

TURNER MARK A

Form 4

May 17, 2018

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
TURNER MARK A

(Last) (First) (Middle)

C/O WSFS FINANCIAL  
CORPORATION, 500 DELAWARE  
AVENUE

(Street)

WILMINGTON, DE 19801

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

WSFS FINANCIAL CORP [WSFS]

3. Date of Earliest Transaction  
(Month/Day/Year)

05/15/2018

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title \_\_\_\_ Other (specify  
below) below)  
CEO

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A)<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Ownership<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|---|---|---|---|--|---|--|
| Common<br>Stock                       | 05/15/2018                              |   | M                                       | 12,026  | A<br>\$<br>16.5067   | 143,662   | D  |
| Common<br>Stock                       | 05/15/2018                              |   | S <sup>(1)</sup>                        | 12,026  | D<br>\$<br>51.0694<br><sup>(2)</sup>   | 131,636   | D  |
| Common<br>Stock                       | 05/16/2018                              |   | M                                       | 9,400   | A<br>\$<br>16.5067   | 141,036   | D  |
| Common<br>Stock                       | 05/16/2018                              |   | S <sup>(1)</sup>                        | 9,400   | D<br>\$<br>51.5755<br><sup>(3)</sup>   | 131,636   | D  |

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|              |            |                  |        |   |                              |         |   |      |
|--------------|------------|------------------|--------|---|------------------------------|---------|---|------|
| Common Stock | 05/17/2018 | M                | 19,094 | A | \$ 16.5067                   | 150,730 | D |      |
| Common Stock | 05/17/2018 | S <sup>(1)</sup> | 19,094 | D | \$ 51.9766<br><sup>(4)</sup> | 131,636 | D |      |
| Common Stock |            |                  |        |   |                              | 32,444  | I | 401k |
| Common Stock |            |                  |        |   |                              | 7,500   | I | IRA  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable Expiration Date                         | Title Amount or Number of Shares                              |
| Employee Stock Option (right to buy)       | \$ 16.5067   | 05/15/2018                           |  | M                              | 12,026  | <sup>(5)</sup> 02/28/2020                                | Common Stock 12,026   |
| Employee Stock Option (right to buy)       | \$ 16.5067   | 05/16/2018                           |  | M                              | 9,400   | <sup>(5)</sup> 02/28/2020                                | Common Stock 9,400  |
| Employee Stock Option (right to buy)       | \$ 16.5067   | 05/17/2018                           |  | M                              | 19,094  | <sup>(5)</sup> 02/28/2020                                | Common Stock 19,094   |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |         |       |
|--|---------------|-----------|---------|-------|
|  | Director      | 10% Owner | Officer | Other |
| TURNER MARK A<br>C/O WSFS FINANCIAL CORPORATION<br>500 DELAWARE AVENUE<br>WILMINGTON, DE 19801 |               |           | CEO     |       |

## Signatures

/s/ Mark A. Turner by Charles Mosher, Power of Attorney

05/17/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This sale was effected pursuant to a 10b5-1 trading plan previously adopted by Mr. Turner.

Represents a weighted-average price. These shares were sold in multiple transactions at prices ranging from \$50.50 to \$51.35, inclusive.

(2) For all transactions reported on this Form 4 utilizing a weighted-average price, the reporting person will provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.

Represents a weighted-average price. These shares were sold in multiple transactions at prices ranging from \$51.15 to \$51.85, inclusive.

(3) For all transactions reported on this Form 4 utilizing a weighted-average price, the reporting person will provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.

Represents a weighted-average price. These shares were sold in multiple transactions at prices ranging from \$51.60 to \$52.25, inclusive.

(4) For all transactions reported on this Form 4 utilizing a weighted-average price, the reporting person will provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.

(5) Vests 300,000 share(s) on 28-Feb-2015, 150,000 share(s) on 29-Feb-2016, 150,000 share(s) on 28-Feb-2017, 150,000 share(s) on 28-Feb-2018

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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