

Edgar Filing: ELLSWORTH FUND LTD - Form NSAR-B

ELLSWORTH FUND LTD  
Form NSAR-B  
November 30, 2011

PAGE 1  
000 B000000 09/30/2011  
000 C000000 0000793040  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 ELLSWORTH FUND LTD.  
001 B000000 811-04656  
001 C000000 9736311177  
002 A000000 65 MADISON AVENUE, SUITE 550  
002 B000000 MORRISTOWN  
002 C000000 NJ  
002 D010000 07960  
002 D020000 7308  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
007 C010200 2  
007 C010300 3  
007 C010400 4  
007 C010500 5  
007 C010600 6  
007 C010700 7  
007 C010800 8  
007 C010900 9  
007 C011000 10  
008 A000001 DINSMORE CAPITAL MANAGEMENT CO.  
008 B000001 A  
008 C000001 801-08123  
008 D010001 MORRISTOWN  
008 D020001 NJ  
008 D030001 07960  
008 D040001 7308  
010 A000001 DINSMORE CAPITAL MANAGEMENT CO.  
010 B000001 801-08123  
010 C010001 MORRISTOWN  
010 C020001 NJ  
010 C030001 07960  
010 C040001 7308  
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY  
012 B000001 84-416  
012 C010001 BROOKLYN  
012 C020001 NY  
012 C030001 11219  
PAGE 2  
013 A000001 TAIT, WELLER & BAKER LLP  
013 B010001 PHILADELPHIA  
013 B020001 PA  
013 B030001 19103  
015 A000001 BROWN BROTHERS HARRIMAN & CO.  
015 B000001 C

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015 C010001 BOSTON  
015 C020001 MA  
015 C030001 02109  
015 C040001 3661  
015 E010001 X  
018 000000 Y  
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020 A000001 MILLER TABAK ROBERTS SECURITIES  
020 B000001 13-4036836  
020 C000001 6  
020 A000002 MORGAN STANLEY & CO  
020 B000002 13-2655998  
020 C000002 2  
020 A000003 BARCLAYS CAPITAL  
020 B000003 06-1031656  
020 C000003 1  
020 A000004 UBS SECURITIES LLC  
020 B000004 13-3873456  
020 C000004 1  
020 A000005 BANC OF AMERICA  
020 B000005 56-0906609  
020 C000005 1  
020 A000006 JP MORGAN SECURITIES  
020 B000006 13-3299429  
020 C000006 0  
020 A000007 GOLDMAN SACHS & CO  
020 B000007 13-5108880  
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020 A000008 CITIGROUP GLOBAL MARKETS  
020 B000008 11-2418191  
020 C000008 0  
020 A000009 CREDIT SUISSE SECURITIES  
020 B000009 13-1898818  
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020 A000010 DEUTSCHE BANK SECURITIES  
020 B000010 13-2730828  
020 C000010 0  
021 000000 11  
022 A000001 GOLDMAN SACHS & CO  
022 B000001 13-5108880  
022 C000001 5987  
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022 A000002 CITIGROUP GLOBAL MARKETS  
022 B000002 11-2418191  
PAGE 3  
022 C000002 5631  
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022 A000003 BARCLAYS CAPITAL  
022 B000003 06-1031656  
022 C000003 4790  
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022 A000004 OPPENHEIMER CO.  
022 B000004 13-5657518  
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022 A000005 MILLER TABAK ROBERTS SECURITIES  
022 B000005 13-4036836  
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022 A000006 CREDIT SUISSE SECURITIES  
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| 022 | C000006 | 5482                     |
| 022 | D000006 | 2430                     |
| 022 | A000007 | DEUTSCHE BANK SECURITIES |
| 022 | B000007 | 13-2730828               |
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| 022 | D000007 | 2334                     |
| 022 | A000008 | JP MORGAN SECURITIES     |
| 022 | B000008 | 13-3299429               |
| 022 | C000008 | 5538                     |
| 022 | D000008 | 1990                     |
| 022 | A000009 | BANK OF AMERICA          |
| 022 | B000009 | 56-0906609               |
| 022 | C000009 | 3989                     |
| 022 | D000009 | 1158                     |
| 022 | A000010 | MORGAN STANLEY & CO      |
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| 023 | D000000 | 41699                    |
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| 025 | A000001 | CITIGROUP GLOBAL MARKETS |
| 025 | B000001 | 11-2418191               |
| 025 | C000001 | E                        |
| 025 | D000001 | 1115                     |
| 025 | A000002 | UBS SECURITIES           |
| 025 | B000002 | 13-3873456               |
| 025 | C000002 | E                        |
| 025 | D000002 | 608                      |
| 025 | D000003 | 0                        |
| 025 | D000004 | 0                        |
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| 025 | D000008 | 0                        |
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| 026 | D000000 | Y                        |
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| 026 | G020000 | N                        |
| 026 | H000000 | N                        |
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| 028 | A020000 | 0                        |
| 028 | A030000 | 0                        |
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| 028 | B020000 | 0                        |
| 028 | B030000 | 0                        |
| 028 | B040000 | 0                        |
| 028 | C010000 | 0                        |
| 028 | C020000 | 0                        |
| 028 | C030000 | 0                        |
| 028 | C040000 | 0                        |
| 028 | D010000 | 0                        |
| 028 | D020000 | 0                        |
| 028 | D030000 | 0                        |
| 028 | D040000 | 0                        |

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|     |         |        |
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| 028 | E010000 | 0      |
| 028 | E020000 | 0      |
| 028 | E030000 | 0      |
| 028 | E040000 | 0      |
| 028 | F010000 | 0      |
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| 028 | F030000 | 0      |
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| 028 | G010000 | 0      |
| 028 | G020000 | 0      |
| 028 | G030000 | 0      |
| 028 | G040000 | 0      |
| 028 | H000000 | 0      |
| 030 | A000000 | 0      |
|     | PAGE    | 5      |
| 030 | B000000 | 0.00   |
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| 031 | B000000 | 0      |
| 032 | 000000  | 0      |
| 033 | 000000  | 0      |
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| 036 | B000000 | 0      |
| 038 | 000000  | 0      |
| 042 | A000000 | 0      |
| 042 | B000000 | 0      |
| 042 | C000000 | 0      |
| 042 | D000000 | 0      |
| 042 | E000000 | 0      |
| 042 | F000000 | 0      |
| 042 | G000000 | 0      |
| 042 | H000000 | 0      |
| 043 | 000000  | 0      |
| 044 | 000000  | 0      |
| 045 | 000000  | Y      |
| 046 | 000000  | N      |
| 047 | 000000  | Y      |
| 048 | 000000  | 0.000  |
| 048 | A010000 | 100000 |
| 048 | A020000 | 0.750  |
| 048 | B010000 | 0      |
| 048 | B020000 | 0.000  |
| 048 | C010000 | 0      |
| 048 | C020000 | 0.000  |
| 048 | D010000 | 0      |
| 048 | D020000 | 0.000  |
| 048 | E010000 | 0      |
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| 048 | F010000 | 0      |
| 048 | F020000 | 0.000  |
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| 048 | G020000 | 0.000  |
| 048 | H010000 | 0      |
| 048 | H020000 | 0.000  |
| 048 | I010000 | 0      |
| 048 | I020000 | 0.000  |
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| 048 | J020000 | 0.000  |
| 048 | K010000 | 100000 |
| 048 | K020000 | 0.500  |
| 049 | 000000  | N      |
| 050 | 000000  | N      |

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051 000000 N  
 052 000000 N  
 053 A000000 N  
 054 A000000 Y  
     PAGE 6  
 054 B000000 Y  
 054 C000000 Y  
 054 D000000 N  
 054 E000000 N  
 054 F000000 N  
 054 G000000 N  
 054 H000000 Y  
 054 I000000 N  
 054 J000000 Y  
 054 K000000 N  
 054 L000000 N  
 054 M000000 Y  
 054 N000000 N  
 054 O000000 Y  
 055 A000000 N  
 055 B000000 N  
 056 000000 N  
 057 000000 N  
 058 A000000 N  
 059 000000 Y  
 060 A000000 Y  
 060 B000000 Y  
 061 000000 0  
 062 A000000 Y  
 062 B000000 0.0  
 062 C000000 0.0  
 062 D000000 0.0  
 062 E000000 0.0  
 062 F000000 0.0  
 062 G000000 0.0  
 062 H000000 0.0  
 062 I000000 0.0  
 062 J000000 0.0  
 062 K000000 0.0  
 062 L000000 0.0  
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 062 P000000 61.5  
 062 Q000000 0.0  
 062 R000000 33.7  
 063 A000000 0  
 063 B000000 4.8  
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 064 B000000 Y  
 065 000000 N  
 066 A000000 Y  
 066 B000000 N  
 066 C000000 N  
 066 D000000 N  
 066 E000000 Y  
     PAGE 7  
 066 F000000 N  
 066 G000000 N  
 067 000000 N  
 068 A000000 N  
 068 B000000 N

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|     |         |    |        |
|-----|---------|----|--------|
| 069 | 000000  | N  |        |
| 070 | A010000 | Y  |        |
| 070 | A020000 | N  |        |
| 070 | B010000 | Y  |        |
| 070 | B020000 | N  |        |
| 070 | C010000 | Y  |        |
| 070 | C020000 | N  |        |
| 070 | D010000 | Y  |        |
| 070 | D020000 | N  |        |
| 070 | E010000 | N  |        |
| 070 | E020000 | N  |        |
| 070 | F010000 | N  |        |
| 070 | F020000 | N  |        |
| 070 | G010000 | N  |        |
| 070 | G020000 | N  |        |
| 070 | H010000 | Y  |        |
| 070 | H020000 | N  |        |
| 070 | I010000 | N  |        |
| 070 | I020000 | N  |        |
| 070 | J010000 | Y  |        |
| 070 | J020000 | Y  |        |
| 070 | K010000 | Y  |        |
| 070 | K020000 | Y  |        |
| 070 | L010000 | Y  |        |
| 070 | L020000 | Y  |        |
| 070 | M010000 | N  |        |
| 070 | M020000 | N  |        |
| 070 | N010000 | Y  |        |
| 070 | N020000 | N  |        |
| 070 | O010000 | Y  |        |
| 070 | O020000 | N  |        |
| 070 | P010000 | Y  |        |
| 070 | P020000 | N  |        |
| 070 | Q010000 | N  |        |
| 070 | Q020000 | N  |        |
| 070 | R010000 | Y  |        |
| 070 | R020000 | N  |        |
| 071 | A000000 |    | 54970  |
| 071 | B000000 |    | 51245  |
| 071 | C000000 |    | 108344 |
| 071 | D000000 |    | 47     |
| 072 | A000000 | 12 |        |
| 072 | B000000 |    | 2023   |
| 072 | C000000 |    | 2146   |
| 072 | D000000 |    | 0      |
| 072 | E000000 |    | 0      |
|     | PAGE    | 8  |        |
| 072 | F000000 |    | 798    |
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| 072 | I000000 |    | 26     |
| 072 | J000000 |    | 15     |
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| 072 | O000000 |    | 1      |
| 072 | P000000 |    | 0      |
| 072 | Q000000 |    | 0      |
| 072 | R000000 |    | 39     |
| 072 | S000000 |    | 65     |
| 072 | T000000 |    | 0      |

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|       |         |                                    |
|-------|---------|------------------------------------|
| 072   | U000000 | 0                                  |
| 072   | V000000 | 1                                  |
| 072   | W000000 | 86                                 |
| 072   | X000000 | 1187                               |
| 072   | Y000000 | 0                                  |
| 072   | Z000000 | 2982                               |
| 072AA | 000000  | 10084                              |
| 072BB | 000000  | 5201                               |
| 072CC | 010000  | 0                                  |
| 072CC | 020000  | 11032                              |
| 072DD | 010000  | 3402                               |
| 072DD | 020000  | 0                                  |
| 072EE | 000000  | 0                                  |
| 073   | A010000 | 0.2540                             |
| 073   | A020000 | 0.0000                             |
| 073   | B000000 | 0.0000                             |
| 073   | C000000 | 0.0000                             |
| 074   | A000000 | 3252                               |
| 074   | B000000 | 0                                  |
| 074   | C000000 | 0                                  |
| 074   | D000000 | 61515                              |
| 074   | E000000 | 25315                              |
| 074   | F000000 | 8351                               |
| 074   | G000000 | 0                                  |
| 074   | H000000 | 0                                  |
| 074   | I000000 | 0                                  |
| 074   | J000000 | 2450                               |
| 074   | K000000 | 0                                  |
| 074   | L000000 | 733                                |
| 074   | M000000 | 32                                 |
| 074   | N000000 | 101648                             |
| 074   | O000000 | 1539                               |
| 074   | P000000 | 67                                 |
| 074   | Q000000 | 0                                  |
| 074   | R010000 | 0                                  |
| 074   | R020000 | 0                                  |
|       | PAGE    | 9                                  |
| 074   | R030000 | 0                                  |
| 074   | R040000 | 34                                 |
| 074   | S000000 | 0                                  |
| 074   | T000000 | 100008                             |
| 074   | U010000 | 13409                              |
| 074   | U020000 | 0                                  |
| 074   | V010000 | 7.46                               |
| 074   | V020000 | 0.00                               |
| 074   | W000000 | 0.0000                             |
| 074   | X000000 | 533                                |
| 074   | Y000000 | 0                                  |
| 075   | A000000 | 0                                  |
| 075   | B000000 | 111755                             |
| 076   | 000000  | 6.43                               |
| 077   | A000000 | Y                                  |
| 077   | B000000 | Y                                  |
| 077   | Q010000 | N                                  |
| 077   | Q020000 | N                                  |
| 077   | Q030000 | Y                                  |
| 078   | 000000  | N                                  |
| 080   | A000000 | CHUBB GROUP OF INSURANCE COMPANIES |
| 080   | C000000 | 1050                               |
| 081   | A000000 | Y                                  |
| 081   | B000000 | 2                                  |
| 082   | A000000 | Y                                  |

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|           |             |                               |  |
|-----------|-------------|-------------------------------|--|
| 082       | B000000     | 50                            |  |
| 083       | A000000     | N                             |  |
| 083       | B000000     | 0                             |  |
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| 085       | A000000     | Y                             |  |
| 085       | B000000     | N                             |  |
| 086       | A010000     | 0                             |  |
| 086       | A020000     | 0                             |  |
| 086       | B010000     | 0                             |  |
| 086       | B020000     | 0                             |  |
| 086       | C010000     | 0                             |  |
| 086       | C020000     | 0                             |  |
| 086       | D010000     | 0                             |  |
| 086       | D020000     | 0                             |  |
| 086       | E010000     | 0                             |  |
| 086       | E020000     | 0                             |  |
| 086       | F010000     | 0                             |  |
| 086       | F020000     | 0                             |  |
| 087       | A010000     | SHARES OF BENEFICIAL INTEREST |  |
| 087       | A020000     | 28-9074106                    |  |
| 087       | A030000     | ECF                           |  |
| 088       | A000000     | N                             |  |
| 088       | B000000     | N                             |  |
| 088       | C000000     | N                             |  |
| 088       | D000000     | N                             |  |
|           | PAGE        | 10                            |  |
| SIGNATURE | GARY LEVINE |                               |  |
| TITLE     | EXEC. VP    |                               |  |