#### SMITH RICHARD K

Form 4 May 14, 2009

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL OMB** 

Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

5. Relationship of Reporting Person(s) to

response...

subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

**SECURITIES** 

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

SMITH RICHARD K Symbol		Symbol					Issuer				
S			uto Finan	cial COI	RP [S	TFC]	(Check all applicable)				
(First) (N	Middle)	3. Date of Earliest Transaction									
			-				X Director 10% Owner Officer (give title below) Other (specify below)				
							6. Individual or Joint/Group Filing(Check				
EMPIRE, MI 49630			nth/Day/Year	·)			Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(State)	(Zip)	Tab	le I - Non-D	) Perivative	Secu	rities Acqı	uired, Disposed of	f, or Beneficial	y Owned		
2. Transaction Date (Month/Day/Year)	Execution any	Date, if	Code (Instr. 8)	on(A) or D (Instr. 3,	ispose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
05/12/2009			M	1,000	A	\$ 12	16,723.737 (1)	D			
05/12/2009			S	700	D	\$ 14.953	16,023.737 (1)	D			
05/12/2009			S	300	D	\$ 15	15,723.737 (1)	D			
	(First) (1) H DUNE HIGHY (Street)  I 49630 (State) 2. Transaction Date (Month/Day/Year)  05/12/2009	HARD K  (First) (Middle)  H DUNE HIGHWAY  (Street)  I 49630  (State) (Zip)  2. Transaction Date (Month/Day/Year) Execution any (Month/E)  05/12/2009	HARD K Symbol State A  (First) (Middle) 3. Date o (Month/I H DUNE HIGHWAY 05/12/2  (Street) 4. If Ame Filed(Mo  I 49630 (State) (Zip) Tab  2. Transaction Date (Month/Day/Year) Execution Date, if any (Month/Day/Year)  05/12/2009	HARD K Symbol State Auto Finan (First) (Middle) 3. Date of Earliest Tr (Month/Day/Year) H DUNE HIGHWAY 05/12/2009  (Street) 4. If Amendment, Da Filed(Month/Day/Year)  I 49630 (State) (Zip) Table I - Non-E  2. Transaction Date 2A. Deemed 3. (Month/Day/Year) Execution Date, if Transaction any Code (Month/Day/Year) (Instr. 8)  Code V  05/12/2009 M  05/12/2009 S	Symbol State Auto Financial COl (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) H DUNE HIGHWAY 05/12/2009  (Street) 4. If Amendment, Date Origina Filed(Month/Day/Year)  I 49630 (State) (Zip) Table I - Non-Derivative 2. Transaction Date 2A. Deemed 3. 4. Securi (Month/Day/Year) Execution Date, if Transaction(A) or D any Code (Instr. 3, (Month/Day/Year) (Instr. 8)  Code V Amount  05/12/2009 M 1,000	Symbol State Auto Financial CORP [S  (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) H DUNE HIGHWAY 05/12/2009  (Street) 4. If Amendment, Date Original Filed(Month/Day/Year)  I 49630  (State) (Zip) Table I - Non-Derivative Secun  2. Transaction Date 2A. Deemed 3. 4. Securities A (Month/Day/Year) Execution Date, if Transaction(A) or Dispose any Code (Instr. 3, 4 and (Month/Day/Year) (Instr. 8)  (A) or Code V Amount (D)  05/12/2009 M 1,000 A	Symbol State Auto Financial CORP [STFC]  (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)  H DUNE HIGHWAY 05/12/2009  (Street) 4. If Amendment, Date Original Filed(Month/Day/Year)  I 49630  (State) (Zip) Table I - Non-Derivative Securities Acquired (Month/Day/Year) Execution Date, if any (Month/Day/Year)  (Month/Day/Year) (Instr. 8)  (A) or Code V Amount (D) Price  05/12/2009  M 1,000 A \$ 12	Symbol State Auto Financial CORP [STFC] (Chec (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) — X_ Director — Officer (give below) — Dune HIGHWAY (O5/12/2009) — O5/12/2009 — O5/12/20	Issuer   I		

#### Edgar Filing: SMITH RICHARD K - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerci	isable and	7. Title	e and	8. Price of	ç
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration Da	te	Amou	nt of	Derivative	J
Security	or Exercise		any	Code	of	(Month/Day/Y	(ear)	Under	lying	Security	,
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ties	(Instr. 5)	]
	Derivative				Securities			(Instr.	3 and 4)		(
	Security				Acquired						J
					(A) or						J
					Disposed						-
					of (D)						(
					(Instr. 3,						
					4, and 5)						
									Amount		
									or		
					Date	Expiration	Title	Number			
						Exercisable	Date		of		
				Code V	(A) (D)				Shares		

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

SMITH RICHARD K 7161 SOUTH DUNE HIGHWAY X EMPIRE, MI 49630

# **Signatures**

Richard K. Smith by James A. Yano, attorney in fact pursuant to a POA filed with the Commission on 5/4/07.

05/14/2009

SEC 1474

(9-02)

9. Nu Deriv Secur Bene

Follo Repo Trans (Insti

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes indirect holdings by spouse of 5,000 shares. Also includes restricted share units previously granted under Outside Directors Restricted Share Unit Plan equivalent in value to those declared and paid on one share of STFC common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2