

REGENERON PHARMACEUTICALS INC

Form 4

November 03, 2015

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
YANCOPOULOS GEORGE

(Last) (First) (Middle)

**777 OLD SAW MILL RIVER
ROAD**

(Street)

TARRYTOWN, NY 10591

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
**REGENERON
PHARMACEUTICALS INC
[REGN]**

3. Date of Earliest Transaction
(Month/Day/Year)
10/30/2015

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

President Regeneron Laboratori

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D) Price			
Common Stock	10/30/2015		M ⁽¹⁾		182,818	A \$ 11.64	682,818	D	
Common Stock	10/30/2015		F ⁽¹⁾		3,806	D \$ 559.08	679,012	D	
Common Stock	10/30/2015		F ⁽¹⁾		92,316	D \$ 559.08	586,696	D	
Common Stock	11/02/2015		S ⁽¹⁾		1,200	D \$ 561.75 ⁽²⁾	585,496	D	

(2)

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Common Stock	11/02/2015	<u>S(1)</u>	100	D	\$ 562.36	585,396	D
Common Stock	11/02/2015	<u>S(1)</u>	530	D	\$ 563.76 (3)	584,866	D
Common Stock	11/02/2015	<u>S(1)</u>	1,000	D	\$ 564.57 (4)	583,866	D
Common Stock	11/02/2015	<u>S(1)</u>	1,000	D	\$ 565.89 (5)	582,866	D
Common Stock	11/02/2015	<u>S(1)</u>	1,700	D	\$ 566.66 (6)	581,166	D
Common Stock	11/02/2015	<u>S(1)</u>	1,350	D	\$ 567.61 (7)	579,816	D
Common Stock	11/02/2015	<u>S(1)</u>	1,600	D	\$ 568.49 (8)	578,216	D
Common Stock	11/02/2015	<u>S(1)</u>	2,223	D	\$ 569.47 (9)	575,993	D
Common Stock	11/02/2015	<u>S(1)</u>	6,330	D	\$ 570.67 (10)	569,663	D
Common Stock	11/02/2015	<u>S(1)</u>	7,800	D	\$ 571.41 (11)	561,863	D
Common Stock	11/02/2015	<u>S(1)</u>	5,723	D	\$ 572.43 (12)	556,140	D
Common Stock	11/02/2015	<u>S(1)</u>	2,629	D	\$ 573.47 (13)	553,511	D
Common Stock	11/02/2015	<u>S(1)</u>	2,693	D	\$ 574.55 (14)	550,818	D
Common Stock	11/02/2015	<u>S(1)</u>	2,441	D	\$ 575.64 (15)	548,377	D
Common Stock	11/02/2015	<u>S(1)</u>	865	D	\$ 576.48 (16)	547,512	D

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Common Stock	11/02/2015	S ⁽¹⁾	1,996	D	\$ 577.58 (17)	545,516	D	
Common Stock	11/02/2015	S ⁽¹⁾	268	D	\$ 578.73 (18)	545,248	D	
Common Stock	11/02/2015	S ⁽¹⁾	1,500	D	\$ 579.53 (19)	543,748	D	
Common Stock	11/02/2015	S ⁽¹⁾	400	D	\$ 580.29 (20)	543,348	D	
Common Stock						5,654	I	By 401(k) Plan
Common Stock						569,588	I	by Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Am Nu Sha
Non-Qualified Stock Option (right to buy)	\$ 11.64	10/30/2015		M ⁽¹⁾	182,818	(21) 12/19/2015	Common Stock 18

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
YANCOPOULOS GEORGE 777 OLD SAW MILL RIVER ROAD TARRYTOWN, NY 10591	X President Regeneron Laboratori

Signatures

/s/**George D.
Yancopoulos

11/03/2015

__Signature of Reporting
Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Disposition/acquisition made pursuant to a plan intended to comply with Rule 10b5-1(c).

Represents volume-weighted average price of sales of 1,200 shares of Company stock on November 2, 2015 at prices ranging from

(2) \$561.26 to \$561.98. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on November 2, 2015 at each separate price.

Represents volume-weighted average price of sales of 530 shares of Company stock on November 2, 2015 at prices ranging from

(3) \$563.07 to \$563.99. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on November 2, 2015 at each separate price.

Represents volume-weighted average price of sales of 1,000 shares of Company stock on November 2, 2015 at prices ranging from

(4) \$564.00 to \$564.99. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on November 2, 2015 at each separate price.

Represents volume-weighted average price of sales of 1,000 shares of Company stock on November 2, 2015 at prices ranging from

(5) \$565.78 to \$565.99. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on November 2, 2015 at each separate price.

Represents volume-weighted average price of sales of 1,700 shares of Company stock on November 2, 2015 at prices ranging from

(6) \$566.17 to \$566.98. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on November 2, 2015 at each separate price.

Represents volume-weighted average price of sales of 1,350 shares of Company stock on November 2, 2015 at prices ranging from

(7) \$567.00 to \$567.99. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on November 2, 2015 at each separate price.

Represents volume-weighted average price of sales of 1,600 shares of Company stock on November 2, 2015 at prices ranging from

(8) \$568.00 to \$568.87. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on November 2, 2015 at each separate price.

Represents volume-weighted average price of sales of 2,223 shares of Company stock on November 2, 2015 at prices ranging from

(9) \$569.00 to \$569.95. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on November 2, 2015 at each separate price.

Represents volume-weighted average price of sales of 6,330 shares of Company stock on November 2, 2015 at prices ranging from

(10) \$570.00 to \$570.98. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on November 2, 2015 at each separate price.

Represents volume-weighted average price of sales of 7,800 shares of Company stock on November 2, 2015 at prices ranging from

(11) \$571.00 to \$571.96. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on November 2, 2015 at each separate price.

Represents volume-weighted average price of sales of 5,723 shares of Company stock on November 2, 2015 at prices ranging from

(12) \$572.00 to \$572.99. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on November 2, 2015 at each separate price.

Represents volume-weighted average price of sales of 2,629 shares of Company stock on November 2, 2015 at prices ranging from

(13) \$573.00 to \$573.99. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on November 2, 2015 at each separate price.

Represents volume-weighted average price of sales of 2,693 shares of Company stock on November 2, 2015 at prices ranging from

(14) \$574.00 to \$574.99. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on November 2, 2015 at each separate price.

(15)

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Represents volume-weighted average price of sales of 2,441 shares of Company stock on November 2, 2015 at prices ranging from \$575.09 to \$575.99. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on November 2, 2015 at each separate price.

- (16) Represents volume-weighted average price of sales of 865 shares of Company stock on November 2, 2015 at prices ranging from \$576.16 to \$576.94. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on November 2, 2015 at each separate price.

- (17) Represents volume-weighted average price of sales of 1,996 shares of Company stock on November 2, 2015 at prices ranging from \$577.00 to \$577.98. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on November 2, 2015 at each separate price.

- (18) Represents volume-weighted average price of sales of 268 shares of Company stock on November 2, 2015 at prices ranging from \$578.05 to \$578.96. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on November 2, 2015 at each separate price.

- (19) Represents volume-weighted average price of sales of 1,500 shares of Company stock on November 2, 2015 at prices ranging from \$579.05 to \$579.89. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on November 2, 2015 at each separate price.

- (20) Represents volume-weighted average price of sales of 400 shares of Company stock on November 2, 2015 at prices ranging from \$580.16 to \$580.48. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on November 2, 2015 at each separate price.

- (21) The stock option award (combined incentive stock option and non-qualified stock option) vests in four equal annual installments, commencing one year after the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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