Edgar Filing: LUKE JOHN A JR - Form 4

LUKE JOHN A JR Form 4 August 31, 2017 FORM 4 Music 31, 2017 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations usy continue. See Instruction (b).												
(Print or Type Responses)												
LUKE JOHN A JR Symbol				er Name a .ock Co [nd Ticker o WRK1	or Trad	ing	5. Relationship of Reporting Person(s) to Issuer				
				_	Transaction	1		(Check all applicable)				
(Month			(Month/ 08/29/2	Day/Year) 2017				_X_ Director 10% Owner Officer (give title Other (specify below) below)				
				nendment, l onth/Day/Ye	Date Origin ear)	ıal		 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 				
NORCROSS, GA 30071							eporting					
(City)	(State)	(Zip)	Tal	ble I - Non	-Derivativ	e Secu	rities Acqu	iired, Disposed of,	or Beneficia	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if	3. Transacti Code (Instr. 8) Code V	otor Dispos (Instr. 3, 4	ed of (5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	08/29/2017			М	47,856	А	\$ 26.99	543,291	D			
Common Stock	08/29/2017			М	29,003	А	\$ 9.02	572,294	D			
Common Stock	08/29/2017			S	76,859	D	\$ 55.0718 (1)	495,435	D			
Common Stock								2,269	Ι	By Spouse		
Common Stock								7,064	Ι	By Son		

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Common Stock						140,680	Ι	In Trust
Common Stock						34,672	I	In Employee Stock Plan
Common Stock	08/30/2017	М	47,856	А	\$ 26.99	543,291	D	
Common Stock	08/30/2017	М	29,003	А	\$ 9.02	572,294	D	
Common Stock	08/30/2017	S	76,859	D	\$ 55.7288 (2)	495,435	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	 5. Number of actionDerivative Securities 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) 		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A))	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock option - right to buy	\$ 26.99	08/29/2017		М			7,856 (3)	07/01/2015	02/25/2018	Common Stock	47,856
Stock option - right to buy	\$ 9.02	08/29/2017		М			9,003 (<u>3)</u>	07/01/2015	02/23/2019	Common Stock	29,003
Stock option - right to buy	\$ 26.99	08/30/2017		М			7,856 (3)	07/01/2017	02/25/2018	Common Stock	47,856
Stock option -	\$ 9.02	08/30/2017		М			9,003 (3)	07/01/2015	02/23/2019	Common Stock	29,003

right to buy

Reporting Owners

Signatures

Robert B. McIntosh (attorney-in-fact pursuant to power of attorney previously filed with SEC) 08/31/2017

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares sold at average price of \$55.071824. Prices ranging from \$54.51 to \$55.32 per share.
- (2) Shares sold at average price of \$55.728860. Prices ranging from \$55.00 to \$56.045 per share.
- (3) Reflects adjustment for Ingevity Corporation spin-off on May 15, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.