

Edgar Filing: NORTHEAST UTILITIES SYSTEM - Form 35-CERT

NORTHEAST UTILITIES SYSTEM
Form 35-CERT
August 18, 2003

UNITED STATES OF AMERICA

before the

SECURITIES AND EXCHANGE COMMISSION

In the Matter of

| | |
|---|----------------|
| Northeast Utilities | Quarterly |
| The Connecticut Light and Power Company | Certificate as |
| Western Massachusetts Electric Company | to Partial |
| Holyoke Water Power Company | Consummation |
| Northeast Utilities Service Company | of |
| Northeast Nuclear Energy Company | Transaction |
| Rocky River Realty Company | |
| North Atlantic Energy Company | |
| Public Service Company of New Hampshire | |
| Quinnehtuk, Inc. | |
| NU Enterprises, Inc. | |
| Select Energy, Inc. | |
| Northeast Generation Company | |
| Northeast Generation Service Company | |
| Mode 1 Communications, Inc. | |
| Select Energy Services, Inc. (formerly HEC, Inc.) | |
| Yankee Gas Services Company | |
| Yankee Energy Financial Services Company | |
| Yankee Energy Services Company | |
| NorConn Properties, Inc. | |
| Yankee Energy System, Inc. | |

Berlin, Connecticut

File No. 70-9755

(Public Utility Holding Company Act of 1935)

Pursuant to the Public Utility Holding Company Act of 1935 and Rule 24(a) thereunder, Northeast Utilities and its system companies (the "Companies") hereby certify that the Companies issued and sold short-term debt and entered into system money pool transactions all in accordance with the terms and conditions of, and for the purposes represented by, the Application/Declaration filed by the Company is this proceeding and the Securities and Exchange Commission Order dated December 28, 2000.

The following attachments contain the required information regarding the issuance and sale of short-term debt and system money pool transactions for the second quarter 2003:

ATTACHMENT

- 1 NORTHEAST UTILITIES SUMMARY SHEET
NORTHEAST UTILITIES BANK BORROWINGS
- 2 THE CONNECTICUT LIGHT AND POWER COMPANY
SUMMARY SHEET

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THE CONNECTICUT LIGHT AND POWER COMPANY
BANK BORROWINGS

- 3 WESTERN MASSACHUSETTS ELECTRIC COMPANY
SUMMARY SHEET

WESTERN MASSACHUSETTS ELECTRIC COMPANY
BANK BORROWINGS

- 4 HOLYOKE WATER POWER COMPANY
SUMMARY SHEET

- 5 NORTHEAST NUCLEAR ENERGY COMPANY
SUMMARY SHEET

- 6 ROCKY RIVER REALTY COMPANY
SUMMARY SHEET

- 7 NORTH ATLANTIC ENERGY COMPANY
SUMMARY SHEET

- 8 PUBLIC SERVICE COMPANY OF NEW HAMPSHIRE
SUMMARY SHEET

PUBLIC SERVICE COMPANY OF NEW HAMPSHIRE
BANK BORROWINGS

- 9 QUINNEHTUK, INC.
SUMMARY SHEET

- 10 NORTHEAST UTILITIES SYSTEM MONEY POOL

- 11 NU ENTERPRISES, INC.
SUMMARY SHEET

- 12 SELECT ENERGY, INC.
SUMMARY SHEET

- 13 NORTHEAST GENERATION COMPANY
SUMMARY SHEET

Page 2

- 14 NORTHEAST GENERATION SERVICE COMPANY
SUMMARY SHEET

- 15 MODE 1 COMMUNICATIONS, INC.
SUMMARY SHEET

- 16 Select Energy Services, Inc. (formerly HEC, Inc.)
SUMMARY SHEET

- 17 YANKEE GAS SERVICES COMPANY
SUMMARY SHEET

YANKEE GAS SERVICES COMPANY
BANK BORROWINGS

- 18 YANKEE ENERGY FINANCIAL SERVICES COMPANY

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SUMMARY SHEET

19 YANKEE ENERGY SERVICES COMPANY
SUMMARY SHEET

20 NORCONN PROPERTIES, INC.
SUMMARY SHEET

21 YANKEE ENERGY SYSTEM, INC.
SUMMARY SHEET

Dated as of June 30, 2003

Northeast Utilities Service Company

/s/ Randy A. Shoop
Assistant Treasurer - Finance

ATTACHMENT 1

COMPANY: Northeast Utilities

PERIOD: April 1, 2003 through June 30, 2003

MAXIMUM COMMERCIAL PAPER OUTSTANDING AT
ANY ONE TIME: \$-0-

MAXIMUM COMBINED AMOUNT OF SHORT-TERM
NOTES TO BANKS AND COMMERCIAL PAPER
OUTSTANDING AT ANY ONE TIME: \$82,000,000

THERE WAS \$0 OF COMMERCIAL PAPER AND \$63,000,000 SHORT-TERM
NOTES TO BANKS OUTSTANDING ON June 30, 2003.

MONEY POOL BALANCE ON June 30, 2003: Invested \$180,900,000

| NAME OF BANK | AMOUNT \$ | DATE SOLD |
|----------------------------|--------------|-----------|
| Union Bank of California | \$30,000,000 | 03/20/03 |
| Union Bank of California | \$30,000,000 | 03/26/03 |
| * Union Bank of California | \$30,000,000 | 04/22/03 |
| * Union Bank of California | \$30,000,000 | 04/28/03 |
| Union Bank of California | \$ 6,000,000 | 05/07/03 |
| Union Bank of California | \$10,000,000 | 05/20/03 |
| * Union Bank of California | \$30,000,000 | 05/22/03 |
| * Union Bank of California | \$30,000,000 | 05/28/03 |
| Union Bank of California | \$ 8,000,000 | 05/29/03 |
| Union Bank of California | \$ 5,000,000 | 05/30/03 |
| Union Bank of California | \$ 9,000,000 | 06/02/03 |
| Union Bank of California | \$39,000,000 | 06/27/03 |
| Union Bank of California | \$24,000,000 | 06/30/03 |

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*REPRESENTING REFINANCING OF MATURING LOANS

DATED June 30, 2003

NORTHEAST UTILITIES

BY /s/ Randy A. Shoop

ITS ASSISTANT TREASURER-FINANCE

ATTACHMENT 2

COMPANY: The Connecticut Light and Power Company

PERIOD: April 1, 2003 through June 30, 2003

MAXIMUM COMMERCIAL PAPER OUTSTANDING AT
ANY ONE TIME: \$-0-

MAXIMUM COMBINED AMOUNT OF SHORT-TERM
NOTES TO BANKS AND COMMERCIAL PAPER
OUTSTANDING AT ANY ONE TIME: \$-0-

THERE WAS \$0 OF COMMERCIAL PAPER AND \$ 0 SHORT-TERM
NOTES TO BANKS OUTSTANDING ON June 30, 2003.

MONEY POOL BALANCE ON June 30, 2003: Borrowed \$15,300,000

| NAME OF BANK | AMOUNT \$ | DATE SOLD |
|--------------|--------------|-----------|
|--------------|--------------|-----------|

-0-

*REPRESENTING REFINANCING OF MATURING LOANS

DATED June 30, 2003

THE CONNECTICUT LIGHT AND POWER
COMPANY

BY /s/ Randy A. Shoop

ITS TREASURER

ATTACHMENT 3

COMPANY: Western Massachusetts Electric Company

PERIOD: April 1, 2003 through June 30, 2003

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MAXIMUM COMMERCIAL PAPER OUTSTANDING AT
ANY ONE TIME: \$-0-

MAXIMUM COMBINED AMOUNT OF SHORT-TERM
NOTES TO BANKS AND COMMERCIAL PAPER
OUTSTANDING AT ANY ONE TIME: \$25,000,000

THERE WAS \$0 OF COMMERCIAL PAPER AND \$ 0 SHORT-TERM
NOTES TO BANKS OUTSTANDING ON June 30, 2003.

MONEY POOL BALANCE ON June 30, 2003: Borrowed \$79,400,000

| NAME OF BANK | AMOUNT \$ | DATE SOLD |
|--------------|--------------|-----------|
| * Citibank | \$10,000,000 | 03/10/03 |
| * Citibank | \$10,000,000 | 04/10/03 |
| Citibank | \$15,000,000 | 05/01/03 |
| * Citibank | \$10,000,000 | 05/05/03 |
| * Citibank | \$10,000,000 | 05/12/03 |

*REPRESENTS REFINANCING OF MATURITY LOANS

DATED June 30, 2003

WESTERN MASSACHUSETTS ELECTRIC COMPANY

BY /s/ Randy A. Shoop

ITS ASSISTANT TREASURER - FINANCE

ATTACHMENT 4

COMPANY: Holyoke Water Power Company

PERIOD: April 1, 2003 through June 30, 2003

MAXIMUM COMMERCIAL PAPER OUTSTANDING AT
ANY ONE TIME: \$-0-

MAXIMUM COMBINED AMOUNT OF SHORT-TERM
NOTES TO BANKS AND COMMERCIAL PAPER
OUTSTANDING AT ANY ONE TIME: \$-0-

THERE WAS NO COMMERCIAL PAPER AND \$ 0 SHORT-TERM
NOTES TO BANKS OUTSTANDING ON June 30, 2003.

MONEY POOL BALANCE ON June 30, 2003: Invested \$1,100,000

ATTACHMENT 5

COMPANY: Northeast Nuclear Energy Company

PERIOD: April 1, 2003 through June 30, 2003

MAXIMUM COMMERCIAL PAPER OUTSTANDING AT
ANY ONE TIME: \$-0-

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MAXIMUM COMBINED AMOUNT OF SHORT-TERM
NOTES TO BANKS AND COMMERCIAL PAPER
OUTSTANDING AT ANY ONE TIME: \$-0-

THERE WAS NO COMMERCIAL PAPER AND \$ 0 SHORT-TERM
NOTES TO BANKS OUTSTANDING ON June 30, 2003.

MONEY POOL BALANCE ON June 30, 2003: Invested \$48,300,000

ATTACHMENT 6

COMPANY: Rocky River Realty Company

PERIOD: April 1, 2003 through June 30, 2003

MAXIMUM COMMERCIAL PAPER OUTSTANDING AT
ANY ONE TIME: \$-0-

MAXIMUM COMBINED AMOUNT OF SHORT-TERM
NOTES TO BANKS AND COMMERCIAL PAPER
OUTSTANDING AT ANY ONE TIME: \$-0-

THERE WAS NO COMMERCIAL PAPER AND \$ 0 SHORT-TERM
NOTES TO BANKS OUTSTANDING ON June 30, 2003.

MONEY POOL BALANCE ON June 30, 2003: Borrowed \$11,100,000

ATTACHMENT 7

COMPANY: North Atlantic Energy Company

PERIOD: April 1, 2003 through June 30, 2003

MAXIMUM COMMERCIAL PAPER OUTSTANDING AT
ANY ONE TIME: \$-0-

MAXIMUM COMBINED AMOUNT OF SHORT-TERM
NOTES TO BANKS AND COMMERCIAL PAPER
OUTSTANDING AT ANY ONE TIME: \$-0-

THERE WAS NO COMMERCIAL PAPER AND \$ 0
SHORT-TERM NOTES TO BANKS OUTSTANDING ON June 30, 2003.

MONEY POOL BALANCE ON June 30, 2003: Invested \$19,000,000

ATTACHMENT 8

COMPANY: Public Service Company of New Hampshire

PERIOD: April 1, 2003 through June 30, 2003

MAXIMUM COMMERCIAL PAPER OUTSTANDING AT
ANY ONE TIME: \$-0-

MAXIMUM COMBINED AMOUNT OF SHORT-TERM

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NOTES TO BANKS AND COMMERCIAL PAPER
OUTSTANDING AT ANY ONE TIME: \$35,000,000

THERE WAS NO COMMERCIAL PAPER AND \$-0-
SHORT-TERM NOTES TO BANKS OUTSTANDING ON June 30, 2003.

MONEY POOL BALANCE ON June 30, 2003: Borrowed \$63,800,000

| NAME OF BANK | AMOUNT \$ | DATE SOLD |
|--------------|---------------|-----------|
| * Citibank | \$ 15,000,000 | 03/20/03 |
| * Citibank | \$ 10,000,000 | 04/22/03 |
| * Citibank | \$ 10,000,000 | 05/22/03 |
| Citibank | \$ 25,000,000 | 05/30/03 |

*REPRESENTS REFINANCING OF MATURITY LOANS

DATED June 30, 2003

PUBLIC SERVICE COMPANY OF NEW HAMPSHIRE

BY /s/ Randy A. Shoop

ITS ASSISTANT TREASURER - FINANCE

ATTACHMENT 9

COMPANY: Quinnehtuk, Inc.

PERIOD: April 1, 2003 through June 30, 2003

MAXIMUM COMMERCIAL PAPER OUTSTANDING AT
ANY ONE TIME: \$-0-

MAXIMUM COMBINED AMOUNT OF SHORT-TERM
NOTES TO BANKS AND COMMERCIAL PAPER
OUTSTANDING AT ANY ONE TIME: \$-0-

THERE WAS \$0 OF COMMERCIAL PAPER AND \$0
SHORT-TERM NOTES TO BANKS OUTSTANDING
ON June 30, 2003 \$0

MONEY POOL BALANCE ON June 30, 2003 Borrowed \$4,000,000

ATTACHMENT 10

SEE ATTACHED DOCUMENTS

ATTACHMENT 11

COMPANY: NU Enterprises, Inc.

PERIOD: April 1, 2003 through June 30, 2003

MAXIMUM COMMERCIAL PAPER OUTSTANDING AT
ANY ONE TIME: \$-0-

MAXIMUM COMBINED AMOUNT OF SHORT-TERM
NOTES TO BANKS AND COMMERCIAL PAPER
OUTSTANDING AT ANY ONE TIME: \$-0-

THERE WAS \$0 OF COMMERCIAL PAPER AND \$0
SHORT-TERM NOTES TO BANKS OUTSTANDING ON June 30, 2003 \$0

MONEY POOL BALANCE ON June 30, 2003: Invested \$16,100,000

ATTACHMENT 12

COMPANY: Select Energy, Inc.

PERIOD: April 1, 2003 through June 30, 2003

MAXIMUM COMMERCIAL PAPER OUTSTANDING AT
ANY ONE TIME: \$-0-

MAXIMUM COMBINED AMOUNT OF SHORT-TERM
NOTES TO BANKS AND COMMERCIAL PAPER
OUTSTANDING AT ANY ONE TIME: \$-0-

THERE WAS \$0 OF COMMERCIAL PAPER AND \$0

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SHORT-TERM NOTES TO BANKS OUTSTANDING ON June 30, 2003 \$0

MONEY POOL BALANCE ON June 30, 2003: Borrowed \$35,200,000

ATTACHMENT 13

COMPANY: Northeast Generation Company

PERIOD: April 1, 2003 through June 30, 2003

MAXIMUM COMMERCIAL PAPER OUTSTANDING AT
ANY ONE TIME: \$-0-

MAXIMUM COMBINED AMOUNT OF SHORT-TERM
NOTES TO BANKS AND COMMERCIAL PAPER
OUTSTANDING AT ANY ONE TIME: \$-0-

THERE WAS \$0 OF COMMERCIAL PAPER AND \$0
SHORT-TERM NOTES TO BANKS OUTSTANDING ON June 30, 2003.
MONEY POOL BALANCE ON June 30, 2003: Invested \$10,000,000

TEMPORARY CASH INVESTMENTS ON June 30, 2003: Invested \$25,394,156

ATTACHMENT 14

COMPANY: Northeast Generation Service Company

PERIOD: April 1, 2003 through June 30, 2003

MAXIMUM COMMERCIAL PAPER OUTSTANDING AT
ANY ONE TIME: \$-0-

MAXIMUM COMBINED AMOUNT OF SHORT-TERM
NOTES TO BANKS AND COMMERCIAL PAPER
OUTSTANDING AT ANY ONE TIME: \$-0-

THERE WAS \$0 OF COMMERCIAL PAPER AND \$0
SHORT-TERM NOTES TO BANKS OUTSTANDING ON June 30, 2003. \$0

MONEY POOL BALANCE ON June 30, 2003: Borrowed \$14,300,000

ATTACHMENT 15

COMPANY: Mode 1 Communications, Inc.

PERIOD: April 1, 2003 through June 30, 2003

MAXIMUM COMMERCIAL PAPER OUTSTANDING AT
ANY ONE TIME: \$-0-

MAXIMUM COMBINED AMOUNT OF SHORT-TERM
NOTES TO BANKS AND COMMERCIAL PAPER
OUTSTANDING AT ANY ONE TIME: \$-0-

THERE WAS \$0 OF COMMERCIAL PAPER AND \$0
SHORT-TERM NOTES TO BANKS OUTSTANDING ON June 30, 2003. \$0

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MONEY POOL BALANCE ON June 30, 2003: Invested \$4,000,000

ATTACHMENT 16

COMPANY: Select Energy Services Inc. (formerly HEC, Inc.)

PERIOD: April 1, 2003 through June 30, 2003

MAXIMUM COMMERCIAL PAPER OUTSTANDING AT
ANY ONE TIME: \$-0-

MAXIMUM COMBINED AMOUNT OF SHORT-TERM
NOTES TO BANKS AND COMMERCIAL PAPER
OUTSTANDING AT ANY ONE TIME: \$-0-

THERE WAS \$0 OF COMMERCIAL PAPER AND \$0
SHORT-TERM NOTES TO BANKS OUTSTANDING ON June 30, 2003. \$0

MONEY POOL BALANCE ON June 30, 2003: Borrowed \$500,000

ATTACHMENT 17

COMPANY: Yankee Gas Services Company

PERIOD: April 1, 2003 through June 30, 2003

MAXIMUM COMMERCIAL PAPER OUTSTANDING AT
ANY ONE TIME: \$-0-

MAXIMUM COMBINED AMOUNT OF SHORT-TERM
NOTES TO BANKS AND COMMERCIAL PAPER
OUTSTANDING AT ANY ONE TIME: \$10,000,000

THERE WAS \$0 OF COMMERCIAL PAPER AND \$0
SHORT-TERM NOTES TO BANKS OUTSTANDING ON June 30, 2003.

MONEY POOL BALANCE ON June 30, 2003: Borrowed \$52,900,000

| NAME OF BANK | AMOUNT | DATE SOLD |
|--------------|--------------|-----------|
| * Citibank | \$10,000,000 | 03/10/03 |
| * Citibank | \$10,000,000 | 04/10/03 |
| * Citibank | \$10,000,000 | 05/12/03 |

*REPRESENTING REFINANCING OF MATURING LOANS

DATED June 30, 2003

Yankee Gas Services Company

BY /s/ Randy A. Shoop

ITS ASSISTANT TREASURER-FINANCE

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ATTACHMENT 18

COMPANY: Yankee Energy Financial Services Company

PERIOD: April 1, 2003 through June 30, 2003

MAXIMUM COMMERCIAL PAPER OUTSTANDING AT
ANY ONE TIME: \$-0-

MAXIMUM COMBINED AMOUNT OF SHORT-TERM
NOTES TO BANKS AND COMMERCIAL PAPER
OUTSTANDING AT ANY ONE TIME: \$-0-

THERE WAS \$0 OF COMMERCIAL PAPER AND \$0
SHORT-TERM NOTES TO BANKS OUTSTANDING ON June 30, 2003. \$0

MONEY POOL BALANCE June 30, 2003: Borrowed \$3,500,000

ATTACHMENT 19

COMPANY: Yankee Energy Services Company

PERIOD: April 1, 2003 through June 30, 2003

MAXIMUM COMMERCIAL PAPER OUTSTANDING AT
ANY ONE TIME: \$-0-

MAXIMUM COMBINED AMOUNT OF SHORT-TERM
NOTES TO BANKS AND COMMERCIAL PAPER
OUTSTANDING AT ANY ONE TIME: \$-0-

THERE WAS \$0 OF COMMERCIAL PAPER AND \$0
SHORT-TERM NOTES TO BANKS OUTSTANDING ON June 30, 2003. \$0

MONEY POOL BALANCE ON June 30, 2003: Invested \$100,000

ATTACHMENT 20

COMPANY: NorConn Properties, Inc.

PERIOD: April 1, 2003 through June 30, 2003

MAXIMUM COMMERCIAL PAPER OUTSTANDING AT
ANY ONE TIME: \$-0-

MAXIMUM COMBINED AMOUNT OF SHORT-TERM
NOTES TO BANKS AND COMMERCIAL PAPER
OUTSTANDING AT ANY ONE TIME: \$-0-

THERE WAS \$0 OF COMMERCIAL PAPER AND \$0
SHORT-TERM NOTES TO BANKS OUTSTANDING ON June 30, 2003. \$0

MONEY POOL BALANCE ON June 30, 2003: Borrowed \$1,200,000

ATTACHMENT 21

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COMPANY: Yankee Energy System, Inc.

PERIOD: April 1, 2003 through June 30, 2003

MAXIMUM COMMERCIAL PAPER OUTSTANDING AT
ANY ONE TIME: \$-0-

MAXIMUM COMBINED AMOUNT OF SHORT-TERM
NOTES TO BANKS AND COMMERCIAL PAPER
OUTSTANDING AT ANY ONE TIME: \$-0-

THERE WAS \$0 OF COMMERCIAL PAPER AND \$0
SHORT-TERM NOTES TO BANKS OUTSTANDING ON June 30, 2003. \$0

MONEY POOL BALANCE ON June 30, 2003: Invested \$1,700,000

UNITED STATES OF AMERICA

before the

SECURITIES AND EXCHANGE COMMISSION

In the Matter of

Northeast Utilities

Quarterly
Certificate as
to Partial
Consummation of
Transaction \$350 Million
NU Parent Credit Agreement

Berlin, Connecticut

File No. 70-9755

(Public Utility Holding Company Act of 1935)

Pursuant to the Public Utility Holding Company Act of 1935 and Rule 24(a) thereunder, Northeast Utilities hereby certifies that it maintains a \$350 Million Credit Agreement dated November 12, 2002 with Union Bank of California, as Administrative Agent.

As of June 30, 2003, Northeast Utilities had \$63,000,000 outstanding for this facility.

Dated: June 30, 2003

Northeast Utilities

/s/ Randy A. Shoop
Randy A. Shoop
Assistant Treasurer - Finance

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UNITED STATES OF AMERICA

before the

SECURITIES AND EXCHANGE COMMISSION

In the Matter of

The Connecticut Light and Power Company
Western Massachusetts Electric Company
Public Service Company of New Hampshire
Yankee Gas Services Company

Quarterly
Certificate as
to Partial
Consummation of
Transaction \$300 Million
Revolving Credit Agreement

Berlin, Connecticut

File No. 70-9755

(Public Utility Holding Company Act of 1935)

Pursuant to the Public Utility Holding Company Act of 1935 and Rule 24(a) thereunder, The Connecticut Light and Power Company, Western Massachusetts Electric Company, Yankee Gas Services Company and Public Service Company of New Hampshire hereby certify that they maintain a \$300 Million Revolving Credit Agreement dated November 12, 2002 with Citibank, N.A. as Administrative Agent.

As of June 30, 2003, The Connecticut Light and Power Company had \$0 outstanding, Western Massachusetts Electric Company had \$0 outstanding, Yankee Gas Services Company had \$0 outstanding, and Public Service Company of New Hampshire had \$0 outstanding on this facility.

Dated: June 30, 2003

Northeast Utilities

/s/ Randy A. Shoop
Randy A. Shoop
Treasurer - The Connecticut Light and
Power Company
Assistant Treasurer - Western
Massachusetts Electric Company,
Yankee Gas Services Company
Public Service Company of New
Hampshire