

RTI SURGICAL, INC.
Form 3
December 20, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name and Ticker or Trading Symbol	
Â Louw Johannes Wynand		(Month/Day/Year)	RTI SURGICAL, INC. [RTIX]	
(Last)	(First)	(Middle)	4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
		12/13/2016		
11621 RESEARCH CIRCLE			(Check all applicable)	6. Individual or Joint/Group Filing(Check Applicable Line)
	(Street)		<input type="checkbox"/> Director <input type="checkbox"/> 10% Owner	<input checked="" type="checkbox"/> Form filed by One Reporting Person
ALACHUA,Â FLÂ 32615			<input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other	<input type="checkbox"/> Form filed by More than One Reporting Person
(City)	(State)	(Zip)	(give title below) (specify below)	
			Interim CFO	

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	3,221 ⁽¹⁾	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	

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				Shares		(I) (Instr. 5)	
Option to purchase Common Stock, \$.001 par value per share	03/02/2012	03/02/2017	Common Stock, par value \$.001	4,000	\$ 7.25	D	Â
Option to purchase Common Stock, \$.001 par value per share	01/28/2013	01/28/2018	Common Stock, par value \$.001	5,000	\$ 7.45	D	Â
Option to purchase Common Stock, \$.001 par value per share	07/21/2013	07/21/2018	Common Stock, par value \$.001	5,000	\$ 8.11	D	Â
Option to purchase Common Stock, \$.001 par value per share	02/29/2016	02/28/2021	Common Stock, par value \$.001	2,000	\$ 2.69	D	Â
Option to purchase Common Stock, \$.001 par value per share	Â <u>(2)</u>	02/09/2022	Common Stock, par value \$.001	6,000	\$ 4.02	D	Â
Option to purchase Common Stock, \$.001 par value per share	Â <u>(3)</u>	02/25/2023	Common Stock, par value \$.001	15,000	\$ 3.6	D	Â
Option to purchase Common Stock, \$.001 par value per share	Â <u>(4)</u>	02/25/2024	Common Stock, par value \$.001	20,000	\$ 3.78	D	Â
Option to purchase Common Stock, \$.001 par value per share	Â <u>(5)</u>	02/17/2025	Common Stock, par value \$.001	20,000	\$ 5.23	D	Â
Option to purchase Common Stock, \$.001 par value	Â <u>(6)</u>	02/24/2026	Common Stock, par value \$.001	19,355	\$ 3.31	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Louw Johannes Wynand 11621 RESEARCH CIRCLE	Â	Â	Â Interim CFO	Â

ALACHUA, FL 32615

Signatures

/s/Johannes W.

12/20/2016

Louw

__Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This contains 3,021 Restricted Stock Awards that will vest as to 1,007 shares on each of 2/24/2017, 2/24/2018 and 2/24/2019.
- (2) Consist of 3,000 fully vested and exercisable options and 3,000 unvested options that will vest and become exercisable on 2/9/2017.
- (3) Consist of 9,000 fully vested and exercisable options and 6,000 unvested options that will vest and become exercisable as to 3,000 shares on each of 2/25/2017 and 2/25/2018.
- (4) Consist of 8,000 fully vested and exercisable options and 12,000 unvested options that will vest and become exercisable as to 4,000 shares on each of 2/25/2017, 2/25/2018 and 2/25/2019.
- (5) Consist of 4,000 fully vested and exercisable options and 16,000 unvested options that will vest and become exercisable as to 4,000 shares on each of 2/17/2017, 2/17/2018, 2/17/2019 and 2/17/2020.
- (6) This option will become exercisable as to 3,871 shares on each of 2/24/2017, 2/24/2018, 2/24/2019, 2/24/2020 and 2/24/2021.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.