#### Edgar Filing: NORDSTROM INC - Form 3

NORDSTROM INC Form 3 June 08, 2017

## FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 

3235-0104

**OMB APPROVAL** 

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Number: Expires:

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

**SECURITIES** 

(Print or Type Responses)

(City)

(State)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement NORDSTROM INC [JWN] Maher Michael W (Month/Day/Year) 06/03/2017 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O NORDSTROM, (Check all applicable) INC., 1617 SIXTH AVENUE (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting Chief Accounting Officer Person SEATTLE, WAÂ 98101 Form filed by More than One Reporting Person

#### Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock D 22,984 (1) By 401(k) Plan, per statement Common Stock 2,237.48 Ι

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Zip)

SEC 1473 (7-02)

dated 5/31/2017.

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security 2. Date Exercisable and 3. Title and Amount of 6. Nature of Indirect **Expiration Date** Securities Underlying Ownership Beneficial Ownership (Instr. 4) Conversion (Month/Day/Year) **Derivative Security** or Exercise Form of (Instr. 5)

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (right to buy)	(2)	03/03/2024	Common Stock	2,270	\$ 57.16	D	Â
Employee Stock Option (right to buy)	(3)	02/24/2025	Common Stock	2,360	\$ 75.23	D	Â
Employee Stock Option (right to buy)	(4)	02/28/2026	Common Stock	3,615	\$ 51.32	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<b>Fg</b>	Director	10% Owner	Officer	Other		
Maher Michael W C/O NORDSTROM, INC.	Â	Â	Chief Accounting Officer	Â		
1617 SIXTH AVENUE SEATTLE, WA 98101	71	11	71 Cine 7 recounting Officer	11		

### **Signatures**

/s/ Paula McGee, Attorney-in-Fact for Michael W.
Maher

06/08/2017

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Includes Restricted Stock Award of 198 RSUs granted on 3/3/2014 vesting over a 4-year period commencing on 3/3/2015; 320 RSUs granted on 2/24/2015 vesting over a 4-year period commencing 2/24/2016; 871 RSUs granted on 2/29/16 vesting over a 4-year period
- (1) commencing 3/10/17; 14,890 RSUs granted on 6/7/2016 vesting 50% on 6/10/2018 and 50% on 6/10/2019; 2,654 RSUs granted on 2/28/2017 vesting over a 4-year period commencing 3/10/18; and 1,912 RSUs granted on 5/19/2017 vesting over a 4-year period commencing 6/10/2018.
- (2) The option vested and became exercisable in four equal annual installments commencing 3/3/2015.
- (3) The option vested and became exercisable in four equal annual installments commencing 2/24/2016.
- (4) The option vested and became exercisable in four equal annual installments commencing 3/10/2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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