Edgar Filing: Harris Jonathan C. - Form 4

Harris Jonath Form 4	an C.										
November 13	, 2018										
FORM	UNITE	x Washington, D.C. 20549 x STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(b) of the Investment Company Act of 1940								OMB APPROVAL OMB 3235-0287 Number: January 31, Expires: 2005 Estimated average burden hours per response 0.5	
Check this if no long subject to Section 16 Form 4 or Form 5 obligation may conti <i>See</i> Instru 1(b).	er STATI 5. 5. Filed p s Section 1										
(Print or Type R	esponses)										
Harris Jonathan C. Symb			Symbol		Ticker or Ti	rading		5. Relationship of Reporting Person(s) to Issuer			
<i>(</i> -),		MBIA INC [MBI]					(Check all applicable)				
				of Earliest Transaction /Day/Year) . 2018				Director 10% Owner X Officer (give title Other (specify below) below) General Counsel and Secretary			
(Street) 4. If Ame			4. If Ame	endment, Date Original				6. Individual or Joint/Group Filing(Check			
Filed(Mon PURCHASE, NY 10577				•				Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative Se	curiti	es Acqu	uired, Disposed of	, or Beneficial	lv Owned	
1.Title of Security (Instr. 3)	2. Transaction E (Month/Day/Ye	ar) Executio any		3.	4. Securitie on(A) or Disp (Instr. 3, 4) Amount	es Acqu oosed o	uired of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of	
Common Stock	11/08/2018			А	333,333 (1)	A	\$0	462,098 <u>(2)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	Amor Unde Secur	le and unt of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V		Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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Reporting Owners

Reporting Owner Name / Address		Relationships						
	Director	10% Owner	Officer	Other				
Harris Jonathan C. C/O MBIA INC. 1 MANHATTANVILLE ROAD PURCHASE, NY 10577	D		General Counsel and Secretary					
Signatures								
/s/ Jonathan C. 11 Harris	/13/2018							

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Restricted stock granted pursuant to long-term incentive award under the MBIA Inc. 2005 Omnibus Incentive Plan, as amended. The (1) restricted stock will cliff vest on March 3, 2025 provided Mr. Harris remains continuously employed through such date.
- (2) Balance reflects adjustment for retirement plan shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.