Worzel Ken Form 4/A October 31, 2017

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

Form 4 or

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \* Worzel Ken

2. Issuer Name and Ticker or Trading Symbol

Issuer

NORDSTROM INC [JWN] (Middle)

(Check all applicable)

C/O NORDSTROM, INC., 1617

(Street)

(First)

3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner \_X\_\_ Officer (give title Other (specify below)

6. Individual or Joint/Group Filing(Check

(Instr. 4)

5. Relationship of Reporting Person(s) to

02/24/2017

below) President, Nordstrom.com

**OMB APPROVAL** 

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January 31,

2005

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**OMB** 

Number:

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4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

02/28/2017

Person

SEATTLE, WA 98101

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

(Instr. 3, 4 and 5)

Code V Amount (D) Price

1. Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

3. 4. Securities Execution Date, if TransactionAcquired (A) or Code Disposed of (D)

(Instr. 8)

5. Amount of Securities Beneficially Owned

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (I)

(A)

Following Reported Transaction(s)

(Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

(Instr. 4)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

Conversion or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if any

4. 5. Number Transaction of Derivative Expiration Date Code Securities

6. Date Exercisable and (Month/Day/Year)

7. Title and Amount of 8 **Underlying Securities** (Instr. 3 and 4)

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| (Instr. 3)                                       | Price of<br>Derivative<br>Security |            | (Month/Day/Year) | (Instr. 8) | Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |                     |                    |                 | (                                      |
|--|------------------------------------|------------|------------------|------------|--|---------------------|--------------------|-----------------|--|
|  |                                    |            |                  | Code V     | (A) (D)  | Date<br>Exercisable | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of<br>Shares |
| Employee<br>Stock<br>Option<br>(right to<br>buy) | \$ 75.23                           | 10/06/2015 |                  | A          | 1,362<br>(1) (2)                                     | (3)                 | 02/24/2025         | Common<br>Stock | 1,362                                  |
| Employee<br>Stock<br>Option<br>(right to<br>buy) | \$ 57.16                           | 10/06/2015 |                  | A          | 1,730<br>(4) (5)                                     | <u>(6)</u>          | 03/03/2024         | Common<br>Stock | 1,730                                  |
| Employee<br>Stock<br>Option<br>(right to<br>buy) | \$ 50.26                           | 10/06/2015 |                  | A          | 2,683<br>(7) (8)                                     | (9)                 | 03/04/2023         | Common<br>Stock | 2,683                                  |
| Employee<br>Stock<br>Option<br>(right to<br>buy) | \$ 49.15                           | 10/06/2015 |                  | A          | 2,163<br>(10)<br>(11)                                | <u>(12)</u>         | 02/22/2022         | Common<br>Stock | 2,163                                  |
| Employee<br>Stock<br>Option<br>(right to<br>buy) | \$ 42.48                           | 10/06/2015 |                  | A          | 2,127<br>(13)<br>(14)                                | (15)                | 02/25/2021         | Common<br>Stock | 2,127                                  |

# **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |               |       |  |  |  |
|--------------------------------|---------------|-----------|---------------|-------|--|--|--|
|                                | Director      | 10% Owner | Officer       | Other |  |  |  |
| Worzel Ken                     |               |           |               |       |  |  |  |
| C/O NORDSTROM, INC.            |               |           | President,    |       |  |  |  |
| 1617 SIXTH AVENUE              |               |           | Nordstrom.com |       |  |  |  |
| SEATTLE, WA 98101              |               |           |               |       |  |  |  |

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## **Signatures**

Karen Ruby, Attorney-in-Fact for Ken Worzel

10/31/2017

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents additional shares issuable under an option dated 2/24/2015 as a result of the adjustment of the underlying option in connection with the special dividend declared by the issuer on October 1, 2015.
- (2) The original grant of the underlying option was reported on Form 4 dated 2/26/2015.
- (3) Granted under the issuer's 2010 Equity Incentive Plan, exercisable in four equal annual installments commencing 2/24/2016.
- (4) Represents additional shares issuable under an option dated 3/3/2014 as a result of the adjustment of the underlying option in connection with the special dividend declared by the issuer on October 1, 2015.
- (5) The original grant of the underlying option was reported on Form 4 dated 3/5/2014.
- (6) Granted under the issuer's 2010 Equity Incentive Plan, exercisable in four equal annual installments commencing 3/3/2015.
- (7) Represents additional shares issuable under an option dated 3/4/2013 as a result of the adjustment of the underlying option in connection with the special dividend declared by the issuer on October 1, 2015.
- (8) The original grant of the underlying option was reported on Form 4 dated 3/4/2013.
- (9) Granted under the issuer's 2010 Equity Incentive Plan, exercisable in four equal annual installments commencing 3/4/2014.
- (10) Represents additional shares issuable under an option dated 2/22/2012 as a result of the adjustment of the underlying option in connection with the special dividend declared by the issuer on October 1, 2015.
- (11) The original grant of the underlying option was reported on Form 4 dated 2/22/2012.
- (12) Granted under the issuer's 2010 Equity Incentive Plan, exercisable in four equal annual installments commencing 2/22/2013.
- (13) Represents additional shares issuable under an option dated 2/25/2011 as a result of the adjustment of the underlying option in connection with the special dividend declared by the issuer on October 1, 2015.
- (14) The original grant of the underlying option was reported on Form 4 dated 2/28/2011.
- (15) Granted under the issuer's 2010 Equity Incentive Plan, exercisable in four equal annual installments commencing 2/25/2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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