

LIVE VENTURES Inc
Form 3
January 17, 2017

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Johnson Virland A
(Last) (First) (Middle)

325 E. WARM SPRINGS RD.
#102

(Street)

LAS VEGAS, NV 89119

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)
01/03/2017

3. Issuer Name and Ticker or Trading Symbol
LIVE VENTURES Inc [LIVE]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director ____ 10% Owner
X Officer ____ Other
(give title below) (specify below)
Chief Financial Officer

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

Date Exercisable Expiration Date

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

Title Amount or Number of Shares

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)
or Indirect (I)

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

(Instr. 5)

Stock Option (Right to Buy) Common Stock, par value \$0.001	01/03/2018	01/03/2027	Common Stock	4,000	\$ <u>(1)</u>	D	Â
Stock Option (Right to Buy) Common Stock, par value \$0.001	01/03/2019	01/03/2027	Common Stock	4,000	\$ <u>(1)</u>	D	Â
Stock Option (Right to Buy) Common Stock, par value \$0.001	01/03/2020	01/03/2027	Common Stock	4,000	\$ <u>(1)</u>	D	Â
Stock Option (Right to Buy) Common Stock, par value \$0.001	01/03/2021	01/03/2027	Common Stock	4,000	\$ <u>(1)</u>	D	Â
Stock Option (Right to Buy) Common Stock, par value \$0.001	01/03/2022	01/03/2027	Common Stock	4,000	\$ <u>(1)</u>	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Johnson Virland A 325 E. WARM SPRINGS RD. #102 LAS VEGAS, NV 89119	Â	Â	Â Chief Financial Officer	Â

Signatures

/s/ Virland A. Johnson 01/17/2017

__Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Issued under the Company's 2014 Omnibus Equity Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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