MOOG INC. Form 4 November 13, 2013

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

January 31, Expires: 2005

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response... 0.5

if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

	ddress of Reporting Po DONALD R	Symbol	r Name and Ticker or Trading  INC. [MOGA/MOGB]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last)	(First) (Mi	3. Date of (Month/E) 11/11/2	•	Director X Officer (gi below)	109	6 Owner er (specify	
	(Street)		4. If Amendment, Date Original  Filed(Month/Day/Year)  6. Individual or Joint/Group File Applicable Line)  _X_ Form filed by One Reporting F  Form filed by More than One R Person			erson	
(City)	(State) (Z	Zip) Tabl	le I - Non-Derivative Securities A	cquired, Disposed	of, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)  (A) or Code V Amount (D) Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Class A Common				33,605	D		
Class B Common (1)				119	I	401 (k)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	orDerivati Securiti Acquire or Dispo (D)	erivative Exp ecurities (Mo cquired (A) r Disposed of D) nstr. 3, 4,		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Option to Buy	\$ 28.01						(3)	11/30/2014	Class A Common	11,250	
Option to Buy	\$ 42.45						<u>(4)</u>	11/26/2017	Class A Common	20,250	
SAR (5)	\$ 35.12						<u>(6)</u>	10/31/2018	Class A Common	20,500	
SAR (5)	\$ 26.66						<u>(7)</u>	12/01/2019	Class A Common	15,375	
SAR (5)	\$ 36.86						<u>(8)</u>	11/30/2020	Class A Common	20,500	
SAR (5)	\$ 41.82						<u>(9)</u>	11/30/2021	Class A Common	20,500	
SAR (5)	\$ 36.41						(10)	11/27/2022	Class A Common	20,500	
SAR	\$ 61.69	11/11/2013		A	10,000	0	(11)	11/11/2023	Class A Common	10,000	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
FISHBACK DONALD R			CFO			
			CLO			

## **Signatures**

Timothy P. Balkin, as Power of Attorney for Donald R. Fishback

\*\*Signature of Reporting Person Date

Reporting Owners 2

11/13/2013

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### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects shares held in Moog Inc. Retirement Savings Plan as of the most recent report to participants.
- (2) Option to buy granted under the 1998 and/or 2003 Incentive Stock Option Plan.
- (3) Options exercisable as follows: 2,250 on 11/26/05, 2,250 on 11/26/06, 2,250 on 11/26/07, 2,250 on 11/26/08 and 2,250 on 11/26/09.
- Options exercisable as follows: 871 on 11/26/09, 2,355 on 11/26/10, 2,355 on 11/26/11, 2,355 on 11/26/12, 2,355 on 11/26/13, 2,355 on 11/26/14, 2,355 on 11/26/15, 2,355 on 11/26/16 and 2,894 on 11/26/17.
- (5) Stock Appreciation Rights (SAR) granted under the 2008 Incentive Stock Option Plan.
- (6) SAR exercisable as follows: 6,834 on 10/31/09, 6,833 on 10/31/10 and 6,833 on 10/31/11.
- (7) SAR exercisable as follows: 5,125 on 12/1/10, 5,125 on 12/1/11 and 5,125 on 12/1/12.
- (8) SAR exercisable as follows: 6,834 on 11/30/11, 6,833 on 11/30/12 and 6,833 on 11/30/13.
- (9) SAR exercisable as follows: 6,834 on 11/30/12, 6,833 on 11/30/13 and 6,833 on 11/30/14.
- (10) SAR is exercisable as follows: 6,834 on 11/27/2013, 6,833 on 11/27/2014 and 6,833 on 11/27/2015.
- (11) SAR is exercisable as follows: 3,334 on 11/11/2014, 3,333 on 11/11/2015 and 3,333 on 11/11/2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.