

LIQUIDITY SERVICES INC
Form 4
March 07, 2017

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Angrick William P III

2. Issuer Name and Ticker or Trading Symbol
LIQUIDITY SERVICES INC
[LQDT]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction
(Month/Day/Year)
03/03/2017

Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman of the Board and CEO

C/O LIQUIDITY SERVICES, INC., 1920 L STREET, N.W., 6TH FLOOR

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

WASHINGTON, DC 20036

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
Common Stock				(A) or (D) Price	3,611,490	I	By the William P. Angrick III Revocable Trust ⁽¹⁾
Common Stock				(A) or (D) Price	873,379	I	By the William P. Angrick III 2005 Irrevocable

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Common Stock	575,513	I	Trust ⁽¹⁾ By the Stephanie S. Angrick 2005 Irrevocable Trust ⁽²⁾
Common Stock	114,699	I	By the Stephanie S. Angrick Revocable Trust ⁽²⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Grant	\$ 8.3	03/03/2017		A	75,250	⁽³⁾ 10/01/2026	Common Stock	75,250	
Employee Stock Grant	\$ 8.3	03/03/2017		A	75,250	⁽⁴⁾ 10/01/2026	Common Stock	75,250	
Employee Stock Option	\$ 9.13	03/03/2017		A	48,000	⁽⁵⁾ 10/01/2026	Common Stock	48,000	
Employee Stock Option	\$ 9.13	03/03/2017		A	48,000	⁽⁶⁾ 10/01/2026	Common Stock	48,000	
Employee Stock Grant	\$ 6.63					⁽⁷⁾ 10/01/2025	Common Stock	110,047	

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Employee Stock Grant	\$ 5.11	<u>(4)</u>	10/01/2025	Common Stock	36,682
Employee Stock Option	\$ 7.29	<u>(8)</u>	10/01/2025	Common Stock	83,178
Employee Stock Option	\$ 7.29	<u>(6)</u>	10/01/2025	Common Stock	20,794
Employee Stock Grant	\$ 10.41	<u>(9)</u>	10/01/2024	Common Stock	24,666
Employee Stock Grant	\$ 10.41	<u>(4)</u>	10/01/2024	Common Stock	49,332
Employee Stock Option	\$ 11.45	<u>(10)</u>	10/01/2024	Common Stock	29,980
Employee Stock Option	\$ 11.45	<u>(6)</u>	10/01/2024	Common Stock	29,980
Employee Stock Grant	\$ 21.99	<u>(11)</u>	10/01/2023	Common Stock	5,680
Employee Stock Option	\$ 24.19	<u>(12)</u>	10/01/2023	Common Stock	48,122
Employee Stock Option	\$ 46.72	<u>(13)</u>	10/01/2022	Common Stock	14,695
Employee Stock Option	\$ 37.72	<u>(14)</u>	10/01/2021	Common Stock	32,139
Employee Stock Option	\$ 17.02	<u>(15)</u>	10/01/2020	Common Stock	8,641
Employee Stock Grant	\$ 15.47	<u>(4)</u>	10/01/2020	Common Stock	11,053
Employee Stock Option	\$ 9.96	<u>(16)</u>	10/01/2019	Common Stock	4,568

