## Edgar Filing: MAYER GEORGE L - Form 4

MAYER GEORGE L Form 4 October 07, 2002

FORM 4

\_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

#### OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Filed By Romeo & Dye's Instant Form 4 Filer www.section16.net

<ol> <li>Name and Address of Reporting Person*</li> <li>Mayer George L.</li> </ol>					ame and T (ALE)	Ticker (	6. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
(Last) (First) (Middle) 178 Myrtle Blvd., Suite 103				oorti	lentificatio ng Person, v (voluntary		]	4. Statement for Month/Day/Year 10/7/02	<u>X</u> Directo 10% Own Office	X Director 10% Owner Officer (give title below) Other (specify below)			
Larchmont, NY	(Street) 10538							5. If Amendment, Date of Original (Month/Day/Year)	(Check A <u>X</u> Form f Person Form f Reporting				
(City)	(State)	(Zip)		Tab	ole I Non	-Deriv	vative S	Securities Acquired, Di	sposed of, o	r Beneficially Owned			
Security action Execution Instr. 3) Date Date, (Month/Day/ if any Year) (Month/Day/		3. Trans- action Code (Instr. 8) Code V		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 & 5) Amount (A) Price				6. Owner- ship Form Direct (D) or Indirect (I)	: Beneficial Ownership (Instr. 4)				
		Year)				or (D)		Transactions(s) (Instr. 3 & 4)	(Instr. 4)				
Common Stock						(D)		26505.388	(1) <b>D</b>				
Common Stock								50	I 00	By Spouse			
Common Stock								3(	I 00	Spouse as Conservator <sup>(2)</sup>			
Common Stock								25	50 I	Trust <sup>(3)</sup>			
Common Stock								40	I 00	Trust <sup>(4)</sup>			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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FORM 4 (continued)

(e.g., puts, calls, warrants, options, convertible securities)														
1. Title of	2. Conver-	3.	3A.	4.	5.		6. Date Exerc	isable	7. Tit	le and	8. Price of	9. Number of	10.	11. Nature
Derivative	sion or	Trans-	Deemed	Trans-	Nur	nber	and Expiratio	n	Amo	unt of	Derivative	Derivative	Owner-	of Indirect
Security	Exercise	action	Execution	action	of		Date		Unde	rlying	Security	Securities	ship	Beneficial
	Price of	Date	Date,	Code	Der	ivati	(Melonth/Day/		Secur	rities	(Instr. 5)	Beneficially	Form	Ownership
(Instr. 3)	Derivative		if any		Sec	uriti	(Kear)		(Instr	. 3 & 4)		Owned	of Deriv-	(Instr. 4)
	Security	(Month/	(Month/	(Instr.	Acq	uire	d					Following	ative	
		Day/	Day/	8)	(A)	or						Reported	Security:	
		Year)	Year)		Dis	pose	d					Transaction(s)	Direct	
					of (	D)						(Instr. 4)	(D)	
												(,	or	
					(Instr.								Indirect	
					3,4	&							(I)	
				5)									(Instr. 4)	
				Code	/ (A)	(D)	Date	Expira-	Title	Amount				
							Exer-cisable	tion		or				
								Date		Number				
										of				
										Shares				

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned colle momente optione convertible convition

Explanation of Responses:

(1) Includes shares acquired in exempt transactions under the dividend reinvestment feature of ALLETE's stock purchase and dividend reinvestment plan. This information is based on a plan statement as of September 30, 2002.

(2) By reporting person's spouse as conservator for family member in the same household as reporting person.

(3) By reporting person as trustee for George A. Mayer Charitable Remainder Trust.

(4) By reporting person as trustee for Mayer Charitable Annuity Trust.

By: /s/ Philip R. Halverson Philip R. Halverson for George L. Mayer \*\*Signature of Reporting Person

October 7, 2002

Date

\*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.

If space is insufficient, See Instruction 6 for procedure.

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