Edgar Filing: Broadcom Ltd - Form 4

| Broadcom L Form 4 | | | | | | | | | | | |
|--|---|---------|-------|-------------------|---------------------|-------|---------------------|--|---|---|--|
| March 13, 2 | 017 | | | | | | | | | | |
| FORM | 14 | | | | NOT | | OMB APPROVAL | | | | |
| | UNITED | STATES | | | AND EX , D.C. 20 | | NGE CO | OMMISSION | OMB Number: | 3235-0287 | |
| Check th if no lon | cor | | | | | | | | Expires: | January 31, 2005 | |
| subject t Section Form 4 o | | | SECUI | RITIES | | | ERSHIP OF | Estimated average burden hours per response 0. | | | |
| Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | I | | | | |
| (Print or Type | Responses) | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> Ingram Bryan | | | 8 | | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| (Last) | (First) (1 | | | f Earliest T | _ | | | (Check | all applicable) | | |
| C/O AVAC | GO TECHNOLOG RIDDER PARK | GIES US | | Day/Year) | Tansaction | | | Director X Officer (give below) SVP & GM, V | | Owner r (specify on Div | |
| | | | | d(Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | |
| SAN JOSE | , CA 95131 | | | | | | | Form filed by Me Person | ore than One Rep | porting | |
| (City) | (State) | (Zip) | Tabl | le I - Non-l | Derivative | Secur | ities Acqu | ired, Disposed of, | or Beneficiall | y Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | | | (A) or | | | (D) |) 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Ordinary | | | | Coue v | Amount | (D) | | | | | |
| Shares, no par value | 03/09/2017 | | | S <u>(1)</u> | 10,698 | D | \$ 218.5 (2) | 82,142 <u>(3)</u> | D | | |
| Ordinary Shares, no par value | 03/09/2017 | | | S <u>(1)</u> | 2,761 | D | \$ 219.43 (4) | 79,381 <u>(3)</u> | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | Date | Amou Under Securi | rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr |
|---|---|---|---|--|---|---------------------|--------------------|-------------------------|--|---|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | | Relationships | | | | | |
|--|----------|---------------|--------------------------------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| Ingram Bryan C/O AVAGO TECHNOLOGIES US INC. 1320 RIDDER PARK DRIVE SAN JOSE, CA 95131 | | | SVP & GM, Wireless Semicon Div | | | | |
| Signatures | | | | | | | |
| /s/ Patricia McCall, Attorney-in-Fact for Bryan Ingram | | 03/13/2017 | | | | | |
| **Signature of Reporting Person | | Da | ıte | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction made pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person.

Transaction executed in multiple trades at prices ranging from \$218.19 to \$219.14 per share, inclusive. The price reported in column 4 above reflects the weighted average sale price per share. The Reporting Person hereby undertakes to provide the SEC staff, the Issuer or a (2)

security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within the range set forth in this footnote.

(3) Includes 72,250 Restricted Share Units.

Transaction executed in multiple trades at prices ranging from \$219.19 to \$219.60 per share, inclusive. The price reported in column 4 above reflects the weighted average sale price per share. The Reporting Person hereby undertakes to provide the SEC staff, the Issuer or a

(4) above reflects the weighted average sate price per state. The reporting reflort interest undertakes to provide the Size stati, the issuer of a security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within the range set forth in this footnote.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.