

SWOPE JOHN F  
Form 4  
March 11, 2003

OMB APPROVAL

☐

Check this box if no longer  
subject  
to Section 16. Form 4 or Form 5  
obligations may continue.

See Instruction 1(b).

**FORM 4**

UNITED STATES SECURITIES AND  
EXCHANGE COMMISSION  
Washington, D.C. 20549

**STATEMENT OF  
CHANGES IN  
BENEFICIAL  
OWNERSHIP**

Filed pursuant to Section  
16(a) of the Securities  
Exchange Act of 1934,  
Section 17(a) of the Public  
Utility Holding Company  
Act of 1935 or Section 30(h)  
of the Investment Company  
Act of 1940

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average  
burden  
hours per response. . .0.5

1. Name and Address of Reporting Person*			2. Issuer Name <b>and</b> Ticker or Trading Symbol		6. Relationship of Reporting Person(s) to Issuer (Check all applicable)		
Swope John F.			NORTHEAST UTILITIES (NU)		<input checked="" type="checkbox"/> Director* <input type="checkbox"/> 10% Owner <input type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below)		
(Last) (First) (Middle)			3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)		4. Statement for Month/Day/Year		
c/o Northeast Utilities 107 Selden Street					03/07/2003		
(Street)					5. If Amendment, Date of Original (Month/Day/Year)		
Berlin, CT 06037					7. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person		
					(City) (State) (Zip)		
Table I Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1. Title of Security (Instr. 3)	2. Transaction Date (Month/ Day/ Year)	2A. Deemed Execution Date, if any (Month/Day/ Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 & 5)	5. Amount of Securities Beneficially Owned Following Reported Transactions(s) (Instr. 3 & 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
							Code
							V

							Amount
							(A) or (D)
							Price
							Common Shares, \$5 par value
							03/07/2003
							A
							1,000 shs See Note 1
							A
							\$14.20
							Common Shares, \$5 par value
							03/07/2003
							A
							1,000 shs See Note 2
							A
							\$14.20
							11,861 shs See Note 3
							D



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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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**FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
**(e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 & 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 & 4)		8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
Options to Purchase													12,500	D	

Explanation of Responses:

**Note 1. Restricted shares vesting on 3/7/2004 receipt of which has been deferred until termination of Service.**

**Note 2. Receipt of these shares has been deferred until termination of service.**

**Note 3. Includes shares receipt of which has been deferred.**

/s/ **John F. Swope, By: O. Kay Comendul/POA**

\*\*Signature of Reporting Person

**March 10, 2003**

Date

\*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

## Edgar Filing: SWOPE JOHN F - Form 4

Note: File three copies of this Form, one of which must be manually signed.

If space is insufficient, See Instruction 6 for procedure.

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