Edgar Filing: HARTNETT MICHAEL J - Form 4

Form 4	T MICHAEL J										
August 10, 2018 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB											
Check th	Washington, D.C. 20549								OMB Number:	3235-0287 January 31,	
if no lon subject t Section Form 4 o Form 5 obligatio may con	o SIAIE 16. or Filed pu ons Section 17	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section							Expires: 2005 Estimated average burden hours per response 0.5		
See Instruction 30(h) of the Investment Company Act of 1940 1(b).											
HARTNETT MICHAEL J Symb								. Relationship of Reporting Person(s) to ssuer			
(Last) (First) (Middle) 3.				3. Date of Earliest Transaction (Month/Day/Year)				(Check all applicable) Director 10% Owner Officer (give title Other (specify below) President and CEO			
				led(Month/Day/Year) A				5. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
OXFORD,	CT 06478						Ē	Form filed by Mo Person	ore than One Rep	porting	
(City)	(State)	(Zip)	Ta	ble I - Non	-Derivativo	e Secu	rities Acqui	red, Disposed of,	or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	(Month/Day/Year) Execution Date,		Date, if	Code (Instr. 3, 4 and 5) ear) (Instr. 8) (A) or				r 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	08/09/2018			S	Amount 31,097	(D) D	Price \$ 140.2715	379,639 (1)	D		
Common Stock	08/10/2018			S	2,007	D	\$ 140.0521	377,632 <u>(1)</u> (2) (3)	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	ecution Date, if TransactionNumber Expiration Date Code of (Month/Day/Year)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option to Purchase Common Stock	\$ 51.08					06/14/2014 <u>(4)</u>	06/14/2020	Common Stock	20,000
Option to Purchase Common Stock	\$ 64.15					07/01/2015 <u>(5)</u>	07/01/2021	Common Stock	40,000
Option to Purchase Common Stock	\$ 72.83					07/01/2016 <u>(6)</u>	07/01/2022	Common Stock	48,000
Option to Purchase Common Stock	\$ 72.94					07/08/2017 <u>(7)</u>	07/08/2023	Common Stock	80,000
Option to Purchase Common stock	\$ 99.64					06/27/2018 <u>(8)</u>	06/27/2024	Common Stock	80,000
Option to Purchase Common Stock	\$ 132.12					06/07/2019 <u>(9)</u>	06/07/2025	Common Stock	76,000

Reporting Owners

Reporting Owner Name / Address

Relationships

Officer

Director 10% Owner

Other

President and CEO

8 D S (] HARTNETT MICHAEL J 102 WILLENBROCK ROAD ONE TRIBOLOGY CENTER OXFORD, CT 06478

Signatures

/s/Thomas J. Williams /attorney in fact

08/10/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

16,783 shares of the reported Common Stock are Restricted Shares. The Restrictions lapse on 7/8/2019. Unvested shares of Restricted
(1) Stock (i) may be immediately forfeited to the Company at the time the grantee ceases to be an officer or employee of, or otherwise perform services for, the Company or its subsidiaries under certain circumstances or (ii) may lapse upon the happening of certain events.

26,133 shares of the reported Common Stock are Restricted Shares. The Restrictions lapse in accordance with the following schedule 1/2 vest on 6/27/2019 and 1/2 vest on 6/27/2020. Unvested shares of Restricted Stock (i) may be immediately forfeited to the Company at the

(2) Vest on 0/2//2019 and 1/2 vest on 0/2//2020. Onvested shares of Restricted Stock (i) may be initiately forfered to the Company at the time the grantee ceases to be an officer or employee of, or otherwise perform services for, the Company or its subsidiaries under certain circumstances or (ii) may lapse upon the happening of certain events.

52,040 shares of the reported Common Stock are Restricted Shares. 1/3 vest on 6/7/2019, 1/3 vest on 6/7/2020 and 1/3 vest on 6/7/2021. Unvested shares of Restricted Stock (i)may be immediately forfeited to the Company at the time the grantee ceases to be an officer or

- (3) Onvested shares of Resulted Stock (f)may be initiately forfered to the company at the time time grantee ceases to be an orner of employee of, or otherwise perform services for, the company or its subsidiaries under certain circumstances or (ii) may lapse upon the happening of certain events.
- (4) Options to purchase shares of Common Stock expire 7 years from grant date.
- (5) Options to purchase shares of Common Stock vest on 7/1/2019. Options expire 7 years from grant date.
- (6) Options to purchase shares of Common Stock are subject to the following vesting schedule 1/2 vest on 7/1/2019 and 1/2 vest on 7/1/2020. Options expire 7 years from grant date.
- (7) Options to purchase shares of Common Stock are subject to the following vesting schedule 1/3 vest on 7/8/2019, 1/3 vest on 7/8/2020 and 1/3 vest on 7/8/2021. Options expire 7 years from grant date.
- (8) Options to purchase shares of Common Stock are subject to the following vesting schedule 1/4 vest on 6/27/2019, 1/4 vest on 6/27/2020, 1/4 vest on 6/27/2021 and 1/4 vest on 6/27/2022. Options expire 7 years from grant date.
- (9) Options to purchase shares of Common Stock are subject to the following vesting schedule 1/5 vest on 6/7/2019, 1/5 vest on 6/7/2020, 1/5 vest on 6/7/2021, 1/5 vest on 6/7/2022 and 1/5 vest on 6/7/2023. Options expire 7 years from grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.