APPLIED DNA SCIENCES INC

Form 3

November 14, 2014

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement

A Simon Sanford R (Month/Day/Year)

11/14/2014 (Last) (First) (Middle)

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

50 HEALTH SCIENCES DRIVE

(Street)

X Director 10% Owner Officer Other

(Check all applicable)

6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person

(give title below) (specify below) Form filed by More than One

Reporting Person

STONY BROOK, ÂNYÂ 11790

(City) (State) (Zip)

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership

Table I - Non-Derivative Securities Beneficially Owned

APPLIED DNA SCIENCES INC [APDN]

Form: (Instr. 5) Direct (D) or Indirect (I)

4. Nature of Indirect Beneficial Ownership

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1473 (7-02)

(Instr. 5)

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of

Derivative

Security

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Expiration Date Exercisable Date

Amount or Title Number of Shares

Direct (D) or Indirect (I) (Instr. 5)

1

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| Stock Option | (1) | 06/16/2018 | Common Stock | 8,334 | \$ 6.6 | D | Â |
|--------------|-----|------------|-----------------|--------|----------|---|---|
| Stock Option | (1) | 11/29/2016 | Common Stock | 15,900 | \$ 4.08 | D | Â |
| Stock Option | (1) | 12/05/2016 | Common Stock | 2,645 | \$ 3.9 | D | Â |
| Stock Option | (1) | 11/29/2017 | Common Stock | 6,175 | \$ 10.79 | D | Â |
| Stock Option | (1) | 10/16/2018 | Common Stock | 11,112 | \$ 5.82 | D | Â |
| Warrants | (1) | 09/01/2016 | Common Stock | 4,167 | \$ 5.4 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|--|---------------|-----------|---------|------|--|--|
| | Director | 10% Owner | Officer | Othe | | |
| Simon Sanford R 50 HEALTH SCIENCES DRIVE STONY BROOK, NY 11790 | ÂX | Â | Â | Â | | |

Signatures

/s/ Karol Kain Gray, Attorney-in-Fact

11/14/2014

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These options and warrants are fully vested and exercisable.

Â

Remarks:

Exhibit List - Exhibit 24-Limited Power of Attorney for Section 16(a)Â Reporting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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