

MODINE MANUFACTURING CO
 Form 4
 January 10, 2003

FORM 4

UNITED STATES SECURITIES AND
 EXCHANGE COMMISSION
 Washington, DC 20549

OMB
 APPROVAL
 OMB
 Number: 3235-0287
 Expires: January 31,
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 4 or
 Form 5
 obligations may
 continue.
 See Instruction
 1(b).

STATEMENT OF CHANGES IN
 BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the
 Securities Exchange Act of 1934,
 Section 17(a) of the Public Utility
 Holding Company Act of 1935 or
 Section 30(h) of the Investment
 Company Act of 1940

(Print or Type Responses)

| | | | | | | | | | | |
|--|---------|----------|--|-------------------------------|---------------------------------|---|--|--|---------------------------|---|
| 1. Name and Address of Reporting Person* | | | 2. Issuer Name and Ticker or Trading Symbol | | | 6. Relationship of Reporting to Issuer (Check all applicable) | | | | |
| De Vuono, A. C. | | | Modine Manufacturing Company - MODI | | | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below) | | | | |
| | | | | | | Vice President and Chief T Officer | | | | |
| (Last) | (First) | (Middle) | 3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary) | | 4. Statement for Month/Day/Year | | 7. Individual or Joint/Group (Check Applicable Line) | | | |
| Modine Manufacturing Company | | | 193-40-0062 | | 1/06/03 | | | | | <input type="checkbox"/> Form filed by One Reporting Person <input checked="" type="checkbox"/> Form filed by More than One Reporting Person |
| 1500 DeKoven Avenue | | | | | | | | | | |
| (Street) | | | | | | | | | | |
| Racine WI 53403 | | | | | | | | | | |
| (City) (State) (Zip) | | | Table I — Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | |
| 1. Title of Security (Instr. 3) | | | 2. Transaction Date | 2A. Deemed Execution Date, if | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 5. Amount of Securities Beneficially Owned | 6. Ownership Form: Direct | 7. |

| | (Month/ Day/ Year) | any (Month/ Day/ Year) | Code | V | Amount | (A) or (D) | Price | Owned(D) or Following Direct Reported Transaction(s) (Instr. 4) (Instr. 3 and 4) |
|--|--------------------------|---------------------------------|------------------|---|----------|------------------|---------------------------------|--|
| Common Stock, \$0.625 Par | 1/6/03 | | A | | 4,000 | A | -0- | |
| | (1) | | J ⁽¹⁾ | | 45.8680 | A | (1) | |
| | (2) | | J ⁽²⁾ | | 171.4930 | A | (2) | |
| | (3) | | J ⁽³⁾ | | 308.3108 | A | 6,985.4934 ⁽⁴⁾⁽⁵⁾⁽⁶⁾ | D |
| <p>(1) The acquisition of 45.8680 shares were made from 4/1/02 thru 1/06/03 at prices ranging from \$18.96 to \$27.15 per share through the Modine Employee Stock Ownership Plan (ESOP), which Plan is a Rule 16b-3 Plan.</p> <p>(2) The reported securities of 171.4930 Modine Common Stock Fund Units (Modine 401(k) Retirement Plan) were purchased from 4/1/02 thru 1/06/03 at prices ranging from \$16.44 to \$29.01 per Unit. Each Unit consists of Modine common stock and cash components.</p> <p>(3) The reported securities of 308.3108 Modine Common Stock Fund Units (Modine Deferred Compensation Plan) were purchased from 4/1/02 thru 1/06/03 at prices ranging from \$16.44 to \$29.01 per Unit. Each Unit consists of Modine common stock and cash components.</p> <p>(4) Includes 2,624.4562 shares owned thru the ESOP.</p> <p>(5) Includes 1,520.3530 Units owned thru the Modine 401(k) Retirement Plan. Each Unit consists of Modine common stock and cash components.</p> <p>(6) Includes 1,340.6842 Units owned thru the Modine Deferred Compensation Plan. Each Unit consists of Modine common stock and cash components.</p> | | | | | | | | |
| <p>Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. * If the form is filed by more than one reporting person, see Instruction 4(b)(v).</p> | | | | | | | | |
| <p>Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.</p> | | | | | | | | <p>(Over) SEC 1474 (9-02)</p> |

| FORM 4 (continued) | Table II — Derivative Securities Acquired, Disposed of, or Beneficially (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | |
|--|---|---|---|--|---|--|-----|--|-------------------------|---|--|--|--|
| 1. Title of Derivative Security (Instr. 3) | 2. Conver- sion or Exercise Price of Deri- vative Security | 3. Trans- action Date (Month/ Day/ Year) | 3A. Deemed Execution Date, if any (Month/ Day/ Year) | 4. Trans- action Code (Instr.8) | | 5. Number of Deriv- ative Securities Ac- quired (A) or Dis- posed of (D) (Instr. 3, 4 and 5) | | 6. Date Exer- cisable and Expiration Date (Month/Day/ Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. Pri- ority of Deri- vative Sec- urity (In- str. 5) | |
| | | | | Code | V | (A) | (D) | Date Exer- cisable | Expira- tion Date | Title | Amount or Number of Shares | | |
| Options with tandem tax withholding rights | \$25.25 | 1/15/97 | | A | | 5,000 | | 1/15/ 1997 | 1/15/ 2007 | Common Stock | 5,000 | | |
| Options with tandem tax withholding rights | \$33.9375 | 1/21/98 | | A | | 7,500 | | 1/21/ 1998 | 1/21/ 2008 | Common Stock | 7,500 | | |
| Options with tandem tax withholding rights | \$33.25 | 1/20/99 | | A | | 7,500 | | 1/20/ 1999 | 1/20/ 2009 | Common Stock | 7,500 | | |
| Options with tandem tax withholding rights | \$25.00 | 1/19/00 | | A | | 10,000 | | 1/19/ 2000 | 1/19/ 2010 | Common Stock | 10,000 | | |
| Options with tandem tax withholding rights | \$23.25 | 1/17/01 | | A | | 10,000 | | 1/17/ 2001 | 1/17/ 2011 | Common Stock | 10,000 | | |

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|--|---------|---------|--|---|--------|--|-----------|-----------|--------------|--------|--|
| Options with tandem tax withholding rights | \$22.78 | 1/16/02 | | A | 15,000 | | 1/16/2002 | 1/16/2012 | Common Stock | 15,000 | |
| Options with tandem tax withholding rights | \$18.53 | 1/06/03 | | A | 8,400 | | 1/06/2003 | 1/06/2013 | Common Stock | 8,400 | |

Explanation of Responses:

The plans under which these options were granted, which complies with Rule 16b-3, allows for the provision of tax withholding rights. The Reporting Person is a participant in the Modine Employee Stock Ownership Plan (ESOP), Modine Common Stock Fund Units and the Modine Manufacturing Company Pension Trusts. The entities (with the exception of the Modine Common Stock Fund) are also Reporting Persons pursuant to Section 16(a) and files separate statements. Shares held by the Reporting Person in the Plan or Trust are reported on this Statement and on statements filed by the Plan.

s/A. C. DeVuono

January 8, 2003

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.
See

**Signature of Reporting Person

Date

18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.