PACCAR INC Form 4 November 13, 2013

#### FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

(Print or Type Responses)

1. Name and Address of Reporting Person \* PIGOTT MARK C

(First)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

PACCAR INC [PCAR] (Check all applicable)

(Last)

 $(SIP)^{(2)}$ 

(Middle)

3. Date of Earliest Transaction (Month/Day/Year)

\_X\_ Director 10% Owner X\_ Officer (give title \_ Other (specify

6. Individual or Joint/Group Filing(Check

777 106TH AVENUE NE

11/12/2013

CHAIRMAN & CEO

(Street) 4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

below)

BELLEVUE, WA 98004

(City)	(State) (Z	Zip) Table	e I - Non-D	erivative Se	curiti	es Acquir	ed, Disposed of,	or Beneficia	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securitie owr Disposed (Instr. 3, 4	d of (E	<b>)</b> )	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
COMMON STOCK	11/12/2013		M	Amount 135,067	A	\$ 25.31	2,944,426	D	
COMMON STOCK	11/12/2013		S	135,067	D	\$ 56.6	2,809,359	D	
COMMON STOCK							1,308,892	I (1)	EASCLIFFE COMPANY
COMMON STOCK							283,280	I	WIFE AND CHILDREN
COMMON STOCK							71,914.72	D	

#### Edgar Filing: PACCAR INC - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

			· · · · · · · · · · · ·							
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	orDeri Secu Acqu Disp	umber of vative rities uired (A) or osed of (D) r. 3, 4, and	6. Date Exercis Expiration Dat (Month/Day/Y	e	7. Title and Am Underlying Sec (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	An Nu Sha
STOCK OPTION (3)	\$ 25.31	11/12/2013		M		135,067	01/01/2007	01/15/2014	COMMON STOCK	
STOCK OPTION (3)	\$ 32.11						01/01/2008	01/20/2015	COMMON STOCK	
STOCK OPTION (3)	\$ 32.23						01/01/2009	01/26/2016	COMMON STOCK	
STOCK OPTION (3)	\$ 44.56						01/01/2010	01/31/2017	COMMON STOCK	
STOCK OPTION (3)	\$ 45.74						01/01/2011	01/30/2018	COMMON STOCK	
STOCK OPTION (3)	\$ 30.81						01/01/2012	01/31/2019	COMMON STOCK	
STOCK OPTION (3)	\$ 36.12						01/01/2013	02/02/2020	COMMON STOCK	
STOCK OPTION (3)	\$ 50.5						01/01/2014	02/03/2021	COMMON STOCK	
STOCK OPTION (3)	\$ 43.24						01/01/2015	02/02/2022	COMMON STOCK	
STOCK OPTION (3)	\$ 47.81						01/01/2016	02/06/2023	COMMON STOCK	
COMMON STOCK (LTIP) (4)	<u>(4)</u>						<u>(4)</u>	<u>(4)</u>	COMMON STOCK	38
COMMON STOCK (DICP) (5)	<u>(5)</u>						(5)	<u>(5)</u>	COMMON STOCK	94
	<u>(6)</u>						<u>(6)</u>	<u>(6)</u>		29

COMMON STOCK (DCP) (6) COMMON STOCK

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
r g	Director	10% Owner	Officer	Other				
PIGOTT MARK C 777 106TH AVENUE NE BELLEVUE, WA 98004	X		CHAIRMAN & CEO					

### **Signatures**

Mark C. Pigott 11/13/2013

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Shares held by a corporation in which Reporting Person is a shareholder. Holding is reported voluntarily as Reporting Person is not a controlling shareholder and has no voting or investment power with respect to the Issuer's securities.
- (2) Shares held in PACCAR Savings Investment Plan (SIP).
- (3) Option to buy awarded under PACCAR Long Term Incentive Plan (LTIP).
- Share units held in deferred phantom stock account under LTIP convertible to common stock on a one-for-one basis upon satisfaction of all applicable conditions.
- Share units held in deferred phantom stock account under PACCAR Deferred Incentive Compensation Plan (DICP) convertible to common stock on a one-for-one basis upon satisfaction of all applicable conditions.
- Share units held in deferred phantom stock account under PACCAR Deferred Compensation Plan (DCP) convertible to common stock on a one-for-one basis upon satisfaction of all applicable conditions.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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