**OSHKOSH CORP** 

Form 4 August 03, 2016

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(City)

(State)

(Zip)

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Cortina Ignacio A Issuer Symbol OSHKOSH CORP [OSK] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner Other (specify X\_ Officer (give title C/O OSHKOSH 08/01/2016 below) CORPORATION, 2307 OREGON SVP, Gen. Counsel & Secretary **STREET** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting OSHKOSH, WI 54902

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | ransaction(A) or Disposed of (D) ode (Instr. 3, 4 and 5) |           |                    | Beneficially Form: Direct Owned (D) or Following Indirect (I) Reported (Instr. 4) | Ownership Form: Direct (D) or Indirect (I) | 7. Nature of Indirect t Beneficial Ownership (Instr. 4) |
|--------------------------------------|---|---|---|--|-----------|--------------------|---|--|---|
|                                      |   |   | Code V                                  | Amount   | or<br>(D) | Price              | Transaction(s) (Instr. 3 and 4)   |  |   |
| Common<br>Stock                      | 08/01/2016                              |   | M                                       | 10,000<br>(1)  | A         | \$ 32.1            | 15,796.865  | D  |   |
| Common<br>Stock                      | 08/01/2016                              |   | S                                       | 10,000   | D         | \$<br>54.21<br>(2) | 5,796.865   | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | Code Securities |         | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                                     |
|---|---|--------------------------------------|---|-----------------|---------|--|--------------------|---|-------------------------------------|
|   |   |                                      |   | Code V          | (A) (D) | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |
| Options (3)   | \$ 32.1   | 08/01/2016                           |   | M               | 10,000  | <u>(4)</u>   | 09/14/2016         | Common<br>Stock   | 10,000                              |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Cortina Ignacio A C/O OSHKOSH CORPORATION 2307 OREGON STREET OSHKOSH, WI 54902

SVP, Gen. Counsel & Secretary

## **Signatures**

Ignacio A. O8/03/2016 Cortina

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reporting Person completed a cashless exercise of OSK stock options granted to Reporting Person in 2009 and due to expire on September 14, 2016.
- (2) Represents weighted average price. Individual sales by lot ranged from \$54.19 to \$54.24. The Company will provide, upon request by the SEC staff, the full information regarding the number of shares purchased or sold at each separate price.
- (3) Option (right to buy) granted pursuant to the Company's Stock Plan.
- (4) Options vest in one-third (1/3) annual increments commencing on 09/14/2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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