Arvidson Scott E Form 4 August 11, 2009

#### FORM 4

#### **OMB APPROVAL**

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

Washington, D.C. 20549

January 31, Expires: 2005

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Symbol

Estimated average burden hours per response... 0.5

5. Relationship of Reporting Person(s) to

Issuer

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Print or Type Responses)

Arvidson Scott E

Stock

1. Name and Address of Reporting Person \*

			KANSAS CITY SOUTHERN [KSU]					KSU]	(Check all applicable)		
(Last)	(First) (M	(Iiddle)	3. Date of	Earliest	t Tra	insaction			(Cile	cit uii uppiicuoi	-,
KANSAS C BOX 21933.	ITY SOUTHERN 5	N, PO	(Month/D 08/07/20	_	·)				DirectorX Officer (give below) Executive		6 Owner er (specify
KANSASC	(Street)		4. If Amer Filed(Mon	· ·					6. Individual or J Applicable Line) _X_ Form filed by Form filed by		erson
KANSAS C	111, 110 04121								Person		
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution	med on Date, if Day/Year)	Code (Instr.		4. Securition(A) or Dis (D) (Instr. 3, 4)	sposed 4 and 5  (A) or (D)	of of Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Stock	08/07/2009			D		62,446	D	\$ 0	14,493	D	
Common Stock									3,116.085	I	By ESOP
Common									11 774 973	ī	by 401(k) & P/S Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form

11,774.973

& P/S Plan

(2)

#### Edgar Filing: Arvidson Scott E - Form 4

# displays a currently valid OMB control number.

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy) (3)	\$ 5.75					07/13/2003	11/06/2009	Common Stock	17,400
LSAR (3)	\$ 5.75					<u>(3)</u>	<u>(3)</u>	Common Stock	17,400
Employee Stock Option (Right to Buy) (3)	\$ 5.75					07/13/2001	11/06/2009	Common Stock	92,000
LSAR (3)	\$ 5.75					(3)	<u>(3)</u>	Common Stock	92,000
Employee Stock Option (Right to Buy) (3)	\$ 14.34					02/27/2001	11/06/2009	Common Stock	122
LSAR (3)	\$ 14.34					(3)	(3)	Common Stock	122
Employee Stock Option (Right to Buy) (3)	\$ 13.42					02/06/2002	11/06/2009	Common Stock	130
LSAR (3)	\$ 13.42					(3)	(3)	Common Stock	130

8. I Der Sec (In:

#### Edgar Filing: Arvidson Scott E - Form 4

Employee Stock Option (Right to Buy) (3)	\$ 12.55	01/16/2003	11/06/2009	Common Stock	500
LSAR (3)	\$ 12.55	(3)	<u>(3)</u>	Common Stock	500
Employee Stock Option (Right to Buy) (3)	\$ 12.55	01/16/2008	11/06/2009	Common Stock	15,000
LSAR (3)	\$ 12.55	(3)	(3)	Common Stock	15,000
Employee Stock Option (Right to Buy) (3)	\$ 14.6	01/02/2005	11/06/2009	Common Stock	6,000
LSAR (3)	\$ 14.6	(3)	(3)	Common Stock	6,000
Employee Stock Option (Right to Buy) (3)	\$ 14.53	02/09/2004	11/06/2009	Common Stock	606
LSAR (3)	\$ 14.53	(3)	(3)	Common Stock	606

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
•	Director	10% Owner	Officer	Other		

Arvidson Scott E KANSAS CITY SOUTHERN PO BOX 219335 KANSAS CITY, MO 64121

Executive Vice President & CIO

### **Signatures**

Brian P. Banks, Attorney-in-fact 08/11/2009

\*\*Signature of Reporting Person Date

Reporting Owners 3

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 3,116.085 shares have accrued to the reporting person's account under the KCS Employee Stock Ownership Plan.
- (2) Includes 1,043.942 shares acquired under the KCS 401(k) and Profit Sharing Plan since the date of the reporting person's last ownership report.
- Limited Stock Appreciation Rights ("LSARs") are granted in tandem with stock options. LSARs become exercisable only following a change-in-control of the Company in lieu of related options and are exercisable only for cash. LSARs terminate when the related options are exercised or terminated.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.