Brown Simon A Form 4 August 15, 2017

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

#### Check this box if no longer subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

### **OMB APPROVAL**

OMB 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per

response... 0.5

1. Name and Address of Reporting Person * Brown Simon A			2. Issuer Name and Ticker or Trading Symbol SEMTECH CORP [SMTC]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)		
(Last) (First) (Middle)		(Middle)	3. Date of Earliest Transaction	(Check an approacte)		
200 FLYNN ROAD			(Month/Day/Year) 08/12/2017	Director 10% Owner Officer (give title Other (specify below)  VP & mp; GM		
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Chec Applicable Line) _X_ Form filed by One Reporting Person		
CAMARILLO, CA 93012-8790				Form filed by More than One Reporting Person		

(City)	(State) (Z	Zip) Table	e I - Non-De	erivative S	Securi	ties Acc	quired, Disposed o	of, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Secu Transaction(A) or Code (D)		rities Acquired Disposed of 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	•
			Code V	Amount	or (D)	Price	Transaction(s) (Instr. 3 and 4)		
Common Stock	08/12/2017		M	1,250	A	\$0	10,377	D	
Common Stock	08/12/2017		F	342	D	\$ 38.2	10,035	D	
Common Stock	08/13/2017		M	875	A	\$0	10,910	D	
Common Stock	08/13/2017		F	240	D	\$ 38.2	10,670	D	
Common Stock	08/14/2017		M	550	A	\$ 0	11,220	D	

### Edgar Filing: Brown Simon A - Form 4

Common D \$ 11,069 08/14/2017 F D Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	Transaction Derivative Code Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Unit	(1)	08/14/2017		M	550	(2)	(2)	Common Stock	550	\$
Restricted Stock Unit	(1)	08/13/2017		M	875	<u>(3)</u>	(3)	Common Stock	875	\$
Restricted Stock Unit	<u>(1)</u>	08/12/2017		M	1,250	<u>(4)</u>	<u>(4)</u>	Common Stock	1,250	\$

## **Reporting Owners**

Reporting Owner Name / Address	Keiauonsnips					
	Director	10% Owner	Officer	Other		

Brown Simon A 200 FLYNN ROAD VP & amp; GM CAMARILLO, CA 93012-8790

**Signatures** 

/s/Simon A. Brown by Charles B. Ammann under Power of Attorney dated November 18, 08/15/2017 2016 (Copy On File)

> \*\*Signature of Reporting Person Date

Reporting Owners 2

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each stock unit is the economic equivalent of one share of Semtech common stock.
- (2) This grant vests in four annual installments beginning on August 14, 2014.
- (3) This grant vests in four annual installments beginning on August 13, 2015.
- (4) This grant vests in four annual installments beginning on August 12, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.