

RTI SURGICAL, INC.
Form 3
December 21, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name and Ticker or Trading Symbol	
Â Luedeman Lyle P.		(Month/Day/Year)	RTI SURGICAL, INC. [RTIX]	
(Last)	(First)	(Middle)	4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
11621 RESEARCH CIRCLE			(Check all applicable)	
(Street)			<input type="checkbox"/> Director	<input type="checkbox"/> 10% Owner
ALACHUA, Â FL Â 32615			<input type="checkbox"/> Officer	<input checked="" type="checkbox"/> Other
(City)	(State)	(Zip)	(give title below) (specify below)	
			Vice President Human Resources	
			6. Individual or Joint/Group Filing(Check Applicable Line)	
			<input checked="" type="checkbox"/> Form filed by One Reporting Person	
			<input type="checkbox"/> Form filed by More than One Reporting Person	

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	3,021 ⁽¹⁾	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	

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				Shares		(I) (Instr. 5)	
Option to purchase Common Stock, \$.001 par value per share	Â (2)	02/25/2024	Common Stock, par value \$.001	12,000	\$ 3.78	D	Â
Option to purchase Common Stock, \$.001 par value per share	Â (3)	02/17/2025	Common Stock, par value \$.001	15,000	\$ 5.23	D	Â
Option to purchase Common Stock, \$.001 par value per share	Â (4)	02/24/2026	Common Stock, par value \$.001	12,903	\$ 3.31	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Luedeman Lyle P. 11621 RESEARCH CIRCLE ALACHUA, FL 32615	Â	Â	Â	Vice President Human Resources

Signatures

/s/Lyle P.
Luedeman

12/21/2016

**Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This Restricted Stock Award will become vested as to 1,007 shares on each of 2/24/2017, 2/24/2018 and 2/24/2019.
- (2) Consist of 3,000 fully vested and exercisable options and 9,000 unvested options that will vest and become exercisable as to 3,000 shares on each of 2/25/2017, 2/25/2018 and 2/25/2019.
- (3) Consist of 3,000 fully vested and exercisable options and 12,000 unvested options that will vest and become exercisable as to 3,000 shares on each of 2/17/2017, 2/17/2018, 2/17/2019 and 2/17/2020.
- (4) This option will become exercisable as to 2,581 shares on each of 2/24/2017, 2/24/2018, 2/24/2019 and 2,580 shares on each of 2/24/2020 and 2/24/2021.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.