v)					

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Acquisition of common stock upon exercise of expiring employee stock options.

(2) Exempt disposition to the Issuer under Rule 16b-3(e) to satisfy option exercise price and tax withholding obligations.

This number includes (i) 1,442.104 shares acquired in connection with the Issuer's Employee Stock Purchase Plan (ii)14,686 RSUs that

(3) are scheduled to cliff vest on March 15, 2019; and (iii) 10,275 RSUs that are scheduled to cliff vest on March 15, 2020. RSUs will be settled for shares of common stock on a one-for-one basis upon vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.