

PACCAR INC

Form 4

November 09, 2015

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
PIGOTT MARK C

(Last) (First) (Middle)

777 106TH AVENUE NE

(Street)

BELLEVUE, WA 98004

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
PACCAR INC [PCAR]

3. Date of Earliest Transaction
(Month/Day/Year)
11/06/2015

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below)

Executive Chairman

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
COMMON STOCK	11/06/2015		M	73,672	A \$ 32.23	2,960,117	D
COMMON STOCK	11/06/2015		S	73,672	D \$ 53.8178	2,886,445	D
COMMON STOCK						1,308,892	I ⁽¹⁾ EASCLIFFE COMPANY
COMMON STOCK						283,280	I WIFE AND CHILDREN
COMMON STOCK (SIP) ⁽²⁾						76,671.069	D

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount of Underlying Securities
STOCK OPTION ⁽³⁾	\$ 32.23	11/06/2015		M	73,672	01/01/2009	01/26/2016	COMMON STOCK	40
STOCK OPTION ⁽³⁾	\$ 44.56					01/01/2010	01/31/2017	COMMON STOCK	100
STOCK OPTION ⁽³⁾	\$ 45.74					01/01/2011	01/30/2018	COMMON STOCK	9
STOCK OPTION ⁽³⁾	\$ 30.81					01/01/2012	01/31/2019	COMMON STOCK	100
STOCK OPTION ⁽³⁾	\$ 36.12					01/01/2013	02/02/2020	COMMON STOCK	100
STOCK OPTION ⁽³⁾	\$ 50.5					01/01/2014	02/03/2021	COMMON STOCK	9
STOCK OPTION ⁽³⁾	\$ 43.24					01/01/2015	02/02/2022	COMMON STOCK	100
STOCK OPTION ⁽³⁾	\$ 47.81					01/01/2016	02/06/2023	COMMON STOCK	100
STOCK OPTION ⁽³⁾	\$ 59.15					01/01/2017	02/07/2024	COMMON STOCK	8
COMMON STOCK (LTIP) ⁽⁴⁾	⁽⁴⁾					⁽⁴⁾	⁽⁴⁾	COMMON STOCK	40
COMMON STOCK (DICP) ⁽⁵⁾	⁽⁵⁾					⁽⁵⁾	⁽⁵⁾	COMMON STOCK	100
COMMON STOCK	⁽⁶⁾					⁽⁶⁾	⁽⁶⁾	COMMON STOCK	30

(DCP) (6)

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
PIGOTT MARK C 777 106TH AVENUE NE BELLEVUE, WA 98004	X		Executive Chairman	

Signatures

Mark C. Pigott by David C. Anderson
POA 11/09/2015

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares held by a corporation in which Reporting Person is a shareholder. Holding is reported voluntarily as Reporting Person is not a controlling shareholder and has no voting or investment power with respect to the Issuer's securities.
- (2) Shares held in PACCAR Savings Investment Plan (SIP).
- (3) Option to buy awarded under PACCAR Long Term Incentive Plan (LTIP).
- (4) Share units held in deferred phantom stock account under LTIP convertible to common stock on a one for one basis upon satisfaction of all applicable conditions.
- (5) Share units held in deferred phantom stock account under PACCAR Deferred Incentive Compensation Plan (DICP) convertible to common stock on a one for one basis upon satisfaction of all applicable conditions.
- (6) Share units held in deferred phantom stock account under PACCAR Deferred Compensation Plan (DCP) convertible to common stock on a one for one basis upon satisfaction of all applicable conditions.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.